

TCC (SPRING 2021):  $p$ -ADIC MODULAR FORMS

PROBLEM SHEET 3

INSTRUCTOR: PAK-HIN LEE

This problem sheet is due at 11:59 PM on **Friday 9th April 2021**. Please submit your work as a single PDF file (either typeset in L<sup>A</sup>T<sub>E</sub>X or a scan of legible handwriting) by email.

**Problem 0. (NOT FOR SUBMISSION)** Suppose  $R$  is a ring containing  $\frac{1}{6}$ . Recall that any pair  $(E/R, \omega)$  can be written in terms of the Weierstrass equation:

$$E : y^2 = x^3 + a_4x + a_6, \quad \omega = \frac{dx}{y}.$$

(a) Prove that the rules

$$\begin{aligned} E_4(E/R, \omega) &:= -12a_4, \\ E_6(E/R, \omega) &:= 216a_6 \end{aligned}$$

define modular forms of weights 4 and 6 respectively.

(b) Show that  $E_4$  and  $E_6$  have  $q$ -expansions

$$\begin{aligned} E_4(\text{Tate}(q), \omega_{\text{can}}) &= 1 + 240 \sum_{n=1}^{\infty} \sigma_3(n)q^n, \\ E_6(\text{Tate}(q), \omega_{\text{can}}) &= 1 - 504 \sum_{n=1}^{\infty} \sigma_5(n)q^n. \end{aligned}$$

In particular, they define holomorphic modular forms over  $\mathbf{Z}$  and agree with the classical definitions of Eisenstein series.

**Problem 1.** Let  $R$  be an  $\mathbf{F}_p$ -algebra. Consider an elliptic curve  $E/R$  given by the Weierstrass equation

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6,$$

with a differential form

$$\omega = \frac{dx}{2y + a_1x + a_3} \in H^0(E/R, \Omega^1).$$

(a) Suppose  $p = 2$ . Show that  $a_1$  is the Hasse invariant of  $(E, \omega)$ .

(b) Suppose  $p = 3$ . Show that<sup>1</sup>  $a_1^2 + a_2$  is the Hasse invariant of  $(E, \omega)$ .

**Problem 2.** Recall that  $U_p$  and  $V_p$  act on the space  $M$  of convergent  $p$ -adic modular forms as follows: if  $f = \sum a_nq^n \in M$ , then

$$\begin{aligned} U_p f &= \sum a_{np}q^n, \\ V_p f &= \sum a_nq^{np}. \end{aligned}$$

---

Last updated: March 29, 2021. Please send questions and comments to Pak-Hin.Lee@warwick.ac.uk.

<sup>1</sup>This corrects a typo in Exercise 3.0.6 of Calegari's notes.

(For concreteness, you may think of  $M$  with growth condition  $r = 1$ , level  $N = 1$ , weight  $k \in \mathbf{Z}$  and coefficients  $\mathbf{C}_p$  – although the precise choices don't matter for this problem – and assume that  $M$  is a  $p$ -adic Banach space.)

Let  $f \in M$  and set  $g = (1 - V_p U_p)f$ .

(a) Show that  $U_p g = 0$ .

(b) For any  $\lambda \in \mathbf{C}_p$  with  $|\lambda| < 1$ , prove that

$$f_\lambda := \sum_{i=0}^{\infty} (\lambda V_p)^i g \in M$$

satisfies  $U_p f_\lambda = \lambda f_\lambda$ .

In particular, this shows that  $U_p$  has a *continuous* spectrum on  $M$ .