

# Endomorphism Rings and Tate modules

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In this talk, we will study the properties of endomorphism rings and introduce Tate modules for abelian varieties following mainly §5 of Dembélé's notes.

## 1 Endomorphism ring of an abelian variety

Let  $A, B$  be abelian varieties over a field  $k$  and consider the set of homomorphisms of abelian varieties  $\text{Hom}(A, B)$ . If  $f, g \in \text{Hom}(A, B)$ , then we have a homomorphism  $f + g$  by pointwise addition. This gives  $\text{Hom}(A, B)$  the structure of an abelian group with the trivial morphism as the identity element. With  $A = B$ , we see that  $\text{End}(A)$  has a natural ring structure with composition as multiplication.

*Lemma 1.1.* Let  $A, B$  be abelian varieties over a field  $k$ . Then the group  $\text{Hom}(A, B)$  is torsion-free, i.e. for  $f \in \text{Hom}(A, B)$  and  $0 \neq n \in \mathbb{Z}$ ,  $n \cdot f = 0$  implies that  $f = 0$ .

*Proof.* We know that  $n \cdot f = f \circ [n]_A$ . For  $n \neq 0$ , we know that  $[n]_A$  is an isogeny, which is in particular surjective. Thus, if  $n \cdot f = 0$ , then  $f = 0$ .  $\square$

## 2 The isogeny category

Define a category **Isog** as follows. The objects are abelian varieties. For two abelian varieties  $A$  and  $B$ , we put

$$\text{Hom}_{\mathbf{Isog}}(A, B) = \text{Hom}(A, B) \otimes_{\mathbb{Z}} \mathbb{Q}.$$

We also write

$$\text{Hom}^0(A, B) := \text{Hom}_{\mathbf{Isog}}(A, B), \quad \text{End}^0(A) := \text{End}_{\mathbf{Isog}}(A).$$

If  $f : A \rightarrow B$  is an isogeny, then there exists an isogeny  $g : B \rightarrow A$  such that  $gf = [n]$ , for some  $n$  explain. It follows that  $\frac{1}{n}g$  is the inverse to  $f$  in **Isog**. In other words, isogenies are isomorphisms in **Isog**. In fact, **Isog** is an abelian category <sup>1</sup>.

**Theorem 2.1** (Poincaré reducibility). *Let  $A$  be an abelian variety, and let  $B$  be an abelian subvariety. Then there exists an abelian subvariety  $C$  such that  $B \cap C$  is finite and  $B \times C \rightarrow A$  is an isogeny.*

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<sup>1</sup>A category  $\mathcal{A}$  is abelian if it is additive, if all kernels and cokernels exist, and if the natural map  $\text{Coim}(f) \rightarrow \text{Im}(f)$  is an isomorphism for all morphisms  $f$  of  $\mathcal{A}$ . "the category that snake lemma works".

*Proof.* proof details Let  $i : B \hookrightarrow A$  be the inclusion and  $i^\vee : A^\vee \rightarrow B^\vee$  its dual. Let  $\lambda : A \rightarrow A^\vee$  be a polarization on  $A$ . Then, let

$$X = \ker(i^\vee \circ \lambda),$$

let  $C$  be the reduced subscheme of the zero component of  $X$ . Then  $C$  is an abelian variety<sup>2</sup>. From the theorem of dimension of fibres of morphisms, we know that

$$\dim C \geq \dim A - \dim B.$$

The restriction of  $i^\vee \circ \lambda$  to  $B$  is  $\lambda|_B$ , whose kernel is finite since  $\lambda$  is an isogeny. Thus,  $B \cap C$  is finite and  $B \times C \rightarrow A$  is an isogeny.  $\square$

*Definition 2.1.* Let  $A$  be a non-zero abelian variety  $X$  over a field  $k$ . We say that  $A$  is simple if the only subvarieties of  $A$  are  $0$  and  $A$ .

Note that an abelian variety that is simple over the ground field  $k$  need not be simple over an extension of  $k$ . Therefore, we will always specify by saying  $k$ -simple.

If we consider the simple abelian varieties to be the simple objects of the category **Isog**, Poincaré's theorem shows that **Isog** is semi-simple as an abelian category.

From the formalism of abelian categories, we know that:

1. The decomposition (up to isogeny) into a product of simple abelian varieties is unique (up to isogeny).
2. If  $A$  is a simple abelian variety then  $\text{End}^0(A)$  is a division algebra over  $\mathbb{Q}$ .

In particular,

**Proposition 2.1.** *Let  $A$  be a non-zero abelian variety over  $k$ . Then  $A$  is isogenous to a product of  $k$ -simple abelian varieties. More precisely, there exists  $k$ -simple abelian varieties  $B_1, \dots, B_r$ , which are pairwise non  $k$ -isogenous, and positive integers  $n_1, \dots, n_r$  such that  $A$  is  $k$ -isogenous to  $B_1^{n_1} \times \dots \times B_r^{n_r}$ , which we denote by*

$$A \sim_k B_1^{n_1} \times \dots \times B_r^{n_r}.$$

*Up to permutation, the  $B_i$ 's are unique up to  $k$ -isogenies, and the corresponding multiplicities  $n_i$  are uniquely determined.*

*Proof.* Repetitively apply Poincaré reducibility proves the existence of a decomposition. The uniqueness of decomposition follows from the fact that a homomorphism between two simple abelian varieties is either zero or an isogeny  $\square$

*Corollary 2.1.1.* Let  $A$  be an abelian variety defined over  $k$ .

1. If  $A$  is a simple abelian variety then  $\text{End}_k^0(A)$  is a division algebra.
2. If  $A \sim_k B_1^{n_1} \times \dots \times B_r^{n_r}$ , we have

$$\text{End}_k^0(A) = M_{n_1}(D_1) \times \dots \times M_{n_r}(D_r),$$

where  $D_i = \text{End}_k^0(B_i)$ .

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<sup>2</sup>definition used:

*Proof.* (i) Since  $\text{End}_k^0(A)$  consists only of isogenies, which are invertible. Thus,  $\text{End}_k^0(A)$  is a division algebra.  $\square$

In fact, we can say more about  $\text{End}_k^0(A)$  when  $A$  is  $k$ -simple using the Albert classification.

Let  $A$  be a  $k$ -simple abelian variety of dimension  $g$ . Let  $D = \text{End}_k^0(A)$  and let  $F$  be the center of  $D$ . Let

$$\iota : D \rightarrow D, x \mapsto x^\dagger$$

be the Rosati involution<sup>3</sup> on  $A$ . Let  $F^\dagger = \{x \in D \mid x^\dagger = x\}$  be the fixed elements of the involution. In fact,  $F^\dagger$  is a totally real subfield of  $F$ . Let  $e = [F : \mathbb{Q}]$  and  $e^\dagger = [F^\dagger : \mathbb{Q}]$ . Let  $d \geq 1$  be such that  $[D : F] = d^2$ .

**Theorem 2.2** (Albert classification). *Let  $A$  and  $D$  be as above. Then  $D$  is isomorphic to an algebra of one of the following four types:*

1.  $D = F = F^\dagger$ , and the Rosati involution is the identity map. In this case,  $e \mid g$ .
2.  $F = F^\dagger$ , and  $D$  is a totally indefinite quaternion division algebra over  $F$ . i.e., for any embedding  $\sigma : F \rightarrow \mathbb{R}$ , one has that  $D \otimes_\sigma \mathbb{R} \cong M_2(\mathbb{R})$ . In this case  $2e \mid g$ .
3.  $F = F^\dagger$ , and  $D$  is a totally definite quaternion division algebra over  $F$ . i.e., for any embedding  $\sigma : F \rightarrow \mathbb{R}$ , one has that  $D \otimes_\sigma \mathbb{R} \cong \mathbb{H}$ , where  $\mathbb{H}$  is the Hamiltonian quaternion algebra. In this case  $e^2 \mid g$ .
4.  $F$  is a CM extension of  $F^\dagger$  and  $D$  is a division algebra with center  $F$ . In this case  $e^\dagger d^2 \mid g$  if  $\text{char}(k) = 0$  and  $e^\dagger d \mid g$  if  $\text{char}(k) > 0$ .

### 3 The Tate module of an abelian variety

Let  $A/k$  be an abelian variety of dimension  $g$  and let  $n$  be an integer such that  $(\text{char}(k), n) = 1$ . We have an isomorphism of abelian groups corollary 1.18:

$$A[n](\bar{k}) = (\mathbb{Z}/n\mathbb{Z})^{2g}.$$

Let  $\ell \neq \text{char}(k)$  be a prime. The  $\ell$ -adic Tate module of  $A$ :

$$T_\ell(A) := \varprojlim A[\ell^n]$$

is defined by the inverse limit of the groups  $A[\ell^n](\bar{k})$ , where the transition maps are multiplication by  $\ell$ . Thus, we have an isomorphism

$$T_\ell(A) \cong \mathbb{Z}_\ell^{2g}.$$

The Tate module comes equipped with a Galois action by the absolute Galois group  $G_k = \text{Gal}(\bar{k}/k)$  which is compatible with the inverse limit. Upon picking a basis, this action can be thought of as a homomorphism

$$\rho : G_k \rightarrow GL_{2g}(\mathbb{Z}_\ell).$$

If  $f : A \rightarrow B$  is a homomorphism of abelian varieties, then  $f$  induces a  $\mathbb{Z}_\ell$ -linear  $G_k$ -equivariant map

$$T_\ell f : T_\ell(A) \rightarrow T_\ell(B).$$

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<sup>3</sup>for  $x \in D$ , the Rosati involution associated to a polarization is  $x^\dagger = \phi^{-1} \circ x^\vee \circ \phi$

*Lemma 3.1.* Let  $A$  and  $B$  be abelian varieties over a field  $k$ , and  $f \in \text{Hom}(A, B)$ . Let  $\ell$  be as above. If  $T_\ell(f)$  is divisible by  $\ell^m$  in  $\text{Hom}_{\mathbb{Z}_\ell}(T_\ell(A), T_\ell(B))$  then  $f$  is divisible by  $\ell^m$  in  $\text{Hom}(A, B)$ .

*Proof.* If  $T_\ell(f)$  is divisible by  $\ell^m$ , we know that  $f$  vanishes on  $A[\ell^m](\bar{k})$ . Since  $(n, \text{char}(k)) = 1$ , we know that  $A[\ell^m]$  is an etale group scheme. Thus,  $f$  is zero on  $A[\ell^m]$  and  $\ker[\ell^m]_A = A[\ell^m] \subset \ker(f)$ . Therefore,  $f$  factors through  $[\ell^m]_A$ .  $\square$

The Tate module construction can be applied to  $\mathbf{G}_m$ . In this case, if  $(n, \text{char}(k)) = 1$ , then the  $\bar{k}$ -points of  $\mathbf{G}_m[n]$  are just the group  $n$ -th roots of unity, which is isomorphic to  $\mathbb{Z}/n\mathbb{Z}$ . Thus, we have a group isomorphism

$$T_\ell(\mathbf{G}_m) \cong \mathbb{Z}_\ell.$$

The Galois action of  $G_k$  gives a representation

$$\varepsilon : G_k \rightarrow GL_1(\mathbb{Z}_\ell) = \mathbb{Z}_\ell^\times,$$

which is just the cyclotomic character we are familiar with. One often writes  $\mathbb{Z}_\ell(1)$  for  $T_\ell(\mathbf{G}_m)$ .

## 4 The Weil pairings

**Proposition 4.1.** *Let  $A/k$  be an abelian variety and  $n > 0$  an integer such that  $(n, \text{char}(k)) = 1$ . Then there exists a pairing*

$$e_n : A[n] \times A^\vee[n] \rightarrow \mu_n$$

that is

1. *Bilinear*
2. *Non-degenerate*
3. *Galois equivariant:  $e_n(\sigma x, \sigma y) = \sigma e_n(x, y)$  for  $\sigma \in G_k$ .*
4. *Compatibility: if  $x \in A[nm]$  and  $y \in A^\vee[n]$ , then  $e_{nm}(x, y) = e_n(mx, y)$ .*

Let  $\lambda : A \rightarrow A^\vee$  be a polarization on  $A^4$ . Then, we obtain the pairing

$$\begin{aligned} e_n^\lambda : A[n] \times A[n] &\rightarrow \mu_n \\ (x, y) &\mapsto e_n(x, \lambda(y)). \end{aligned}$$

We call  $e_n$  and  $e_n^\lambda$  *Weil pairings*. Note that  $e_n^\lambda$  satisfies the same properties as  $e_n$ . Moreover, we have an additional property does it come from property of dual:

$$e_n^\lambda(x, x) = 1 \implies e_n^\lambda(x, y) = e_n^\lambda(y, x)^{-1},$$

which we call *alternating*.

The Weil pairing also behaves well under isogenies. In particular,

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<sup>4</sup>A polarization is an isogeny  $\phi : A \rightarrow A^\vee$  associated with an ample line bundle

**Proposition 4.2.** *Let  $f : A \rightarrow B$  be an isogeny of polarized varieties, where  $\lambda_A$  and  $\lambda_B$  are the respective polarizations. Then we have*

$$e_n^{\lambda_B}(f(x), y) = e_n^{\lambda_A}(x, f^\vee(y)), \quad \text{for all } x \in A[n], y \in B[n].$$

The compatibility condition allows us to take inverse limit of the  $e_n^\lambda$ 's to obtain a pairing on the Tate module:

$$e^\lambda : T_\ell(A) \times T_\ell(A) \rightarrow \mathbb{Z}_\ell(1).$$

This pairing satisfies the same properties as  $e_n$ .

The following propositions show how the Tate module is relevant in the study of morphisms.

**Proposition 4.3.** *Let  $A/k$  be an abelian variety. The degree map*

$$\begin{aligned} \text{End}^0(A) &\rightarrow \mathbb{Q} \\ c \otimes \phi &\mapsto c \deg(\phi) \end{aligned}$$

*is a homogeneous polynomial function<sup>5</sup> of degree  $2g$  on  $\text{End}^0(A)$ . i.e.*

$$\deg(n\phi) = n^{2g} \deg(\phi).$$

*Corollary 4.0.1.* Let the notations be as in the proposition above. There is a polynomial  $P_\phi(X) \in \mathbb{Q}[X]$  of degree  $2g$  such that for all  $n \in \mathbb{Q}$ ,

$$P_\phi(n) = \deg(\phi - [n]_A).$$

We see that  $P_\phi$  is monic and it has integer coefficients when  $\phi \in \text{End}(A)$ . We call  $P_\phi$  the characteristic polynomial of  $\phi$  and we define the trace of  $\phi$  by the equation

$$P_\phi(X) = X^{2g} - \text{Tr}(\phi)X^{2g-1} + \dots + \deg(\phi).$$

**Proposition 4.4.** *Let  $A$  be as above and let  $\phi \in \text{End}(A)$ . For  $\ell$  as before,  $P_\phi(X)$  is the characteristic polynomial of  $\phi$  acting on  $V_\ell(A) = T_\ell(A) \otimes \mathbb{Q}_\ell$ . Hence, the trace and degree of  $\phi$  are the trace and determinant of  $\phi$  acting on  $V_\ell(A)$ .*

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<sup>5</sup>Note that this definition is only well defined for  $\text{End}$  instead of for  $\text{End}^0$  due to the "homogeneous polynomial" behavior.