

Last time: lens spaces

(see Rolfsen Ch. 9.B for more)

handles

(see Grompf & Stipsicz Ch. 4.1-4.5 for more)

Let  $X$  be an  $n$ -mfd with boundary and  $0 \leq k \leq n$

An  $n$ -dimension  $k$ -handle is  $D^k \times D^{n-k}$  attached to the boundary  $\partial X$  along  $(\partial D^k) \times D^{n-k}$  via an embedding  $f: (\partial D^k) \times D^{n-k} \rightarrow \partial X$

$X = B^3$



3-dim 1-handle  $D^1 \times D^2$   
attached along  $(\partial D^1) \times D^2$   
 $\{0, 1\} \times D^2$

What happened to boundary?

$B^3$  boundary  $S^2$  became  $T^2$

$$\begin{aligned} \partial(D^k \times D^{n-k}) &= (\partial D^k) \times D^{n-k} \sqcup D^k \times (\partial D^{n-k}) \\ &= S^{k-1} \times D^{n-k} \sqcup D^k \times S^{n-k-1} \end{aligned}$$

Given a 3-mfd  $M$  whose boundary is  $T^2$ , a Dehn filling of  $M$  is

$$Y = M \cup_f D^2 \times S^1$$

$$f: T^2 \rightarrow T^2$$

$Y$  is determined by a s.c.c.  $\gamma \in \partial M$  that is the image of a meridian  $\mu = S^1 \times \{pt\} \subset D^2 \times S^1$

Recall: attaching a solid torus to  $M$  can be done in two steps:

① First attach  $D^2 \times D^1$  along  $\partial D^2 \times D^1 = S^1 \times D^1$

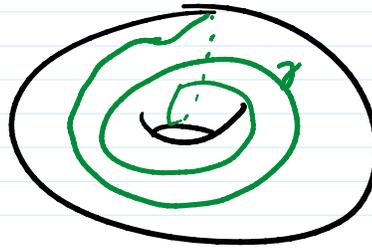
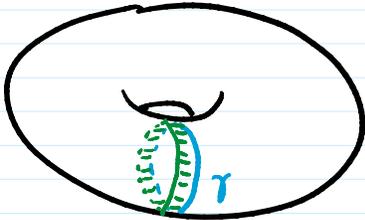


specified by a non-null homologous in  $\partial M$  s.c.c.  $\gamma$

this implies that the resulting  $\partial$  is  $S^2$   
(exercise: check this)

⑪ Attach a 3-ball along resulting  $S^2$  boundary

$$\partial M = T^2$$



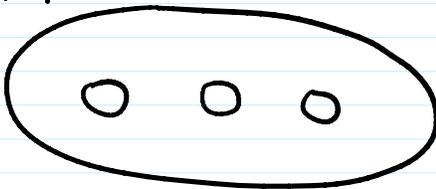
attach thickened disk  
resulting boundary is  $S^2$

SEIFERT MANIFOLDS (generalizations of lens spaces)

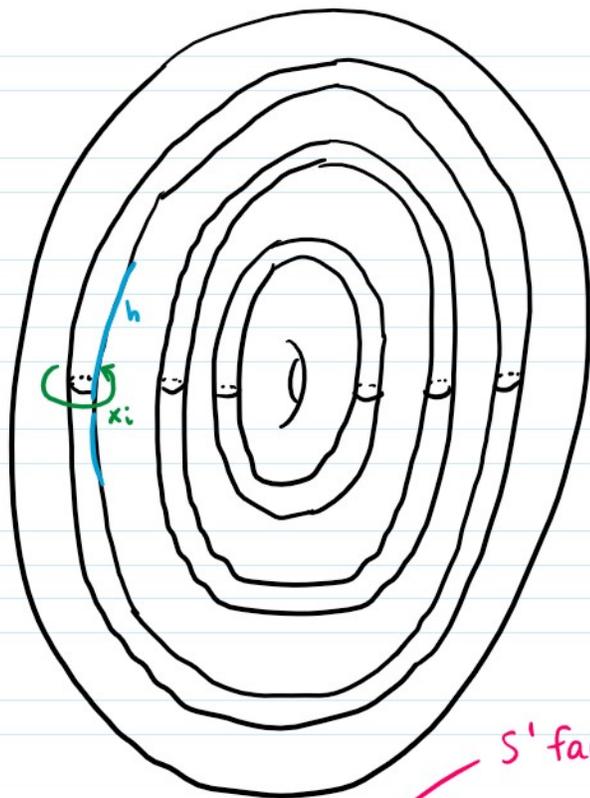
$$F = S^2 \setminus \text{int}(D_1^2 \cup \dots \cup D_n^2)$$

↑ genus zero (can increase genus ? do same thing)

$$n=4$$

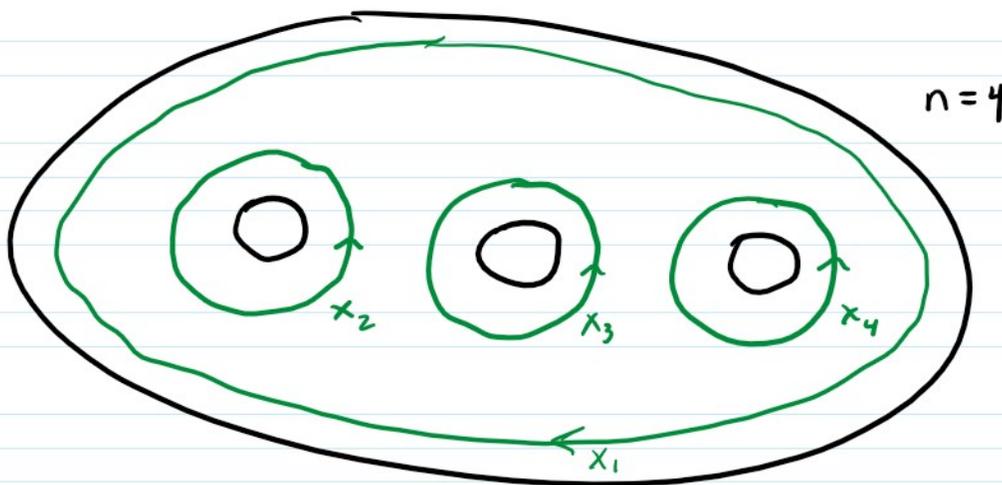


$F \times S^1$



$S^1$  factor

$$\pi_1(F \times S^1) = \langle x_1, x_2, \dots, x_n, h \mid x_i h = h x_i, x_1 x_2 \dots x_n = 1 \rangle$$



exercise: do this yourself w/ Seifert van-Kampen

Want: Dehn fill among the holes

$(a_i, b_i) \quad 1 \leq i \leq n \quad a_i \geq 2 \quad a_i, b_i$  relatively prime

- give in a solid torus to each boundary component such that the meridian of the  $i^{\text{th}}$  solid torus is isotopic to  $a_i x_i + b_i h$

The image of  $\{0\} \times S^1 \subset D^2 \times S^1$  is the  $i^{\text{th}}$  singular fiber (a.k.a exceptional fibers)

The result is a Seifert manifold  $M((a_1, b_1), \dots, (a_n, b_n))$  of genus 0 with  $n$  singular fibers

Example:

$n=1$   $M((a_1, b_1)) = L(b, a)$  (exercise: check this)

$n=2$   $M((a_1, b_1), (a_2, b_2))$  is also a lens space

**Exercise:** show this is a lens space and compute fundamental group (should depend on  $a_i$ 's and  $b_i$ 's)

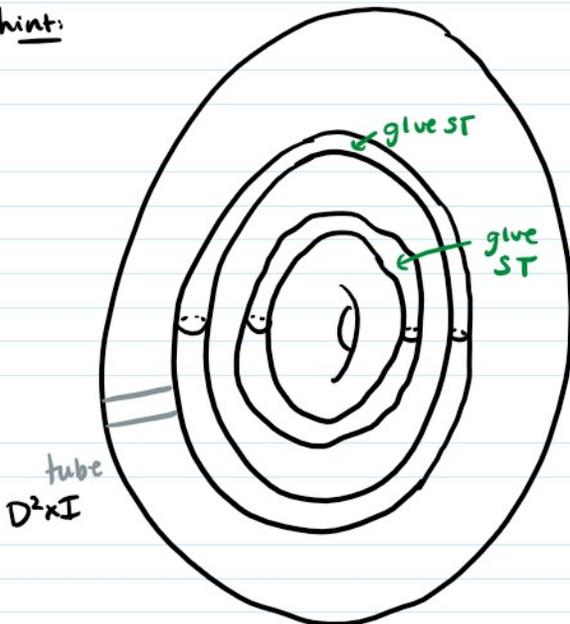
**Exercise:** Show that a Seifert manifold of genus 0 with at least 3 singular fibers is not a lens space.

hint: Compute  $\pi_1$  and  $H_1$

**Exercise:** Check that a Seifert manifold  $M((a_1, b_1), (a_2, b_2), (a_3, b_3))$  has Heegaard genus 2.

hint:

give an outside solid torus



want: two  $H_2$

$$H_2 = ST_1 \cup \text{tube} \cup ST_2$$

$$H_2' = ((D^2 \setminus \text{int}(D^2 \cup D^2)) \times S^1) - \text{tube} \cup ST_3$$

HEEGAARD DIAGRAMS

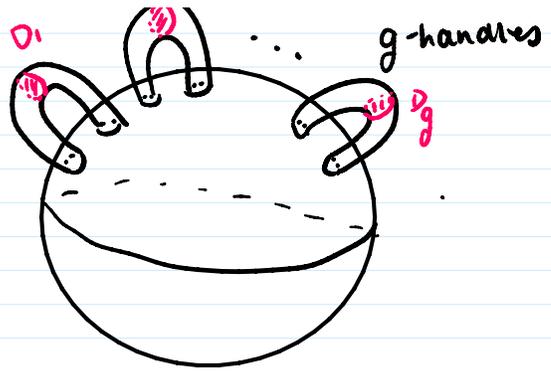
$$Y = H_a \cup H_a'$$

$$H_g =$$



$$Y = H_g \cup H'_g$$

$$H_g =$$



Observe:  $H_g = B^3 \cup \bigcup_{i=1}^g D^2 \times I$

Attach  $H$  to  $H'$  by first attaching  $D_i \times I$  ( $i=1, \dots, g$ ) so that the image  $\partial D_i \times \{\frac{1}{2}\}$  is  $\alpha_i$

Then attach  $B^3$  ( $\exists$  a unique way to do so)

Conclusion: The  $g$ -tuple  $\alpha_1, \dots, \alpha_g \subset \partial H'_g$  determines  $Y$

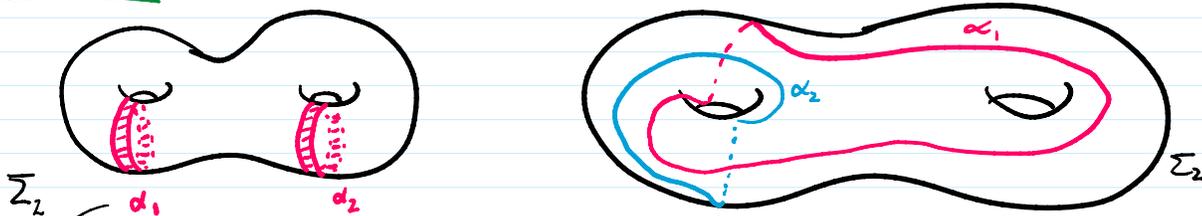
Let  $\{\alpha_1, \dots, \alpha_g\} \subset \Sigma_g$  is a  $g$ -tuple of s.c.e.s that are linearly independent in  $H_1(\Sigma_g)$

Can attach 2-handles to  $\Sigma_g \times [0, 1]$  along  $\alpha_1 \times \{0\}, \dots, \alpha_g \times \{0\}$

Result is a 3-mfd with two boundary components:  $\Sigma_g$  and  $S^2$   
(can see this with an Euler char. argument)

Attach a 3-ball to  $S^2$  boundary and then the result is a genus  $g$  handlebody.

Examples:



Exercise:  $\alpha_1, \dots, \alpha_g$  are linearly independent in  $H_1(\Sigma_g; \mathbb{Z})$

$$\iff \Sigma_g \setminus \{\alpha_1, \dots, \alpha_g\} \text{ is connected}$$

these encode how to fill in the "interior" handlebody

→ these encode how to fill in the "interior" handlebody

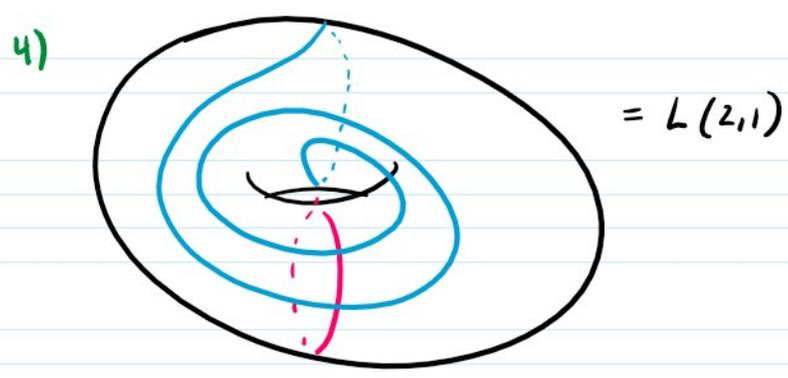
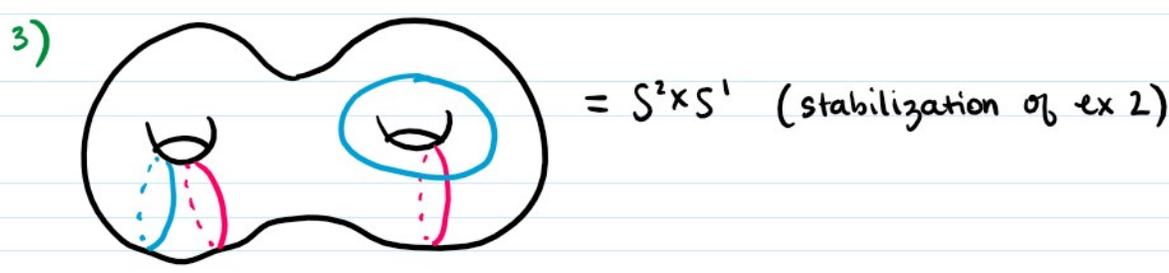
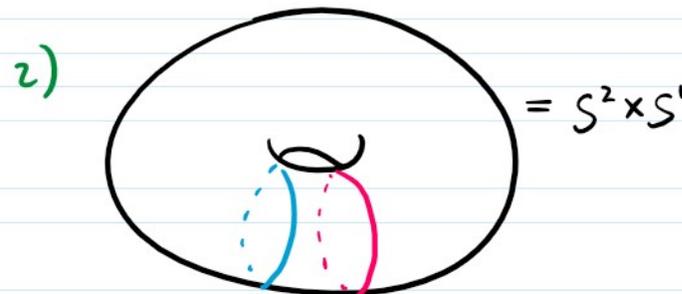
defn: A **Heegaard diagram** is  $(\Sigma_g, \alpha_1, \dots, \alpha_g, \beta_1, \dots, \beta_g)$  such that  $\alpha_1, \dots, \alpha_g$  (resp.  $\beta_1, \dots, \beta_g$ ) are disjoint s.c.c that are linearly independent in  $H_1(\Sigma_g)$ .

We call the  $\alpha_1, \dots, \alpha_g$  the **attaching curves for  $H = \bar{\alpha}$**  and the  $\beta_1, \dots, \beta_g$  the **attaching curves for  $H' = \bar{\beta}$**

convention:  
 Red  
 blue

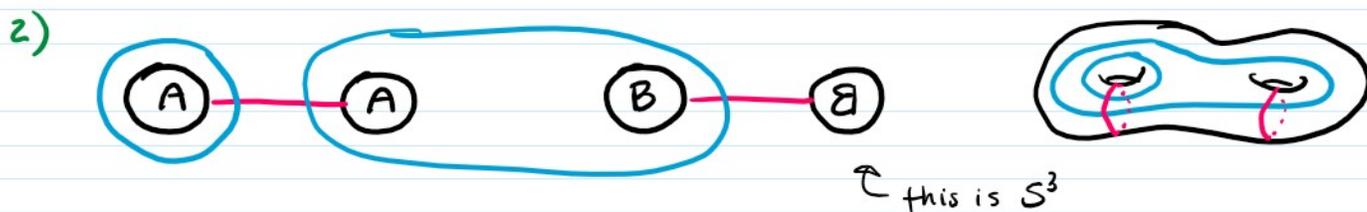
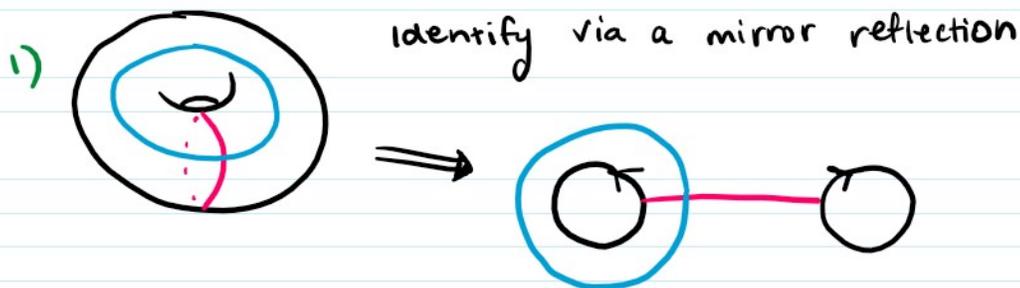
We build a 3-mfd  $Y$  from  $(\Sigma, \bar{\alpha}, \bar{\beta})$  by taking  $\Sigma \times [0, 1]$  and attaching 2-handles along  $\alpha_i \times \{0\}$  and 2-handles along  $\beta_i \times \{1\}$  and capping off the resulting  $S^2$  boundary components with  $B^3$

Example:



Sometimes we draw Heegaard diagram using the plane  $U\{\infty\} = S^2$

Example:



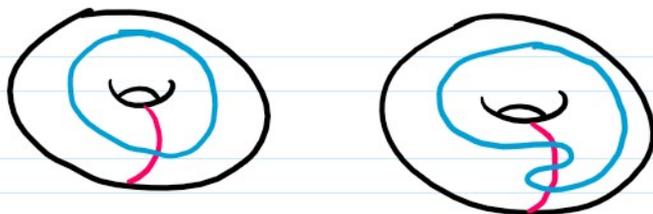
Q: when do two Heegaard diagrams give the same 3-mfd?

Theorem:

$(\Sigma, \bar{\alpha}, \bar{\beta})$  and  $(\Sigma', \bar{\alpha}', \bar{\beta}')$  are Heegaard diagrams for the same 3-mfd iff they are related by a finite sequence of the following moves:

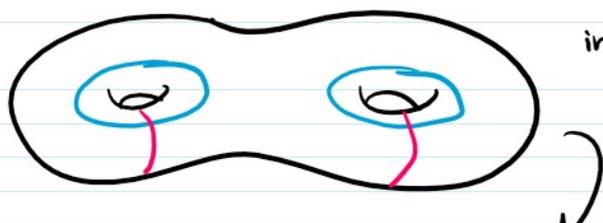
1. isotopies
2. handleslides
3. stabilization/destabilization

isotopy

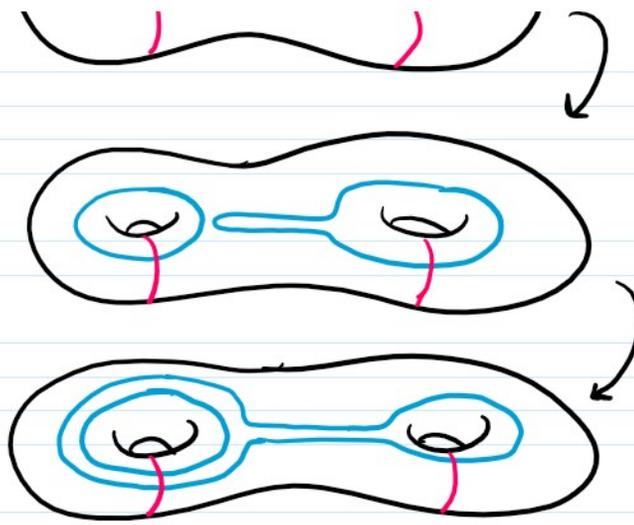


of  $\beta$ -curves  
(curves should remain disjoint)  
or of  $\alpha$ -curves

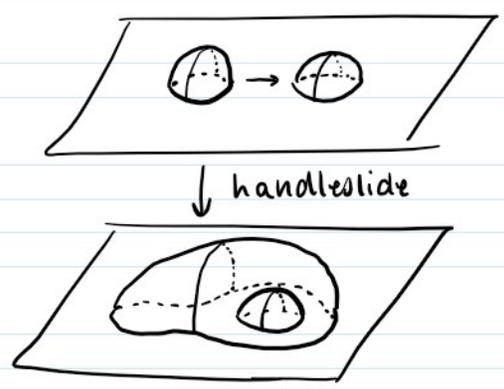
handleslides



involve only  $\alpha$ 's or only  $\beta$ 's



Can think of it as:



Note: isotopies & handleslides do not change genus  
(so stabilization will)

Stabilization

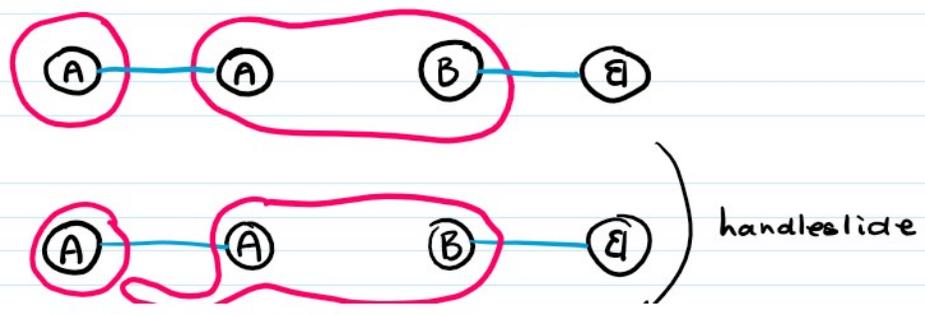
connect sum with  equipped with  $\alpha$  and  $\beta$   
intersecting in a single point

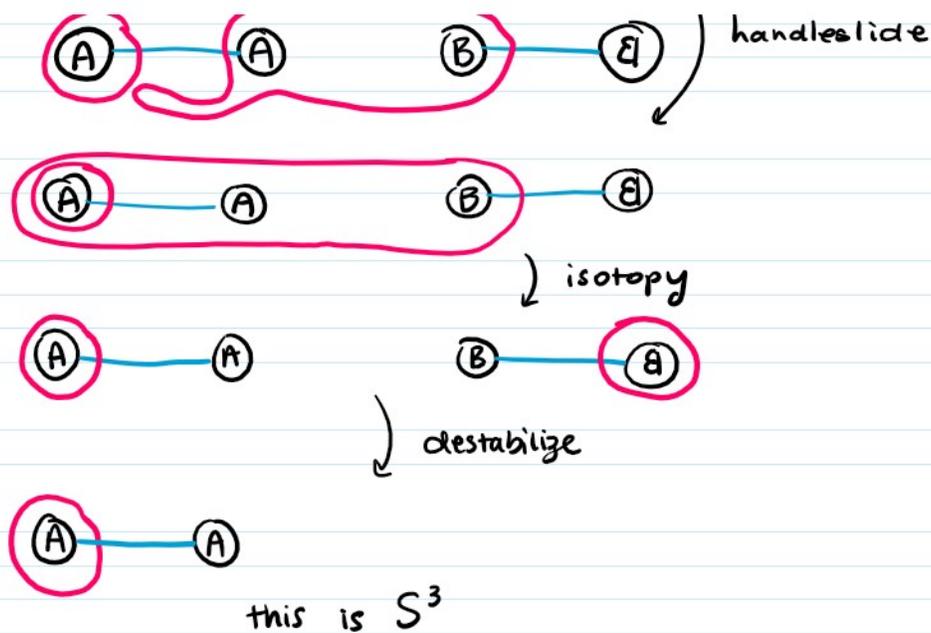


destabilization undoes this operation

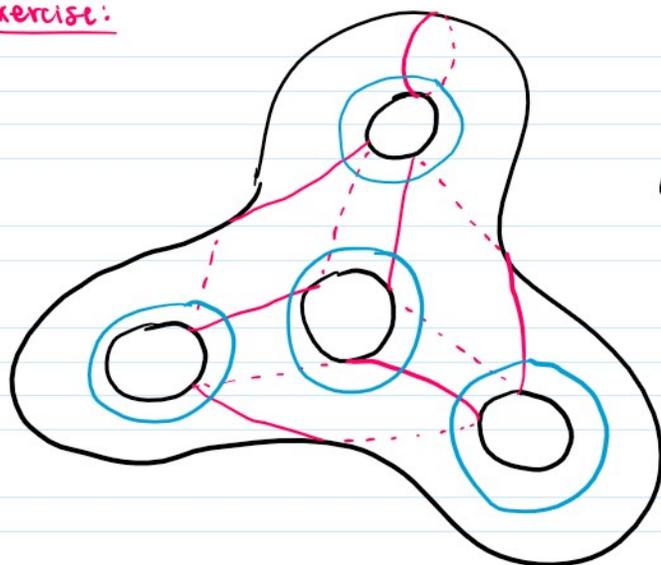
For more details & some exercises, see Hom lecture notes on Heegaard  
Floor homology, section 1 (on arXiv)

Example:





Exercise:



what 3-manifol is this?

## KNOTS AND LINKS IN 3-MANIFOLDS

A **link**  $L$  in  $Y$  is a finite collection of smoothly embedded disjoint closed curves

A **knot** is a one component link

Example: in  $S^3$



Example: in  $S^3$



unknot



trefoil  
(right-handed)

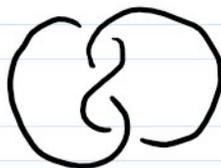
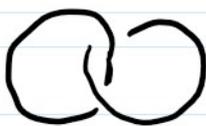


figure 8



unlink of  
two components

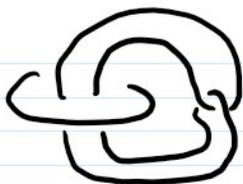


Hopf  
link

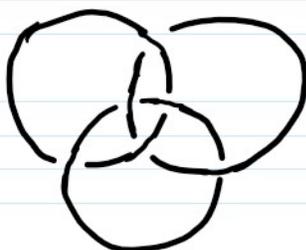
Note:



(left-handed)



Whitehead link



Borromean Rings

Two links  $L$  and  $L'$  are equivalent if  $\exists$  smooth orientation preserving homeo

$$h: Y \rightarrow Y \text{ s.t.} \\ h(L) = L'$$

Rmk: Some knots are the same and some are different when we take the mirror

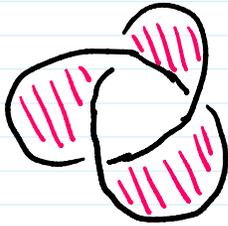
Sometimes our links will be oriented

A Seifert surface for an oriented link  $L \subset S^3$  is a compact connected oriented surface  $F \subset S^3$  with  $\partial F = L$

Example:



Non-Example:



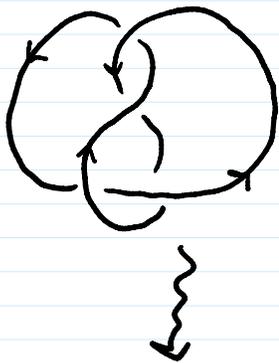
Not orientable  
(Möbius band)

**Theorem:**

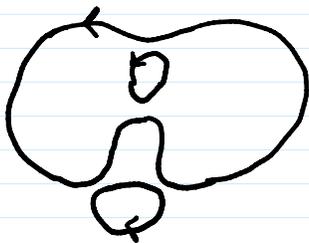
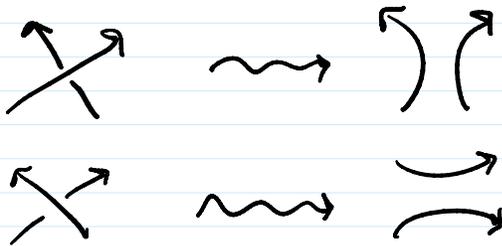
Every link in  $S^3$  bounds a Seifert surface

proof:

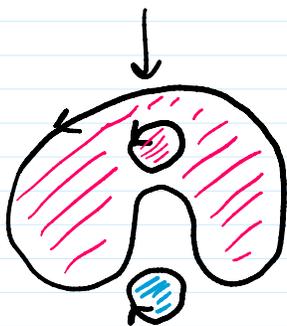
(uses Seifert's algorithm)



1. Resolve each crossing preserving orientation



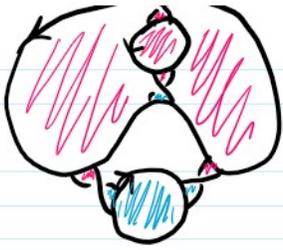
No more crossings in picture  
Result is a collection of circles



2. Each circle bounds a disk  
If they're nested, raise the inner circles



3. Where there used to be crossings,  
attach bands with twist corresp.  
to the direction of the crossing



attach bands with twist corresp.  
to the direction of the crossing

If the resulting surface is connected, we're  
done. If not, **tube** together the  
components



Note: Seifert surfaces are not unique

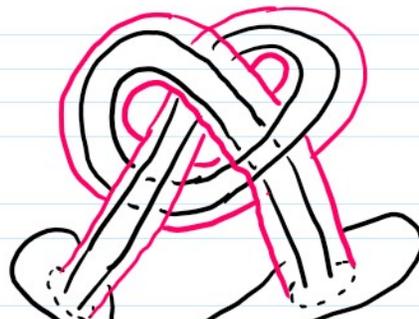
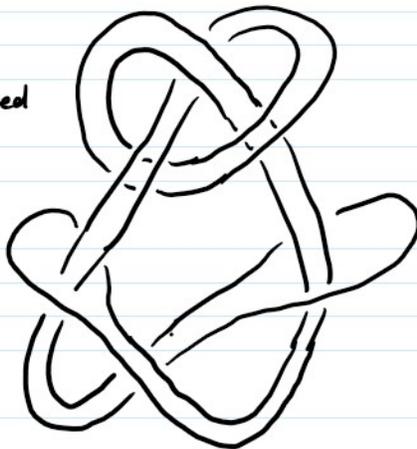
Example:

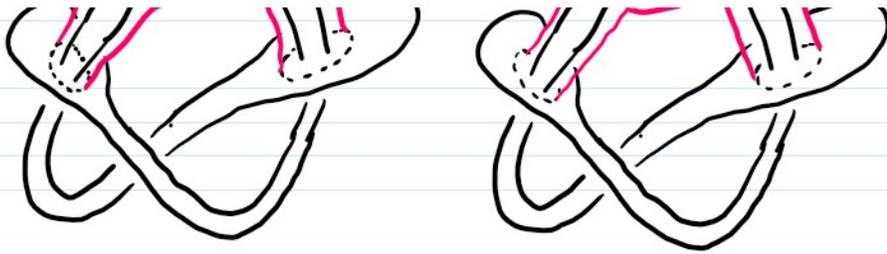


two different Seifert surfaces for unknot

Example:

There is an  
"obvious" immersed  
disk that this  
bounds.





two Seifert surfaces which are homeomorphic  
but not equivalent as surfaces embedded in  $S^3$

defn:

the **genus** of a knot  $\subset S^3$  is

$$g(k) = \min \{ \text{genus } F \mid F \text{ Seifert surface} \}$$

**Fact:**  $K$  is the unknot  $\iff g(k) = 0$

**Exercise:** Show  $H_1(S^3 \setminus K) \cong \mathbb{Z}$

(use long exact sequence of a pair)

this is generated by a meridian

