

Bernoulli numbers, Eisenstein Series and Cyclotomic units

Michael Zhao Memorial Student Colloquium

Eric Urban

Columbia University

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Fermat Last Theorem

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Let F be the cyclotomic field $\mathbf{Q}(\zeta_p)$ where $\zeta_p = e^{2i\pi/p}$. Using the factorization

$$\prod_{a=0}^{p-1} (x + \zeta_p^a y) = z^p,$$

one can show that FLT follows for p , if we know that $O_F = \mathbf{Z}[\zeta_p]$ is a UFD. It is false in general but Kummer proved that if p does not divide the class number of $\mathbf{Q}(\zeta_p)$, then FLT follows for p . Such prime numbers are called regular primes.

Bernoulli numbers

The Bernoulli numbers are the rational numbers B_n for $n \geq 1$ defined by

$$\frac{t}{1 - e^{-t}} = 1 + \sum_{n=1}^{\infty} B_n \cdot \frac{t^n}{n!}$$

Example: $B_1 = \frac{1}{2}$, $B_2 = \frac{1}{6}$, $B_{2n+1} = 0$ for $n \geq 1$, $B_4 = -\frac{1}{30} \dots$

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More generally, if χ is a Dirichlet character modulo N , we define the generalized Bernoulli numbers $B_{n,\chi}$ as follows:

$$\sum_{a=1}^{N-1} \chi(a) \frac{te^{at}}{e^{Nt} - 1} = \sum_{n=0}^{\infty} B_{n,\chi} \cdot \frac{t^n}{n!}$$

Example: $B_{1,\chi} = \frac{1}{N} \sum_{a=1}^{N-1} \chi(a) a$.

A refinement: The theorem of Herbrand-Ribet

Let $G := \text{Gal}(\mathbf{Q}(\zeta_p)/\mathbf{Q})$ and let $\omega : G \rightarrow (\mathbf{Z}/p\mathbf{Z})^\times$ be the Teichmüller character. Consider the action of G on the p -Sylow subgroup C of the class group $Cl_{\mathbf{Q}(\zeta_p)}$.

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$$C = \bigoplus_{i=0}^{p-1} C(i)$$

where $C(i)$ is the maximal subgroup of C for which G acts via ω^i . We then denote by $h(i)$ the order of $C(i)$. Then a refinement of Kummer's criterion is given by the following

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Theorem (Herbrand(1932)-Ribet(1976))

Let i be an odd integer between 3 and $p - 2$, then p divides $h(i)$ if and only if p divides B_{p-i}

Further refinement: The theorem of Mazur-Wiles.

Using elementary method, one can see from the definition of Bernoulli numbers that

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For a Dirichlet character χ , we consider the L -series

$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} \quad \text{CV for } \operatorname{Re}(s) > 1.$$

It has a meromorphic continuation to \mathbf{C} (holomorphic if χ is non trivial) and the following formula holds

$$L(1 - n, \chi) = -\frac{B_{n,\chi}}{n} \text{ if } n \geq 1 \text{ and } \chi(-1) = (-1)^n.$$

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- Use Dirichlet class Number Formulas for $\mathbf{Q}(\zeta_p)$ and $\mathbf{Q}(\zeta_p)^+$ that tell us that

$$\prod_{\substack{i=3 \\ \text{odd}}}^{p-2} L(0, \omega^{-i}) \sim h^- = \prod_{\substack{i=3 \\ \text{odd}}}^{p-2} h(i)$$

Modular forms

A modular form of weight k and nebentypus χ is a holomorphic function on the Poincaré upper half plane \mathbf{H} such that

$$f\left(\frac{az + b}{cz + d}\right) = \chi(d)(cz + d)^k \cdot f(z) \quad \forall \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(N)$$

and if $f(z)$ has a limit at each of the cusps of $\Gamma_0(N) \backslash \mathbf{H}$.

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and if $f(z)$ has a limit at each of the cusps of $\Gamma_0(N) \backslash \mathbf{H}$. It is said cuspidal if the value at the cusps are zero. A modular form f is called an eigenform if it is an eigen vector for all the Hecke operators T_q defined by

$$T_q \cdot f(z) = \sum_{i=0}^{q-1} f\left(\frac{z+i}{q}\right) + \chi(q)f(qz)$$

for q prime to N , then

$$f(z) = a_0(f) + \sum_{n=1}^{\infty} a_n(f) e^{2i\pi n z}. \quad (a_1 = 1 \text{ if } f \text{ normalized})$$

where $a_q(f)$ is the eigenvalue of T_q and $a_0(f)$ is called the constant term at the cusp ∞ .

Eisenstein series

For each integer $k \geq 3$, one can form the series

$$G_{k,\chi}(z) := \sum_{\substack{(c,d)=1 \\ N|c}} \chi(d)(cz + d)^{-k}$$

this defines a modular form of weight k and nebentypus χ . After renormalization, one gets

$$E_{k,\chi}(z) = \frac{L(1-k, \chi)}{2} + \sum_{n=1}^{\infty} \left(\sum_{\substack{d|n \\ (d,N)=1}} \chi(d)d^{k-1} \right) e^{2i\pi n z}$$

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It is an eigenform satisfying

$$T_q \cdot E_{k,\chi} = (1 + \chi(q)q^{k-1}) \cdot E_{k,\chi}$$

Galois representations

If f is an eigenform of weight k and nebentypus χ , it is known thanks to the works of Eichler-Shimura and Deligne, that there exists a continuous Galois representation

$$\rho_f: G_{\mathbf{Q}} \rightarrow GL_2(\mathbf{Z}_p)$$

unramified away from Np such that $\text{tr}(\rho_f(\text{Frob}_q)) = a_q(f)$ for $q \nmid Np$ and $\det(\rho_f) = \epsilon_{\text{cyc}}^{1-k} \chi$.

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$$\rho_f|_{I_p} \sim \begin{pmatrix} 1 & * \\ 0 & \epsilon_{\text{cyc}}^{1-k} \chi \end{pmatrix}$$

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$$\rho_{E_{k,\chi}} = \begin{pmatrix} \epsilon_{\text{cyc}}^{1-k} \chi & 0 \\ 0 & 1 \end{pmatrix}$$

Ordinary Eisenstein congruences

If $p^m | L(1 - k, \chi)$, then $E_{k, \chi}$ looks cuspidal modulo p^m . In fact, one can show that there exists g cuspidal of the same weight and level as $E_{k, \chi}$ such that

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where the upper right shoulder $*$ defines a non trivial extension and therefore an element in $H^1(G_{\mathbf{Q}}, \mathbf{Z}/p^m \mathbf{Z}(\chi \epsilon_{\text{cyc}}^{1-k}))$ which is unramified at p . By refining this argument and making it precise, Mazur and Wiles prove that $B_{1, \omega^{-i}}$ divides $h(i)$.

Cyclotomic units and the Kummer map

For any number field $F \subset \bar{\mathbf{Q}}$ and integer n , recall that we have the Kummer map

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It satisfies the **Norm Relations** of an Euler system:

$$\text{Cores}_{\mathbf{Q}(\zeta_N)}^{\mathbf{Q}(\zeta_{N\ell})}(c_{N\ell}) = \begin{cases} (1 - Fr_\ell^{-1}) \cdot c_N & \text{if } \ell \nmid N \\ c_N & \text{if } \ell | N \end{cases}$$

Another proof of MW theorem using this Euler system:

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- Uses Tate and Poitou-Tate duality theorems, the reciprocity law of Class Field Theory to exhibit many relations among elements in C . This allows him to bound the size of the class groups $C(i)$:

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for odd integer i between 3 and $p - 2$.

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- Uses the Dirichlet class Number Formula as in the original proof of Mazur-Wiles.

$$\prod_{\substack{i=3 \\ \text{odd}}}^{p-2} L(0, \omega^{-i}) \sim h^- = \prod_{\substack{i=3 \\ \text{odd}}}^{p-2} h(i)$$

Ordinary Eisenstein congruences and Euler systems combined

Using Eisenstein congruences and the Euler system of cyclotomic units one obtains a proof of MW theorem without invoking Dirichlet class number formula. Here is another example where these two technics are combined.

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Theorem (Kato(2004),Skinner-U.(2013))

Let E be an elliptic curve over the rational having good ordinary reduction at p such that $L(E, 1) \neq 0$ and having no p -torsion point over an abelian extension of \mathbb{Q} . Then the p -part of the BSD formula holds

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- The Euler system construction using Siegel units and the upper bound is obtained by the work of K. Kato.
- The Eisenstein congruences argument uses Klingen-Eisenstein series for $GU(2, 2)$ to obtain the right lower bound by the work of Skinner-U.

Non-ordinary Eisenstein congruences

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One can patch these congruences and use Ribet's lemma to construct a class $c_\chi \in H^1(\mathbf{Q}, \mathbf{Q}_p(1)(\chi)) = H^1(\mathbf{Q}(\zeta_N), \mathbf{Q}_p(1))^\chi$.

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This class c_χ is a multiple of the χ -isotypical component of c_N . However, the c_χ 's are defined up to an element in \mathbf{Q}_p^\times . Moreover, if one wants to use these classes in a way an Euler system is constructed, we need them to satisfy

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- (i) The classes are integrals
- (ii) They satisfy some norm relations

Non-ordinary Eisenstein congruences II

This raises the following questions:

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If the answer to Q1 is positive, can we relate the classes c_N 's to L -values or Bernoulli numbers? Or equivalently, are the c_N 's related to the cyclotomic units $(1 - \zeta_N)$ via the Kummer map?

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Question 3:

Can we prove Mazur-Wiles theorem using only Eisenstein congruences? (i.e. without invoking the class number formula)

Euler system via Eisenstein congruences

Theorem (U.)

There is a positive answer to three questions above. In other words, let a be an odd integer distinct from 1 modulo $p - 1$. For each integer N , there exists a class $C_{N,a} \in H_{Iw}^1(\mathbf{Q}(\zeta_N), \mathbf{Z}_p(1))^{\omega^a}$ constructed using Eisenstein congruences and satisfying the norm relation of an Euler system. Moreover, the image of $C_{1,a}$ in $H_{Iw}^1(\mathbf{Q}_p, \mathbf{Z}_p(1))^{\omega^a}$ is related to the ω^a -branch of the Kubota-Leopold p -adic L -function.

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Remarks: (i) The non-triviality of the classes come from the non triviality of the c_χ 's constructed above. The norm relations follow from the very definition of the construction where a normalization factor comes from the ordinary Eisenstein congruence number.

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Remarks: (i) The non-triviality of the classes come from the non triviality of the c_χ 's constructed above. The norm relations follow from the very definition of the construction where a normalization factor comes from the ordinary Eisenstein congruence number.

(ii) On the other hand, proving that the classes are integral is difficult. There are two proofs. One uses the Stickelberger theorem and is therefore not generalizable. The second proof (which is generalizable) uses the local-global compatibility property for the p -adic Langlands correspondence for $GL_2(\mathbf{Q}_p)$ using V. Paškūnas formalism.

Sketch of the construction I

We fix $N > 1$ prime to p and denote by \mathbf{T}_N the Hecke algebra generated by T_ℓ 's for ℓ prime to Np . There is a pseudorepresentation

$$t_N: \mathbf{G}_Q \rightarrow \mathbf{T}_N$$

unramified away from Np and such that $t_N(\text{Frob}_\ell) = T_\ell$. We consider $\mathbf{T}_N^{\text{red}}$ the maximal quotient of \mathbf{T}_N such that the push forward of t_N to $\mathbf{T}_N^{\text{red}}$ becomes reducible after restriction to G_{Q_p} . We have a natural maps

$$\mathbf{T}_N \rightarrow \mathbf{T}_N^{\text{red}} \rightarrow \mathbf{T}_N^{\text{ord}}$$

and we set $Q_N := \text{Ker}(\mathbf{T}_N \rightarrow \mathbf{T}_N^{\text{ord}})$ and we define Q_N^{red} similarly.

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$$\lambda_N: \mathbf{T}_N \rightarrow \Lambda_N := \mathbf{Z}_p[[1 + p\mathbf{Z}_p]][\mathbf{Z}/N\mathbf{Z}]^\times$$

interpolating the Hecke eigenvalues of Eisenstein series.

Sketch of the construction II

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- There exists a canonical exact sequence

$$0 \rightarrow \varprojlim_N \Lambda_N \rightarrow \varprojlim_N (Q_N \otimes_{\lambda_N} \Lambda_N) \rightarrow \varprojlim_N (Q_N^{\text{red}} \otimes_{\lambda_N} \Lambda_N) \rightarrow 0$$

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The difficulty left is to show that $Q_N^{\text{red}} \otimes_{\lambda_N} \Lambda_N$ is annihilated by an element $\mathcal{L}_N \in \Lambda_N$ defined such that for all even character χ of level Np^∞ with $\chi|_{(\mathbf{Z}/p\mathbf{Z})^\times} \neq 1$, we have

$$\chi(\mathcal{L}_N) = L^{Np}(1, \chi) \prod_{\ell|N} (1 - \chi(\ell)^{-1})$$

Sketch of the construction III

Using some local-global compatibility with p -adic Landlands correspondence, we can replace $Q_N \otimes \Lambda_N$ by a suitable quotient such that the exact sequence still holds and the corresponding co-kernel is killed by \mathcal{L}_N .

Sketch of the construction III

Using some local-global compatibility with p -adic Landlands correspondence, we can replace $Q_N \otimes \Lambda_N$ by a suitable quotient such that the exact sequence still holds and the corresponding co-kernel is killed by \mathcal{L}_N .

Let us call y'_N the projection of y_N the Galois cohomology of this quotient. Then, we have

$$C_{N,a} := e_{\omega^a} \cdot \mathcal{L}_N \cdot y'_N \in H^1(\mathbf{Q}, \Lambda_N(1))^{\omega^a} = H^1_{Iw}(\mathbf{Q}(\zeta_N), \mathbf{Z}_p(1))^{\omega^a}$$

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The classes are integral and the norm relation will follow from the one satisfied by \mathcal{L}_N :

$$\pi_{N,\ell}(\mathcal{L}_{N\ell}) = (1 - \langle \ell \rangle_N^{-1}) \cdot \mathcal{L}_N$$

where $\pi_{N,\ell}$ is the projection $\Lambda_{N\ell} \rightarrow \Lambda_N$.

THANK YOU !