

MATH G9905 RESEARCH SEMINAR IN NUMBER THEORY  
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SCHUBERT EISENSTEIN SERIES

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ABSTRACT. We define Schubert Eisenstein series as sums like usual Eisenstein series but with the summation restricted to elements of a particular Schubert cell, indexed by an element of the Weyl group. They are generally not fully automorphic. We will develop some results and methods for  $GL_3$  that may be suggestive about the general case. The six Schubert Eisenstein series are shown to have meromorphic continuation and some functional equations. This is a joint work with D. Bump.

Today I will introduce Schubert Eisenstein series, which are not automorphic but have nice arithmetic properties.

1. SCHUBERT VARIETY

Let  $G$  be a split reductive algebraic group defined over a global field  $\mathbb{F}$ , and  $B$  be a Borel subgroup. We know  $B \backslash G$  is a flag variety. Since  $G$  is a reductive group, we can decompose

$$G = \bigcup_{w \in W} BwB$$

where  $W = N_G(T)/T$  is the Weyl group,  $B = TU$ , and  $T$  is a maximal torus.

Let  $Y_w$  be the image of  $BwB$  in  $B \backslash G$ . Take  $X_w$  to be the Zariski closure of  $Y_w$ , i.e.,

$$X_w := \overline{Y_w} = \bigcup_{u \leq w} Y_u,$$

where  $\leq$  is the Bruhat order.  $X_w$  is called the Schubert variety.

**Example 1.** If  $G = GL_3$ , then  $W = \{\text{id}, s_1, s_2, s_1s_2, s_2s_1, s_1s_2s_1\}$ , where for example

$$s_1 = \begin{pmatrix} & 1 & \\ 1 & & \\ & & 1 \end{pmatrix}$$

satisfies  $s_1^2 = 1$  and corresponds to the simple root  $\alpha_1 = (1, -1, 0)$ .

For example,  $X_{s_1s_2} = B \backslash B \cup B \backslash Bs_1s_2B$ .

## 2. BOTT–SAMELSON VARIETY

Let  $w \in W$ , which we write as  $s_{i_1}s_{i_2}\cdots s_{i_k}$  where  $s_{i_j}$  are simple reflections. Denote by  $\alpha_{i_j}$  the simple root corresponding to  $s_{i_j}$ . Let  $\mathfrak{w} = (s_{i_1}, \dots, s_{i_k})$ . Let  $P_{i_j}$  be the parabolic subgroup spanned by  $B$  and  $s_{i_j}$ , i.e.,  $P_{i_j} = B \cup Bs_{i_j}B$ . We have  $B \backslash P_{i_j} \simeq \mathbb{P}^1$ .

Consider the left action of  $B^k$  on  $P_{i_1} \times P_{i_2} \times \cdots \times P_{i_k}$  given by

$$(b_1, \dots, b_k)(p_{i_1}, p_{i_2}, \dots, p_{i_k}) := (b_1p_{i_1}b_2^{-1}, b_2p_{i_2}b_3^{-1}, \dots, b_kp_{i_k}).$$

The quotient  $Z_{\mathfrak{w}} := B^k \backslash P_{i_1} \times \cdots \times P_{i_k}$  is called the Bott–Samelson variety. We have the following facts.

(1) There exists a morphism  $\varphi_{\mathfrak{w}} : Z_{\mathfrak{w}} \rightarrow X_w$  induced from the “multiplication” map

$$(p_{i_1}, \dots, p_{i_k}) \mapsto p_{i_1}p_{i_2}\cdots p_{i_k}.$$

(2)  $\varphi_{\mathfrak{w}}$  is a surjective birational map.

(3)  $Z_{\mathfrak{w}}$  is always nonsingular while  $X_w$  may be singular. Therefore, this gives a resolution of singularities of  $X_w$ .

(4)  $\varphi_{\mathfrak{w}}$  may not be an isomorphism.

(5) We have:

**Lemma 2.** *When  $\varphi_{\mathfrak{w}} : Z_{\mathfrak{w}} \rightarrow X_w$  is an isomorphism, every element in  $X_w$  can be represented uniquely as a product of  $\iota_{\alpha_{i_1}}(\gamma_1)\iota_{\alpha_{i_2}}(\gamma_2)\cdots\iota_{\alpha_{i_k}}(\gamma_k)$ , where  $\iota_{\alpha_{i_j}} : \mathrm{SL}_2 \hookrightarrow G$  is an embedding such that the image is in the Levi subgroup of  $P_{i_j}$  (Chevalley embedding), and  $\gamma_j \in B_{\mathrm{SL}_2} \backslash \mathrm{SL}_2$ .*

**Example 3.** Let  $G = \mathrm{GL}_3$ . Then  $X_{s_1s_2} \ni A = \iota_{\alpha_1}(\gamma_1)\iota_{\alpha_2}(\gamma_2) = \begin{pmatrix} \gamma_1 & & \\ & 1 & \\ & & \gamma_2 \end{pmatrix} \begin{pmatrix} 1 & & \\ & & \\ & & \gamma_2 \end{pmatrix}$ .

*Remark.* When  $\varphi_{\mathfrak{w}}$  is not an isomorphism, then every element of  $X_w$  can still be written in this form, but the representation will not necessarily be unique.

## 3. SCHUBERT EISENSTEIN SERIES

Let  $G$  be defined over  $\mathbb{F}$ , and  $B = TU$  where  $T$  is a maximal torus and  $U$  is the unipotent subgroup. Write  $\mathbb{A}$  as the ring of adeles of  $\mathbb{F}$ . For every place  $v$  of  $\mathbb{F}$ ,  $G_v := G(\mathbb{F}_v)$ . Let  $\chi : T(\mathbb{A})/T(\mathbb{F}) \rightarrow \mathbb{C}^\times$  be a quasi-character. Let  $(\Pi_v(\chi_v), V_v(\chi_v))$  be a principal series representation, where

$$V_v(\chi_v) = \left\{ f_v : G_v \rightarrow \mathbb{C} : f_v(bg) = (\delta^{\frac{1}{2}}\chi_v)(b)f_v(g), f_v \text{ is } K_v\text{-finite} \right\},$$

$\delta$  is the modular quasi-character, and  $K = \prod_v K_v$  is a maximal compact subgroup.

For simplicity, we let  $\chi = \bigotimes_v \chi_v$ , where  $\chi_v$  is unramified at every nonarchimedean place, i.e.,  $V_v(\chi_v)$  has a nonzero  $K_v$ -fixed vector  $f_v^0$ , normalized such that  $f_v^0(1) = 1$ . Let  $V(\chi)$  be the space of finite linear combinations of  $f = \bigotimes_v f_v$ , where  $f_v = f_v^0$  for almost all  $v$ .

For each  $w \in W$  and  $f \in V(\chi)$ , we define

$$E_w(g) := \sum_{\gamma \in X_w} f(\gamma g).$$

This is called the “Schubert Eisenstein series”.

If  $w = w_0$  is the longest element, then  $E_{w_0}(g) = E(g)$  is the usual Eisenstein series.

#### 4. $GL_3$ EXAMPLES

For simplicity, we take  $\chi$  to be unramified at every place  $v$ . Take

$$(\delta^{\frac{1}{2}}\chi) \begin{pmatrix} y_1 y_2 & * & * \\ & y_1 & * \\ & & 1 \end{pmatrix} = |y_1|^{2\nu_1 + \nu_2} |y_2|^{\nu_1 + 2\nu_2}$$

for  $\nu_1, \nu_2 \in \mathbb{C}$ , so that, for any  $k_v \in K_v = SL(3, \mathcal{O}_v)$ , we have

$$f_v^0 \left( \begin{pmatrix} y_1 y_2 & * & * \\ & y_1 & * \\ & & 1 \end{pmatrix} k_v; \nu_1, \nu_2 \right) = |y_1|^{2\nu_1 + \nu_2} |y_2|^{\nu_1 + 2\nu_2}.$$

We define

$$E_w(g; \nu_1, \nu_2) = \sum_{\gamma \in X_w(\mathbb{F})} f(\gamma g; \nu_1, \nu_2).$$

#### Example 4.

(1) If  $w = \text{id}$ , then

$$E_{\text{id}}(g; \nu_1, \nu_2) = f(g; \nu_1, \nu_2).$$

(2) If  $w = s_1$ , then

$$E_{s_1}(g; \nu_1, \nu_2) = \sum_{\gamma \in X_{s_1}(\mathbb{F})} f(\gamma g; \nu_1, \nu_2).$$

But we know  $Z_{(s_1)} \simeq X_{s_1}$ , where every element is of the form  $\gamma = \iota_{\alpha_1}(\gamma_1)$  with  $\gamma_1 \in B_{SL_2} \setminus SL_2$ , so the sum can be written as

$$\sum_{\gamma_1 \in B_{SL_2} \setminus SL_2} f \left( \begin{pmatrix} \gamma_1 & \\ & 1 \end{pmatrix} g; \nu_1, \nu_2 \right).$$

This is essentially the  $GL_2$ -Eisenstein series.

(3) If  $w = s_1 s_2$  (the case  $w = s_2 s_1$  is similar), then

$$E_{s_1 s_2}(g; \nu_1, \nu_2) = \sum_{\gamma \in X_{s_1 s_2}(\mathbb{F})} f(\gamma g; \nu_1, \nu_2).$$

Since  $Z_{(s_1 s_2)} \simeq X_{s_1 s_2} \ni \gamma = \iota_{\alpha_1}(\gamma_1) \iota_{\alpha_2}(\gamma_2)$  with  $\gamma_1, \gamma_2 \in B_{SL_2} \setminus SL_2$ , the sum can be written as

$$\sum_{\gamma_1, \gamma_2 \in B_{SL_2} \setminus SL_2} f(\iota_{\alpha_1}(\gamma_1) \iota_{\alpha_2}(\gamma_2) g; \nu_1, \nu_2) = \sum_{\gamma_2 \in B_{SL_2} \setminus SL_2} E_{s_1}(\iota_{\alpha_2}(\gamma_2) g; \nu_1, \nu_2).$$

We normalize

$$E_w^*(g; \nu_1, \nu_2) = \zeta^*(3\nu_1) \zeta^*(3\nu_2) \zeta^*(3\nu_1 + 3\nu_2 + 1) E_w(g; \nu_1, \nu_2)$$

where  $\zeta^*(s) = \prod_v \zeta_v(s)$ , with

$$\zeta_v(s) = \begin{cases} \Gamma_v(s) & \text{if } v \text{ is archimedean,} \\ (1 - q_v^{-s})^{-1} & \text{if } v \text{ is nonarchimedean,} \end{cases}$$

and  $q_v = |\mathcal{O}_v / \mathfrak{p}_v|$  where  $\mathfrak{p}_v$  is the maximal ideal of  $\mathcal{O}_v$ .

We have the following facts for  $w = w_0$ .

- (1)  $E_{w_0}(g; \nu_1, \nu_2)$  is analytic except poles at  $\nu_1, \nu_2, 1 - \nu_1 - \nu_2 \in \{0, \frac{2}{3}\}$ .  
(2)  $E_{w_0}^*(g; \nu_1, \nu_2) = E_{w_0}^*(g; w(\nu_1, \nu_2))$  for all  $w \in W$ , where

$$w(\nu_1, \nu_2) = \begin{cases} \left(\frac{2}{3} - \nu_1, \nu_1 + \nu_2 - \frac{1}{3}\right) & \text{if } w = s_1, \\ \left(\nu_1 + \nu_2 - \frac{1}{3}, \frac{2}{3} - \nu_2\right) & \text{if } w = s_2. \end{cases}$$

**Proposition 5.**

- (1)  $E_{s_1}^*(g; \nu_1, \nu_2)$  has a meromorphic continuation for all  $\nu_1, \nu_2 \in \mathbb{C}$ , and similarly for  $E_{s_2}^*$ .  
(2)  $E_{s_1}^*(g; \nu_1, \nu_2) = E_{s_1}^*(g; s_2(\nu_1, \nu_2))$ .  
(3)  $E_{s_1 s_2}^*(g; \nu_1, \nu_2)$  has a meromorphic continuation for all  $\nu_1, \nu_2 \in \mathbb{C}$ .  
(4)  $E_{s_1 s_2}^*(g; \nu_1, \nu_2) = E_{s_1 s_2}^*(g; s_2(\nu_1, \nu_2))$ .

5. FOURIER EXPANSION OF EISENSTEIN SERIES

We write  $E(g) = E(g; \nu_1, \nu_2) = E_{w_0}(g; \nu_1, \nu_2)$  following Bump's notations. It is known that

$$E(g) = E_0^0(g) + \sum_{\gamma_1 \in B_{\text{SL}_2} \setminus \text{SL}_2} E_{0,1}(\iota_{\alpha_1}(\gamma_1)g) + \sum_{\gamma_1 \in U_{\text{SL}_2} \setminus \text{SL}_2} W(\iota_{\alpha_1}(\gamma_1)g)$$

where:

- for  $c, d$ ,

$$E_c^d(g) = \int_{(\mathbb{A}/\mathbb{F})^2} E \left( \begin{pmatrix} 1 & x_3 \\ & 1 & x_1 \\ & & 1 \end{pmatrix} g \right) \psi(cx_3 + dx_1) dx_3 dx_1$$

and

$$E_{c,d}(g) = \int_{(\mathbb{A}/\mathbb{F})^3} E \left( \begin{pmatrix} 1 & x_2 & x_3 \\ & 1 & x_1 \\ & & 1 \end{pmatrix} g \right) \psi(cx_2 + dx_1) dx_3 dx_2 dx_1$$

where  $\psi$  is an additive character of  $\mathbb{A}/\mathbb{F}$ .

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$$W(g) = \int_{(\mathbb{A}/\mathbb{F})^3} E \left( \begin{pmatrix} 1 & x_2 & x_3 \\ & 1 & x_1 \\ & & 1 \end{pmatrix} g \right) \psi(x_2 + x_1) dx_1 dx_2 dx_3$$

and we denote  $W^*(g)$  to be the same integral of  $E^*$ .

**Theorem 6.**

- (1)  $E^*(g; \nu_1, \nu_2) = H^*(g; \nu_1, \nu_2) + \sum_{\substack{w^2=1 \\ w \in \{\text{id}, s_1, s_2\}}} (E_{s_1 s_2}^*(g; w(\nu_1, \nu_2)) - E_{s_1}^*(g; w(\nu_1, \nu_2)))$ .  
(2)  $H^*(g)$  is entire in  $\nu_1, \nu_2$ .

## 6. APPLICATIONS

- It is known that the  $\mathrm{GL}_3$ -Eisenstein series  $E(g)$  has a pole at  $\nu_1 = \nu_2 = 0$ .
- Let  $\kappa(g)$  be the coefficient of  $\nu_1^{-1}$  in the Taylor expansion of  $E$  at  $\nu_1 = \nu_2 = 0$ .
- (Bump–Goldfeld) If  $F/\mathbb{Q}$  is a cubic field, and  $\mathfrak{a}$  is an ideal class in  $F$ , one may associate with  $\mathfrak{a}$  a compact torus of  $\mathrm{GL}_3$ . If  $L_{\mathfrak{a}}$  is the period of  $\kappa(g)$  on this torus, then the Taylor expansion of  $L$ -function is

$$L(s, \mathfrak{a}) = \frac{\rho}{s} + L_{\mathfrak{a}} + O(s).$$

Therefore,

$$L(s; \theta) = \sum_{\mathfrak{a} \text{ ideal class}} \theta(\mathfrak{a}) L(s, \mathfrak{a})$$

for  $\theta$  a character on ideal classes.

- (Connection with Schubert Eisenstein series) Write

$$\zeta^*(s) = \frac{\rho}{s} + \delta + O(s).$$

Then

$$E_{s_1}^{**}(g; \nu_1, \nu_2) = \zeta^*(3\nu_1) E_{s_1}(g; \nu_1, \nu_2) = \frac{\rho}{3\nu_1} + \phi_{s_1}(g; \nu_2) + O(\nu_1).$$

**Theorem 7.**

$$\kappa(g) = \frac{\rho}{s} \zeta^*(2) \left( \widehat{E}_{s_2 s_1}^{**}(g; 0, 0) + E_{s_1}^{**}(g; 1, 0) \right) + c_0$$

where

$$\widehat{E}_{s_2 s_1}^{**}(g; \nu_1, \nu_2) = E_{s_2 s_2}^{**}(g; \nu_1, \nu_2) - E_{s_2}^{**}(g; \nu_1, \nu_2) - E_{s_2}^{**}(g; s_1(\nu_1, \nu_2))$$

and

$$c_0 = \frac{\rho}{3} (\delta \zeta^*(-1) + \rho(\zeta^*)'(-1)).$$