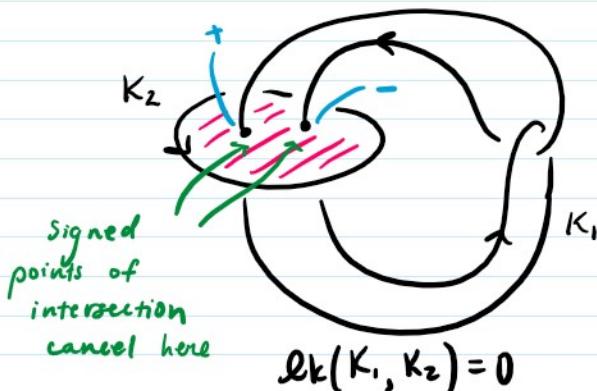
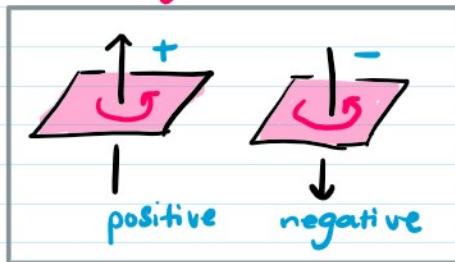


Let  $K_1, K_2$  be disjoint oriented knots in  $S^3$ . Their linking number is any of the following equivalent defns:

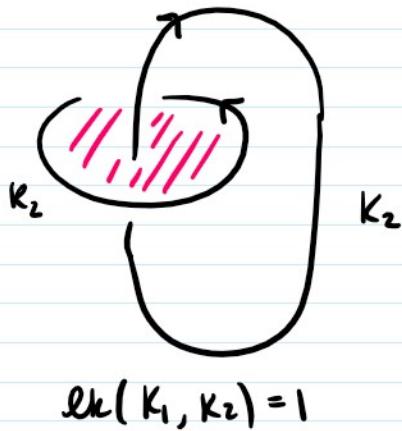
- ① signed count of the number of times  $K_1$  intersects a Seifert surface for  $K_2$



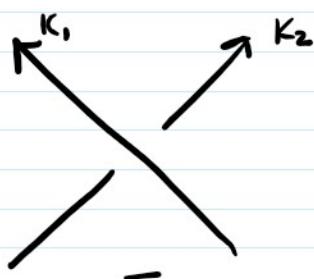
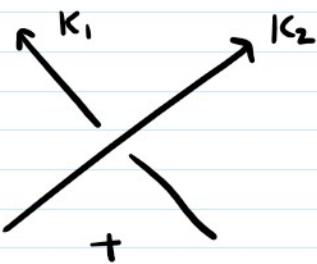
Rmk: it doesn't depend on which Seifert surface you choose



Note: choice of  $K_1$  and  $K_2$  doesn't matter,  
so linking # is symmetric



- ② signed count of the # of times  $K_1$  crosses under  $K_2$



(or signed count of all crossings between  $K_1$  and  $K_2$  divided by 2)

③  $[K_1]$  is a 1-cycle in  $H_1(S^3 - K_2) = \mathbb{Z} = \langle m \rangle$

$m$  = meridian of  $K$

$$[K_1] = lk(K_1, K_2) \cdot m$$

↳ this def'n makes it clear that this def'n is well-defined

**Exercise:** Show the linking # is symmetric

$$\text{i.e. } lk(K_1, K_2) = lk(K_2, K_1)$$

## SURGERY

Note: Dr. Hom is not a medical doctor

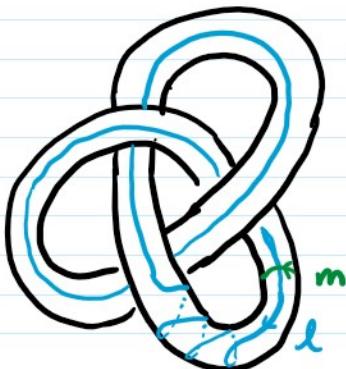
$L \subset Y^3$  remove a tubular nbhd of  $L$  and Dehn fill

Let's focus on  $K \subset S^3$

$X_K = S^3 - \overline{v(K)}$  is called the exterior of  $K$

Recall:  $H_1(X_K) = \mathbb{Z}$

$X_K =$



preferred basis for  $H_1(2X_K) = \mathbb{Z}^2$

$m$  = meridian

$\ell$  = longitude

longitude  $\ell$  s.t.  $[\ell] = 0 \in H_1(X_K)$



$$\text{lk}(l, K) = 0$$



$l = F \cap \partial X_K$ ,  $F$  Seifert Surface for  $K$

$l$  is called the **canonical longitude** when  $[l] = 0$

Rmk: up to orientation,  $m$  and  $l$  are unique

Any s.c.c.  $\gamma \subset \partial X_K$  is isotopic to  $p \cdot m + q \cdot l$

for  $p, q \in \mathbb{Z}$ ,  $p, q$  relatively prime

We often write  $\frac{p}{q}$

note:  $\frac{1}{0}$  corresponds to  $m$  and gives back  $S^3$

" $\infty$  filling"

$S^3_{p/q}(K)$  = Dehn filling of  $X_K$  along  $\gamma = p \cdot m + q \cdot l$



surgery coefficient

example:  $S^3_\infty(K) = S^3$

If  $p/q \in \mathbb{Z}$  (i.e.  $q = \pm 1$ ), this is called **integral surgery**

otherwise it is called **rational surgery**

Note:

1) In general, for  $K \subset Y$  there is not a canonical longitude.

(there is a canonical longitude if  $Y$  is an  $\mathbb{H}S^3$ )

2) The notion of integral surgery still makes sense for  $K \subset Y$

$\hookrightarrow$   $\gamma$  runs exactly once along some longitude

**Theorem** (Lickorish and Wallace)

Every closed orientable 3-mfd  $Y$  can be obtained by surgery (integral surgery) on a link in  $S^3$ .

do surgery along each component  
of the link

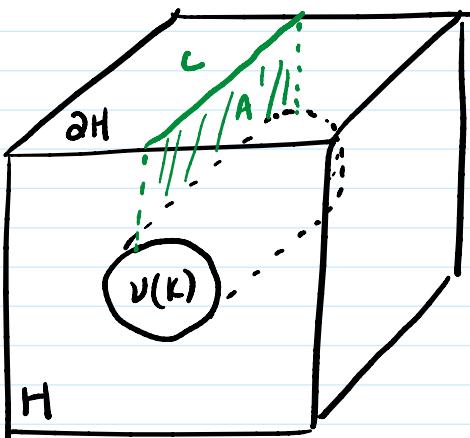
**Lemma**

Let  $h_1, h_2 : \partial H \rightarrow \partial H'$  be homeomorphs s.t.  $h_1 = h_2 \circ T_c$   
where  $T_c$  is a Dehn twist along a s.c.c.  $c \subset \partial H$ . Then  
 $Y_2 = H \cup_{h_1} H'$  obtained from  $Y_1 = H \cup_h H'$  by an  
integral surgery along a knot  $K \subset Y_1$  that is isotopic to  $c$

proof of lemma:

push  $c$  into  $H$  to obtain knot  $K \subset H$

$A =$  annulus connecting  $c$  and  $\overline{\partial \nu(K)}$



$$\varphi: H - \nu(K) \longrightarrow H - \nu(K)$$

- cut along annulus  $A$
- twist one end by  $360^\circ$

• reglue

$$\text{Note: } \varphi|_{\partial H} = T_c$$

$\varphi|_{\partial \overline{\nu(K)}}$  = twist along longitude  $A \cap \overline{\nu(K)}$

$$\text{Let } Y_i' = (H - \nu(K)) \cup_{h_i} H' \quad i=1,2$$

$\Phi : Y_1' \longrightarrow Y_2'$  homeomorphism

$$\Phi(x) = \begin{cases} \varphi(x) & x \in H - \overline{\nu(K)} \\ x & x \in H' \end{cases}$$

these agree for  $x$  on boundary because  $h_1 = h_2 \circ T_c$

$$\begin{array}{ccc} \partial H & \xrightarrow{h_1} & \partial H' \\ T_c \downarrow & & \downarrow \text{id.} \\ \partial H & \xrightarrow{h_2} & \partial H' \end{array}$$

$Y_1 - \nu(K)$  homeom. to  $Y_2 - \nu(K)$

$\Rightarrow Y_2$  is obtained from  $Y_1$  by surgery along  $K$

Surgery is integral since  $\Phi$  maps a meridian  $m$  to  $m \pm l$

—————

proof of theorem:

$Y = Hg \cup_{h_2} H_g'$  for some genus  $g$ ,  $h_2$

$S^3 = Hg \cup_{h_1} H_g'$  for some  $g$ ,  $h_1$

convention: orientation reversing  
so  $h_2^{-1}h_1$  is orientation preserving

$h_2^{-1}h_1$  homes on surface of genus  $g$ , so

$$h_2^{-1}h_1 \in \text{Mod}(\Sigma_g)$$

so  $h_2^{-1}h_1 = T_{c_1} \dots T_{c_n}$  where  $T_{c_i}$  is a Dehn twist along  $c_i$

By the lemma, composing with a Dehn twist corresponds to

integral surgery along a knot, so a sequence of Dehn twists  
corresponds to surgery on a link.

---

Exercise: ①  $H_1(S^3_{p/q}(K)) = \mathbb{Z}/p\mathbb{Z}$

②  $L(2,1) \# L(2,1)$  is not surgery along  
any knot in  $S^3$ ,  $S^3_{p/q}(K)$

sol'n:  $H_1(S^3_{p/q}(K)) = \mathbb{Z}_p$

$$H_1(L(2,1) \# L(2,1)) = \mathbb{Z}_2 \oplus \mathbb{Z}_2$$

A link in  $S^3$  together with a reduced fraction  $p/q$  for each  
component is called a **framed link**

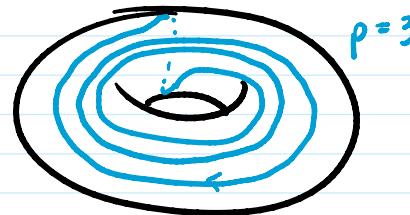
Example:

$$L(p,1) \quad p \geq 2$$

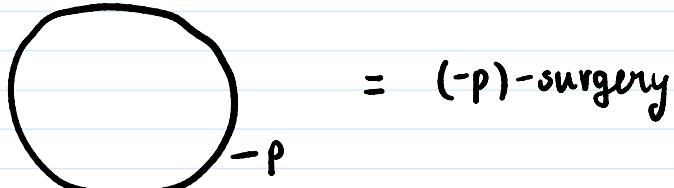
$$L(p,1) = \begin{matrix} \text{solid torus} & U_f & \text{solid torus} \\ (\mu_1, \lambda_1) & & (\mu_2, \lambda_2) \end{matrix}$$

$$f = \begin{pmatrix} -1 & 0 \\ p & 1 \end{pmatrix}$$

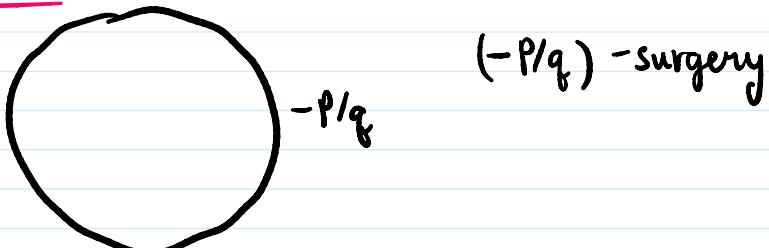
$$\mu_1 \text{ gets attached to } -\mu_2 + p\lambda_2$$



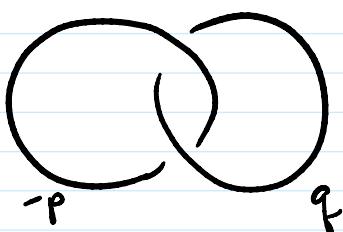
$-\mu_2 + p\lambda_2 = \ell$ -pm in the knot complement (of the unknot)



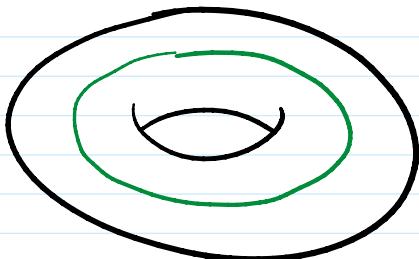
Exercise:



Example:



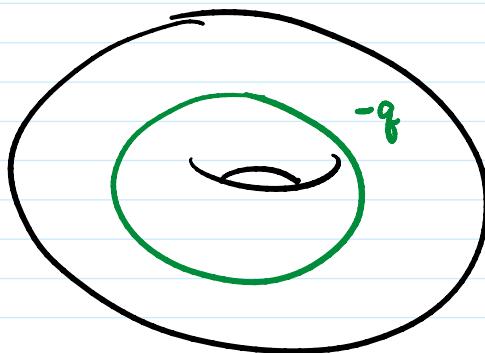
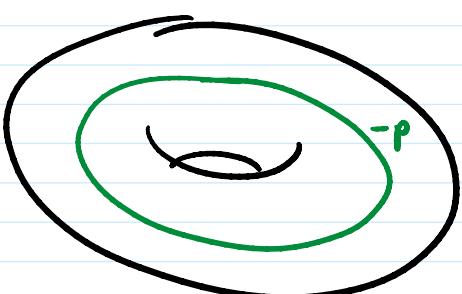
claim: this is  $L(pq-1, q)$



let's do  $(-p)$ -surgery on the core  
and  $(-q)$ -surgery on core of another  
solid torus



solid torus



glue together  
via  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

$$\begin{pmatrix} -1 & 0 \\ p & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ q & 1 \end{pmatrix} = \begin{pmatrix} -q & -1 \\ pq-1 & p \end{pmatrix}$$

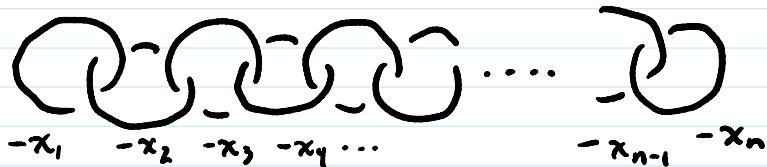
$$= L(pq-1, q)$$

### Remarks:

- Complement of Hopf link is  $T^2 \times I$
- you can't get everything from  $L(pq-1, q)$

### Exercise:

Any lens space  $L(p, q)$  has an integral surgery description



$p/q = [x_1, \dots, x_n]$  is a continued fraction decomposition

$$[x_1, \dots, x_n] = x_1 - \cfrac{1}{x_2 - \cfrac{1}{x_3 - \cfrac{1}{x_4 - \dots}}}$$

fact: any fraction  $p/q$  can be written in this way

**Remarks:**

- Sometimes we draw that picture as

$$\begin{array}{ccccccccc} \bullet & \bullet & \bullet & \bullet & \cdots & \bullet & \bullet \\ -x_1 & -x_2 & -x_3 & -x_4 & \cdots & -x_{n-1} & -x_n \end{array}$$

- these are not unique.

**Exercise:**  $L(7,3)$

$$\begin{array}{c} \bullet \quad \bullet \quad \bullet \\ -3 \quad -2 \quad -2 \end{array} \quad \begin{array}{c} \bullet \quad \bullet \\ -2 \quad 3 \end{array}$$
$$\frac{7}{3} = 3 - \frac{1}{2 - \frac{1}{2}}$$
$$\frac{7}{3} = 2 - \frac{1}{-3}$$

## SEIFERT MANIFOLDS, revisited

$M((a_1, a_2), \dots, (a_n, b_n))$  Seifert mfds of genus 0  
w/  $n$  singular fibers

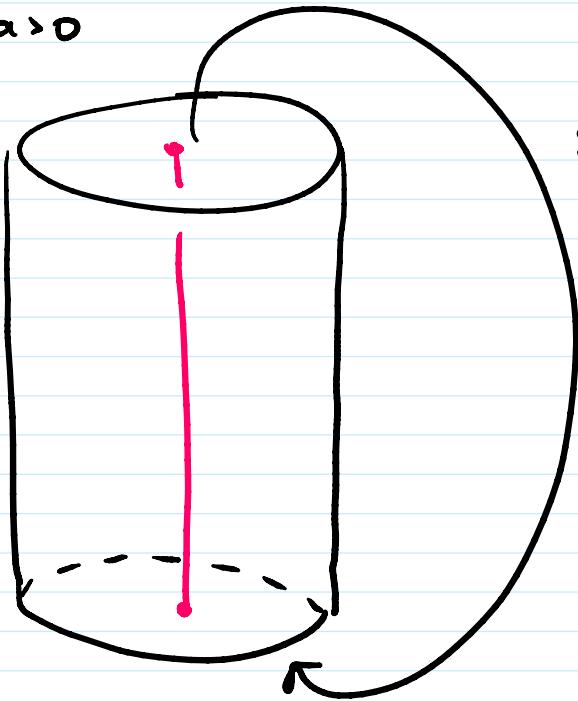
More generally, a **Seifert manifold** is a closed 3-mfd together with a decomposition into a disjoint union of circles (**called fibers**) such that each fiber has a tubular nbhd that forms a standard fibered torus

**standard fibered torus:**

(\*)

$a, b$  rel. prime,  $a > 0$

$D^2 \times I$



glued with a  
 $\frac{2\pi b}{a}$  twist

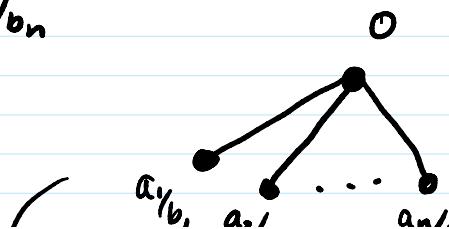
If  $a=1$ , then the center  $|$  is called singular

### Exercise:

Find a decomposition of  $M((a_1, b_1), \dots, (a_n, b_n))$  into a disjoint union of circles satisfies (\*)

### Example:

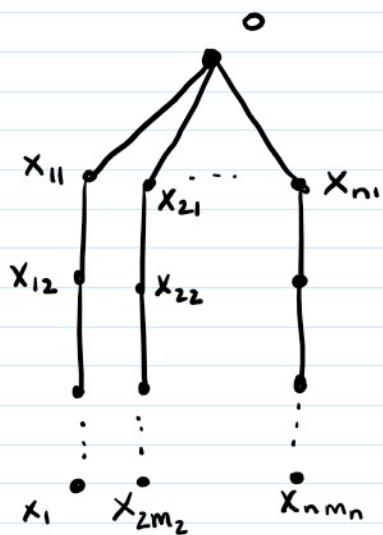
$M((a_1, b_1), \dots, (a_n, b_n))$



$$a_i/b_i = [x_{i1}, \dots, x_{im_i}]$$

$$a_i/b_i = [x_{i1}, \dots, x_{im_i}]$$

$$a_1/b_1, a_2/b_2, \dots, a_n/b_n$$



## SURGERY AND 4-MFDS

An oriented compact smooth 4-mfd  $W$  is called a **cobordism** between two oriented 3-mfds  $\gamma_0$  and  $\gamma_1$ , if

$$\partial W = -\gamma_0 \sqcup \gamma_1$$

If  $\gamma_0 = \emptyset$ , then we say that

$\gamma_1$  is **cobordant to zero** or **null-homotopic**

### Exercise:

Cobordism is an equivalence relation

### Key construction:

An **integrally framed link**  $L \subset Y$  describes a cobordism:

$K \subset Y$  with a framing  $\gamma \in \mathcal{Z}(\gamma - \nu(K))$  such that

$$[\gamma] = [K] \in H_1(r(K))$$

$W = (\gamma \times I) \cup_h (D^2 \times D^2)$ ,  $W$  called a knot trace  
2-handle

$\gamma$  determines  $h$  in the following way:

attach a 2-handle  $D^2 \times D^2$  to  $\gamma \times \{I\}$

$$h: \begin{matrix} 2D^2 \times D^2 \\ \parallel \\ S^1 \end{matrix} \longrightarrow \overline{r(K)} \quad \gamma \times \{I\}$$

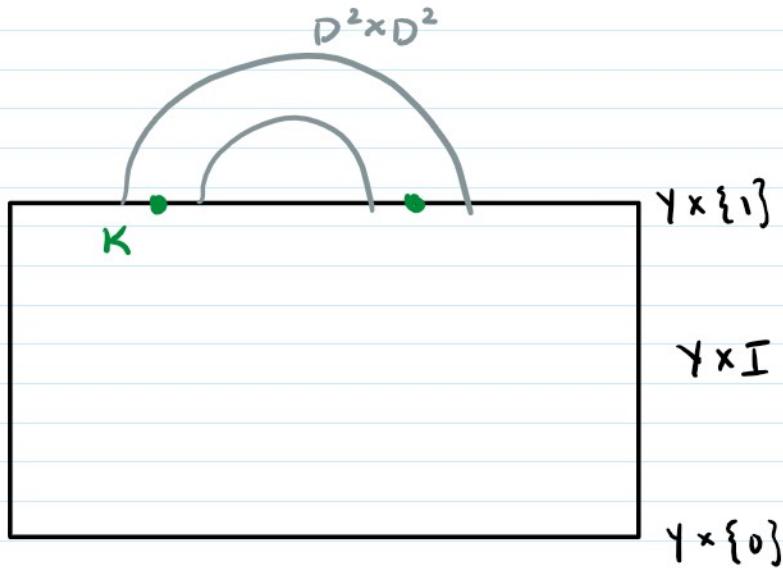
$$h(S^1 \times \{0\}) = K$$

$\parallel$   
center

$$h(S^1 \times \{x\}) = \gamma$$

$\overset{\wedge}{\underset{2D^2}{\parallel}}$

Exercise:  $h$  is unique up to isotopy.



Theorem:  $W = (\gamma \times I) \cup_h (D^2 \times D^2)$

$W$  is a cobordism between  $\gamma$  and  $\gamma$ -framed surgery on  $K \subset \gamma$

Proof: What happened in  $\partial W$ ?

proof: What happened in  $\partial W$ ?

1.  $Y \times \{0\}$  homeomorphic to  $Y$

2.  $Y \times \{1\}$  with  $\overline{\nu(k)} = h(2D^2 \times D^2)$

replaced by  $D^2 \times 2D^2$

meridian  $2D^2 \times \{x\}$  identified with  $\gamma$

↳ i.e.  $\gamma$ -framed surgery.

Note:  $W$  has corners which can be canonically smoothed.

—————