

MATH G9905 RESEARCH SEMINAR IN NUMBER THEORY
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INTRODUCTION TO SPECIAL VALUES OF L -FUNCTIONS AND
THEIR p -ADIC INTERPOLATION, I

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ABSTRACT. The aim of these talks is to give an introduction to the theory of L -functions from an arithmetic point of view and to explain how to construct their p -adic avatars. We shall begin by explaining the values taken by Riemann's zeta function at integers. Subsequently, we shall try to give a conjectural description of the values at certain integers for other L -functions, such as the one of an elliptic curve.

In the second part, we study how the values of an L -function vary p -adically and we explain what the conjectural p -adic L -function should look like. We conclude with some general conjectures on the properties of p -adic L -functions, with special emphasis on the so-called trivial zeros.

This talk will be mostly complex-analytic in nature. p -adic L -functions will be introduced in the next talk.

Why do we study L -values?

Let $(a, b) \in \mathbb{Z}^2$. What is the probability that $\gcd(a, b) = 1$? Since 2 cannot divide both a and b , we have

$$P(2 \mid a \ \& \ 2 \mid b) = \frac{1}{4}$$

and similarly for $3, 5, \dots$, so

$$P(\gcd(a, b) = 1) = \left(1 - \frac{1}{4}\right) \left(1 - \frac{1}{9}\right) \cdots = \frac{1}{\zeta(2)} = \frac{6}{\pi^2}.$$

Recall the Riemann zeta function

$$\zeta(s) = \prod \left(1 - \frac{1}{p^s}\right)^{-1}.$$

Theorem 1. *If $n > 0$, then*

$$\zeta(2n) = \frac{(-1)^{n+1} B_{2n} (2\pi)^{2n}}{2(2n)!}$$

where $B_{2n} \in \mathbb{Q}$ is the Bernoulli number, given by

$$\frac{t}{e^t - 1} = \sum_{n=0}^{\infty} B_n \frac{t^n}{n!}.$$

For the odd positive integers, we know $\zeta(3) \notin \mathbb{Q}$ and at least one of $\zeta(5), \zeta(7), \zeta(9), \zeta(11) \notin \mathbb{Q}$. In general, we don't know much about $\zeta(2n+1)$.

For the negative integers, we have

$$\zeta(-n) = -\frac{B_{n+1}}{n+1} \in \mathbb{Q},$$

where $B_{2n+1} = 0$.

To explain the factor of $2\pi i$, we need a bit of geometry. Let

$$\mathbb{G}_m = \text{Spec}(\mathbb{Z}[X, Y]/(XY - 1)).$$

If ℓ is a prime number, we let

$$\mathbb{Z}_\ell(1) = \varprojlim \mathbb{G}_m(\overline{\mathbb{Q}})[\ell^n] = \varprojlim \mu_{\ell^n}.$$

Then we will see that the corresponding L -function is

$$L(\mathbb{Z}_\ell(1), s) = \prod_q (1 - q^{-s-1}) = \zeta(s+1).$$

In general, for $\mathbb{Z}_\ell(m)$, we have

$$L(\mathbb{Z}_\ell(m), s) = \zeta(s+m).$$

Recall the real gamma function

$$\Gamma_{\mathbb{R}}(s) = \pi^{-s/2} \Gamma(s/2).$$

Then we have the functional equation

$$\zeta(s) \Gamma_{\mathbb{R}}(s) = \Gamma_{\mathbb{R}}(1-s) \zeta(1-s)$$

for all $s \in \mathbb{C} - \{0, 1\}$.

Remark. If $s = -2n$ where $n > 0$, then $\Gamma_{\mathbb{R}}(-2n) = \infty$, so $\zeta(-2n) = 0$.

Consider $\mathbb{G}_m(\mathbb{C}) = \mathbb{C}^\times$. If we consider \mathbb{C} as a topological space, we have the singular homology

$$H_1(\mathbb{C}^\times) \simeq \mathbb{Z}$$

and de Rham cohomology

$$H_{\text{dR}}^1(\mathbb{C}^\times) \simeq \mathbb{Z}$$

upon fixing a generator $\frac{dz}{z}$. These two groups are related by the isomorphism $H_{\text{dR}}^1(\mathbb{C}^\times) \simeq (H_1(\mathbb{C}^\times))^\vee$ via $H_{\text{dR}}^1(\mathbb{C}^\times) \times H_1(\mathbb{C}^\times) \rightarrow \mathbb{C}$ given by

$$\langle \omega, \gamma \rangle = \int_\gamma \omega.$$

Note

$$\int_{S^1} \frac{dz}{z} = 2\pi i.$$

The complex conjugation F_∞ acts on $H_1(\mathbb{C}^\times)$ via $S^1 \rightarrow -S^1$.

We want to generalize this to $\zeta(n) = L(\mathbb{Z}_\ell(n), 0)$. Let

$$H_1(\mathbb{C}^\times(n)) := (2\pi i)^n H_1(\mathbb{C}^\times)$$

with action of F_∞ given by $(-1)^n$, and

$$H_{\text{dR}}^1(\mathbb{C}^\times(n)) := H_{\text{dR}}^1(\mathbb{C}).$$

If n is even, F_∞ is trivial on $H_1(\mathbb{C}^\times(n))$; if n is odd, F_∞ is -1 on it.

We are ready to explain the $2\pi i$ in $\zeta(n)$ for n positive even:

$$(2\pi i)^n = \det \left(H_1(\mathbb{C}^\times(n))^{\vee, F_\infty=1} \xrightarrow{\sim} H_{\text{dR}}^1(\mathbb{C}^\times(n)) \right).$$

For $\zeta(n)$ with n negative odd, we have

$$1 = \det \left(H_1(\mathbb{C}^\times(n))^{\vee, F_\infty=1} \rightarrow H_{\text{dR}}^1(\mathbb{C}^\times(n)) \right)$$

because $H_1(\mathbb{C}^\times(n))^{\vee, F_\infty=1} = 0$.

To generalize this to higher-dimensional L -functions,

Definition 2. A motive M is the data of

- M_{B} a vector space over \mathbb{Q} with F_∞ involution and a Hodge decomposition $M_{\text{B}} \otimes_{\mathbb{Q}} \mathbb{C} \simeq \bigoplus_{p,q \in \mathbb{Z}} H^{p,q}$ such that $F_\infty(H^{p,q}) = H^{q,p}$,
- M_{dR} a vector space over \mathbb{Q} with filtration $\text{Fil}^i M_{\text{dR}}$ on $M_{\text{dR}} \otimes \mathbb{C}$,
- for all prime ℓ , M_ℓ a Galois representation of $G_{\mathbb{Q}} = \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ over a \mathbb{Q}_ℓ -vector space,

which are compatible in the following sense:

- $M_{\text{B}} \otimes \mathbb{C} \simeq M_{\text{dR}} \otimes \mathbb{C}$ such that $\bigoplus_{p' > p} H^{p',q} \rightarrow \text{Fil}^p M_{\text{dR}}$;
- $M_{\text{dR}} \otimes_{\mathbb{Q}} \mathbb{C} \simeq M_\ell \otimes_{\mathbb{Q}_\ell} \overline{\mathbb{Q}_\ell}$ for a fixed $\mathbb{C} \simeq \overline{\mathbb{Q}_\ell}$;
- the M_ℓ 's form a compatible system: for all $q \nmid \ell \ell'$,

$$\det(1 - T \text{Fr}_q | M_\ell^{I_q}) = \det(1 - T \text{Fr}_q | M_{\ell'}^{I_q}) \in \mathbb{Z}_\ell[T]$$

where the geometric Frobenius Fr_q is given by $\text{Fr}_q^{-1}(x) \equiv x^q \pmod{q}$.

This is quite abstract. Let X be an algebraic, projective, smooth variety over \mathbb{Q} . We define

$$M_{\text{B}} = H_i(X(\mathbb{C}))$$

with Hodge structure,

$$M_{\text{dR}} = H_{\text{dR}}^i(X)$$

and

$$M_\ell = H_{\text{ét}}^i(X_{\overline{\mathbb{Q}_\ell}}, \mathbb{Q}_\ell).$$

Example 3. Let E be the elliptic curve defined by $y^2 = x^3 + ax + b$, where $a, b \in \mathbb{Q}$. Then $E(\mathbb{C}) \simeq \mathbb{C}/(\mathbb{Z} + \gamma\mathbb{Z})$ for some $\gamma \in \mathbb{C} \setminus \mathbb{R}$. This is a torus. We have

$$H_1(E(\mathbb{C})) \simeq \mathbb{Z} \oplus \gamma\mathbb{Z}$$

and

$$H^1(E) = \mathbb{Z} \frac{dx}{y} \oplus \mathbb{Z} x \frac{dx}{y}.$$

Then

$$H_1(E(\mathbb{C})) \otimes \mathbb{C} \simeq H^{1,0} \oplus H^{0,1} = \mathbb{C}(1 + \gamma) \oplus \mathbb{C}(1 + \bar{\gamma}).$$

The filtration is

$$\text{Fil}^1(H_{\text{dR}}^1) = H^0(E, \Omega^1) = \mathbb{Z} \frac{dx}{y}.$$

Finally, we need the ℓ -adic realization. The ℓ^n -torsion is

$$E[\ell^n] \simeq (\mathbb{Z}/\ell^n \mathbb{Z})^2$$

and the Tate module is

$$V_\ell E := \varprojlim_n E[\ell^n] \simeq (\mathbb{Z}_\ell)^2$$

with Galois action, since the ℓ^n -torsion points have $\overline{\mathbb{Q}}$ -coefficients. Set $T_\ell E = V_\ell E \otimes \mathbb{Q}_\ell$.

Deligne gave a recipe to construct from a motive an L -function. Consider

$$P_q(T) = \det(1 - T \text{Fr}_q | M_\ell^{J_q})$$

and

$$L(M, s) = \prod_q P_q(q^{-s})^{-1}.$$

Definition 4. M is pure of weight i if

$$M_B \otimes \mathbb{C} = \bigoplus_{\substack{p,q \\ p+q=i}} H^{p,q}.$$

If M is pure of weight i , we can think of it as a “piece” of $H^1(X)$, for a certain variety X .

Conjecture 5. *If M is pure of weight i and M_ℓ is unramified at q for $q \nmid \ell$, then the roots of $P_q(T)$ α_q 's are Weil numbers of weight i , i.e. for all $\sigma : \overline{\mathbb{Q}} \hookrightarrow \mathbb{C}$, $|\alpha_q|_{\sigma, \mathbb{C}} = q^{\frac{i}{2}}$.*

This conjecture implies that if M is pure, then $L(M, s)$ converges absolutely for $\text{Re}(s) > \frac{i}{2} + 1$.

We now want to extend $L(M, s)$ for all $s \in \mathbb{C}$. Denote $h^{p,q} = \dim_{\mathbb{C}} H^{p,q}$, and $h^{\frac{i}{2}, \pm} = \dim_{\mathbb{C}} (H^{\frac{i}{2}, \frac{i}{2}})^{F_\infty = \pm 1}$. If i is odd, this is defined to be 0. Define

$$\Lambda_\infty(M, s) = \prod_{p < q} \Gamma_{\mathbb{C}}(s - p)^{h^{p,q}} \Gamma_{\mathbb{R}}\left(s - \frac{i}{2}\right)^{h^{\frac{i}{2}, +}} \Gamma_{\mathbb{R}}\left(s - \frac{i}{2} + 1\right)^{h^{\frac{i}{2}, -}}$$

where the complex gamma function is $\Gamma_{\mathbb{C}}(s) = 2(2\pi)^{-s} \Gamma(s)$ (and the indices p and q are not necessarily prime!). The L -function satisfies the functional equation

$$\Lambda_\infty(M, s) L(M, s) = \epsilon(s) \Lambda_\infty(M, i + 1 - s) L(M, i + 1 - s).$$

Definition 6. We say that $n \in \mathbb{Z}$ is critical for M if neither $\Lambda_\infty(M, n)$ nor $\Lambda_\infty(M, i + 1 - n)$ has a pole.

Example 7. For an elliptic curve, $s = 1$ is the only critical point.

Proposition 8. *If n is critical for M , then there is an isomorphism*

$$I_\infty : F^{i+1-n} M_{dR} \xrightarrow{\sim} (M_B \otimes \mathbb{C})^{F_\infty = (-1)^{i+n}}$$

Here $F^i M$ is the filtration on de Rham cohomology, coming from the spectral sequence

$$H^p(X, \Omega^q) \Rightarrow H_{dR}^{p+q}(X).$$

Fix \mathbb{Q} -bases ω_i and γ_i of the left hand side and right hand side respectively, and define

$$c^+(M, n) = \det(I_\infty)$$

with respect to (ω_i) and (γ_i) .

Conjecture 9 (Deligne).

$$\frac{L(M, n)}{c^+(M, n)} \in \mathbb{Q}.$$

Example 10. If $i = n = 0$, then $F^1 H_{\text{dR}}^1 \xrightarrow{\sim} M_{\mathbb{B}}^{F_\infty=1}$. For an elliptic curve E , $F^1 H_{\text{dR}}^1 E$ is ω_E ($\frac{dx}{y}$ is the invariant differential, which is a global section of Ω^1). Using the modular parametrization $\mathbb{H} \rightarrow X_0(N) \xrightarrow{\pi} E$ and modular form $f_E \leftrightarrow E$, set

$$\omega_E = (2\pi i) f_E(z) dz$$

and

$$\gamma = \text{Im}(\pi_*(i\infty - 0)) \in H_1(E(\mathbb{C}))^{F_\infty}.$$

We have

$$L(f, 1) = \int_0^\infty 2\pi i f_E(iy) dy.$$

This formula proves the conjecture.

Fix a prime p . Suppose the Deligne conjecture is true. Then what are the p -adic properties of $\frac{L(M, n)}{c^+(M, n)} \in \mathbb{Q}$? For all $m > 0$, $\zeta(-m) \in \mathbb{Q}$. If m becomes a p -adic variable, then does $\zeta(-m)$ make sense?

The references are:

- Deligne, *Valeurs de fonctions L et périodes d'intégrales*¹;
- Schneider, *Introduction to the Beilinson conjectures*².

¹Available at http://publications.ias.edu/sites/default/files/33_Valeursde.pdf.

²Available at <http://wwwmath.uni-muenster.de/u/pschnei/publ/beilinson-volume/Schneider.pdf>.