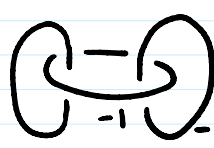



**Announcements:** Exercises due 10/31 on Canvas

Dr. Hom will not be here next week, so no class on 10/31 and 11/2  
 In meantime, take a look at the Kirby problem list  
[homepages.warwick.ac.uk/~masaw/ftp/kirby\\_list.pdf](http://homepages.warwick.ac.uk/~masaw/ftp/kirby_list.pdf)  
 - Solving open Kirby problem means no homework in this class :)


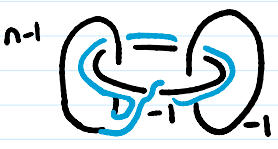

$n-1$   linking matrix:  $\begin{bmatrix} n-1 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & -1 \end{bmatrix}$  effect of handleslide on linking matrix:



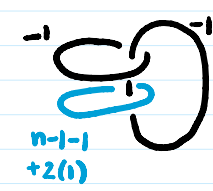
add 2<sup>nd</sup> col to 1<sup>st</sup>  $\begin{bmatrix} n & 1 & 0 \\ 0 & -1 & 1 \\ 1 & 1 & -1 \end{bmatrix}$

blowing up in a different way gives

 linking matrix:  $\begin{bmatrix} n & 0 & 1 \\ 0 & -1 & 1 \\ 1 & 1 & -1 \end{bmatrix}$  add 2<sup>nd</sup> row to 1<sup>st</sup>


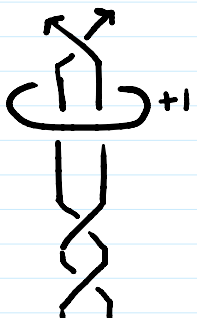
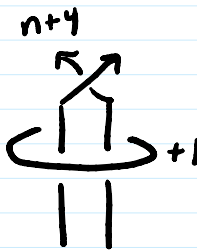
by doing a handleslide:

$n-1$    $\xrightarrow{\text{handle slide}}$   $n-1$    $\xrightarrow{\text{isotopy}}$  

$\rightarrow$    $\rightarrow$    $\rightarrow$    
 $n-1-1 + 2(1) = n$

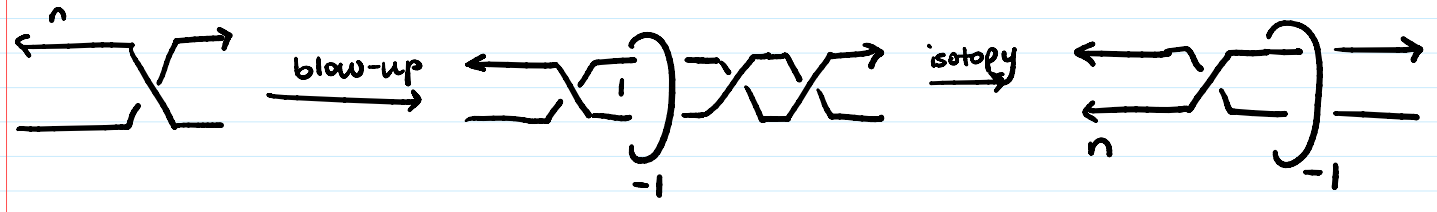
Ways to change crossings:

I.

$n$    $\xrightarrow{\text{blow-up}}$    $\xrightarrow{\text{isotopy}}$   $n+4$  

II

II.

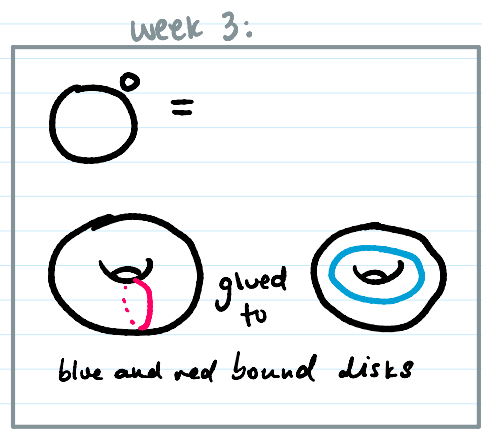
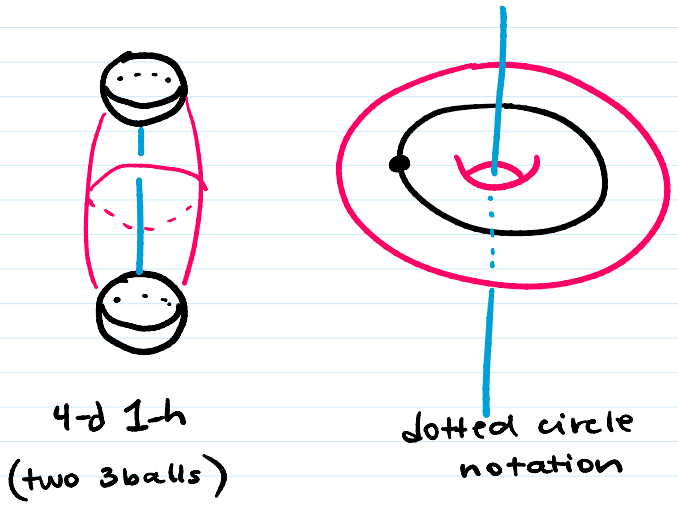


Last time: dotted circle notation for 1-handle

Ex:

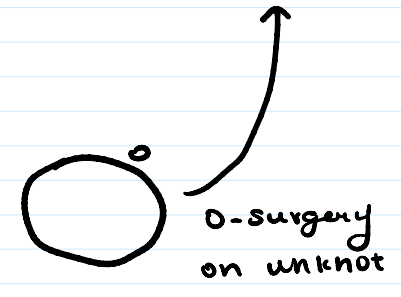


Example:

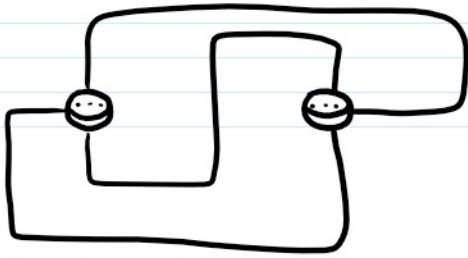


this helps us visualize 3-mfd boundary:

$$\partial((0-h) \cup (1-h)) = \partial(S^1 \times D^3) = S^1 \times S^2 =$$

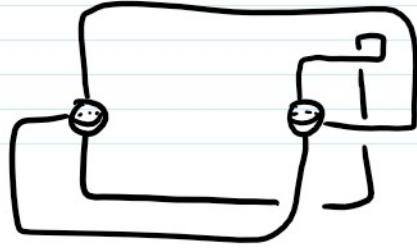


Example:

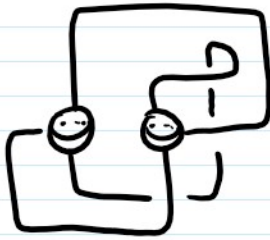


blackboard framing

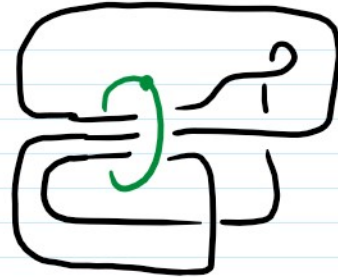
isotopy



isotopy

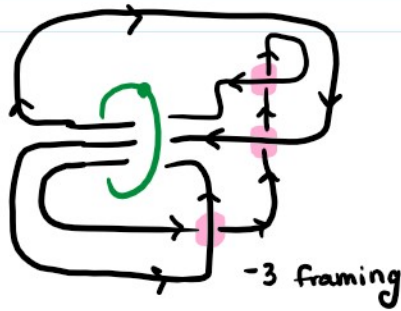


dotted  
circle

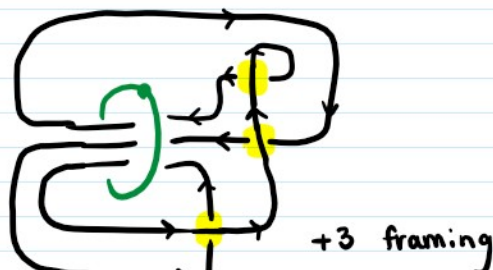


still blackboard framed

Computing blackboard framing:

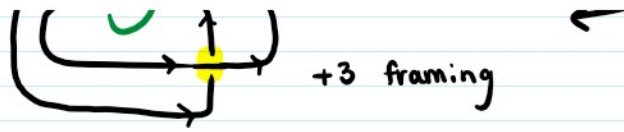


OR:



Exercise:

Check that these  
are equivalent



Note: passing from pair-of-3-balls to dotted circle notation requires a choice of **arc** connecting the two balls

Example:



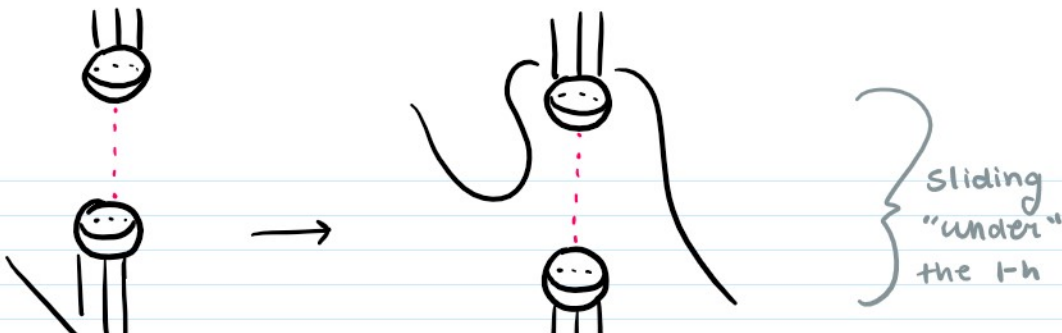
arc



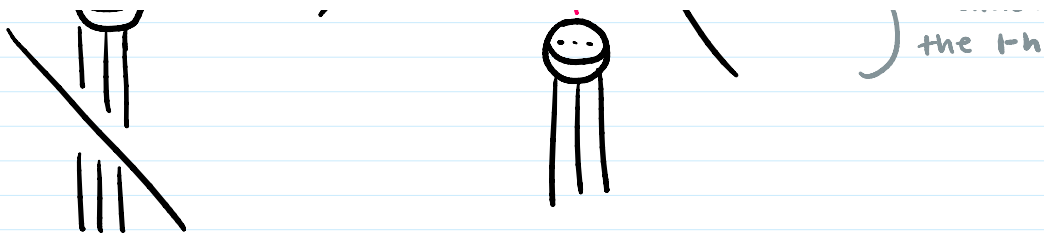
Exercise: Describe how to compute  $H_1(\partial X)$  from a Kirby diagram for  $X$  where 1-handles are in dotted circle notation

hint: first consider when  $X$  has no 3-handles

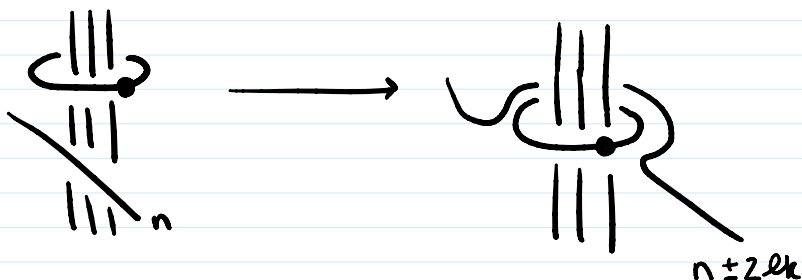
Example:







In dotted circle notation:



formally this is similar to doing a handleslide over the dotted circle notation.

but really it's going "under" the 1-handle

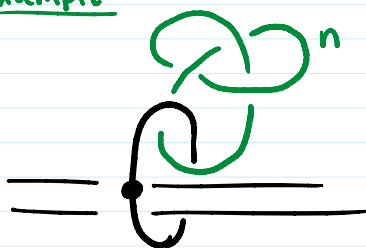
**Exercise:** check that new framing is  $n \pm 22k$   
(because it crosses the  $\vdots$  arc)

### Kirby Calculus in dotted circle notation:

2-3 cancelling pair is the same  
(as long as we allow slides under 1-h's)

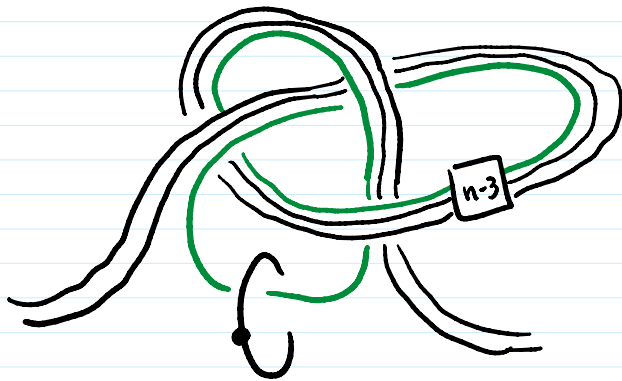
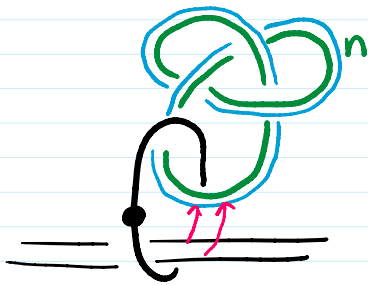
1-2 cancelling pair via example

Example:

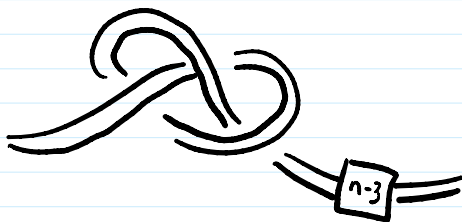


can't cancel trefoil until  
other 2-handles are slid  
over trefoil

↓ handleslide

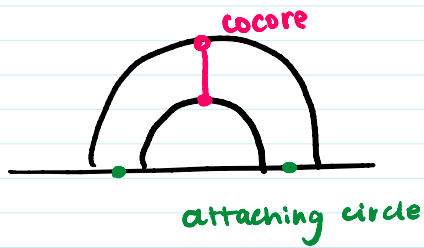
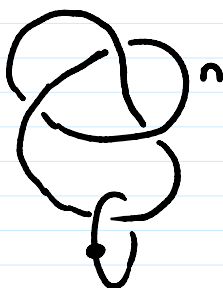


↓ cancellation



Exercise: What happens to framings?

Remark: How to see it is a cancelling pair:

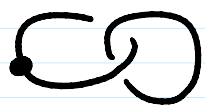


- attach  $n$ -framed 2-handle first

- disk  $D$  spanned by dotted circle
- can identify  $D$  with cocore of 2-h

(since dotted circle is a meridian of attaching circle for 2-h  $\Rightarrow$  removing  $\nu D$  is same as removing 2-h)

Example:

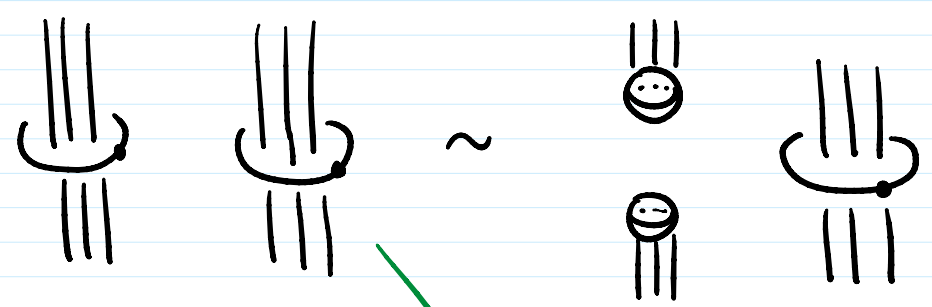


2-h can be identified with  $\nu D$

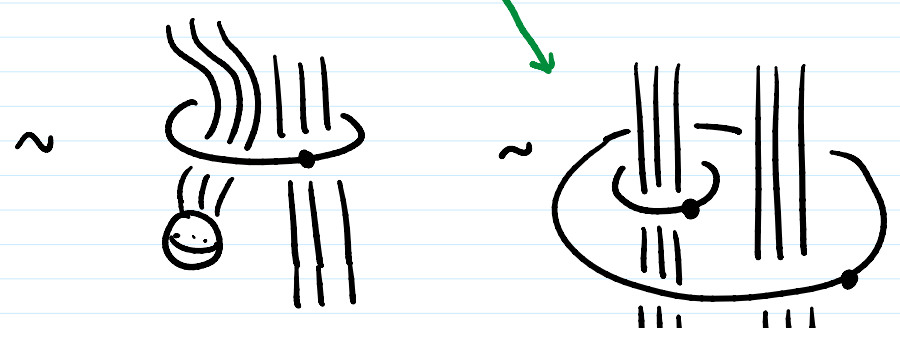
Exercise:

Show that if the attaching circle for a 2-h intersects attaching disk  $D$  of a dotted circle in a unique point, then there is a sequence of handle moves that puts 1- and 2-h's in this position

1-handle slide :



Formally: this is same as slide right dotted circle over left dotted circle

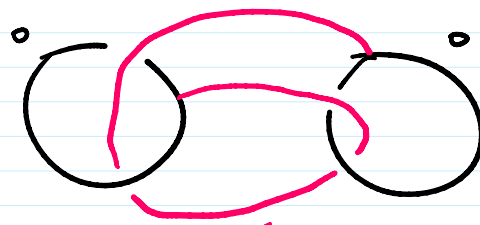




**Note:** Except the one handle doing the "moving" is the dotted circle on left

**Remark:** dotted circles need to bound disks  
 so we always need a union of dotted circles to  
 remain an unlink

**Nonexample:**



0-framed 2-h's

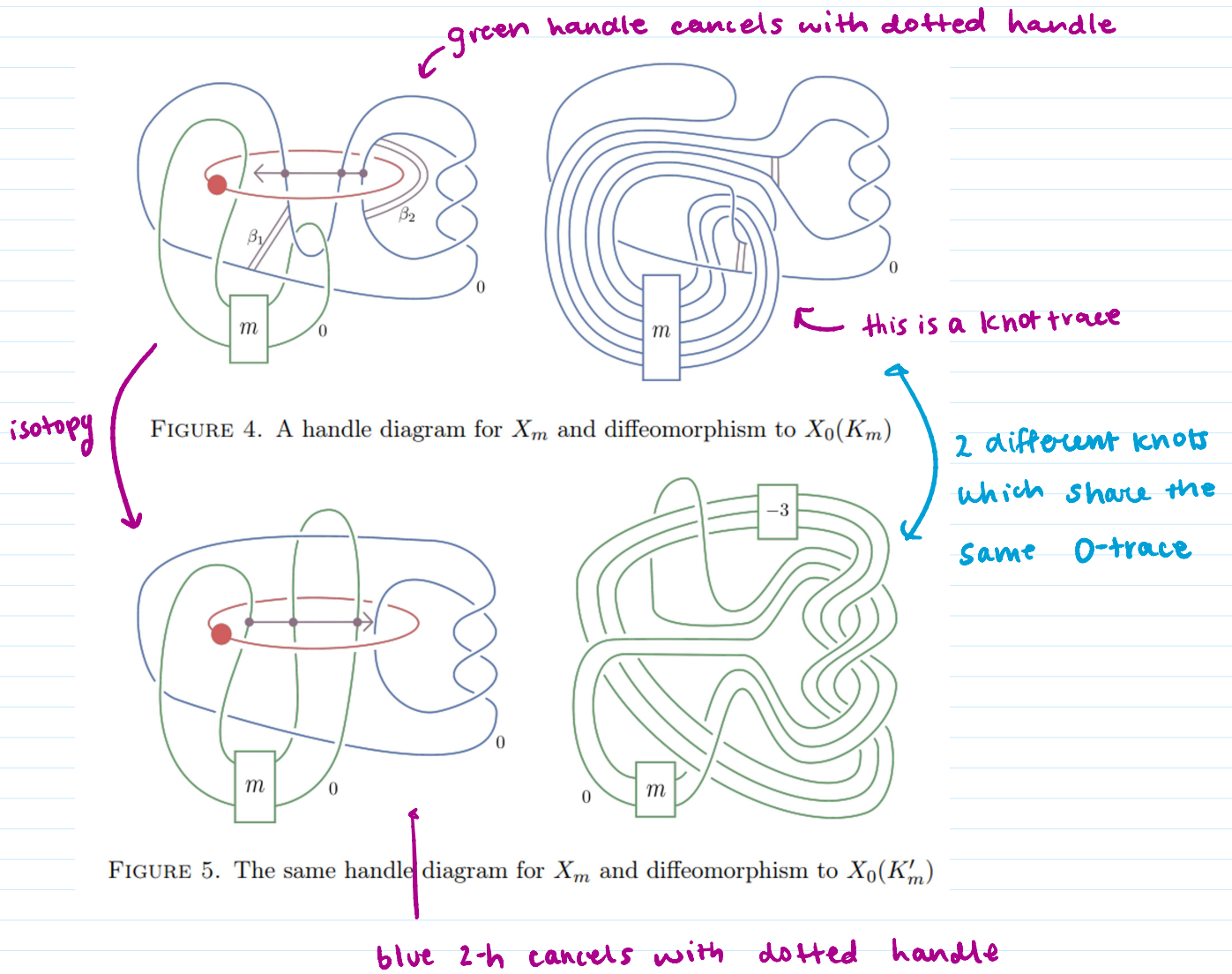
choose a different arc.

**Result:**

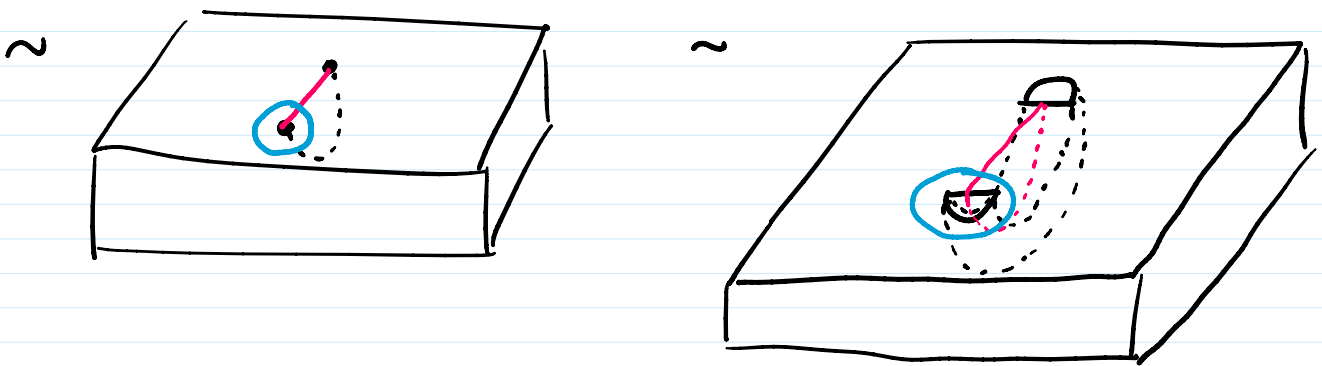
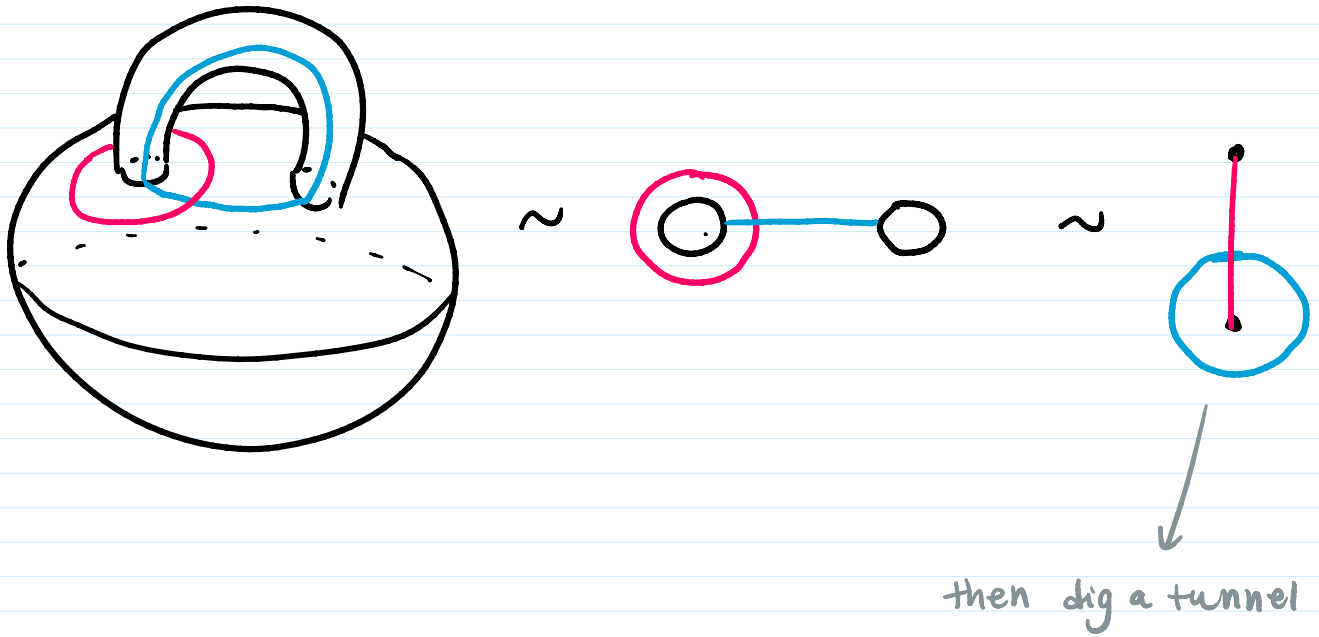


So this is not a legal move if these were dotted two-handles

From Lisa Piccirillo's research paper "Shake genus and slice genus"



Kirby calculus in dotted circle notation:



In 4-dimensions:

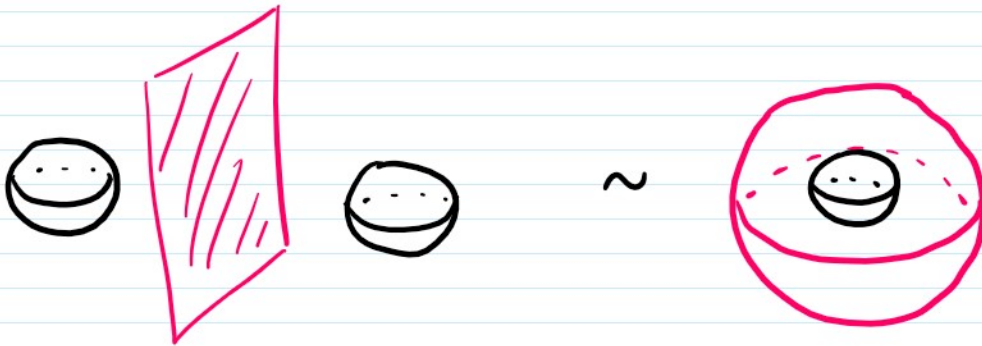


$$\partial(S^1 \times D^3) = S^1 \times S^2$$

where are the  $S^1$ 's worth  
of  $S^2$ 's in these diagrams?  
 $\{pt\} \times S^2$

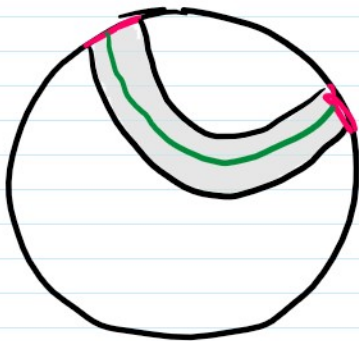
$\{pt\} \times S^2$

$\textcircled{\smile} @ \infty$



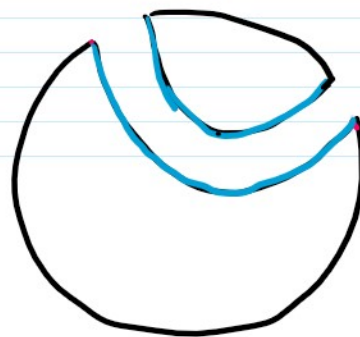
all these  $S^2$ 's are non-separating  
so they do not  
bound balls

$$\partial(D^4 - \nu(\text{pushed in } D^2)) = S^1 \times S^2$$



lose this boundary  
cut out a nbhd of  
disk  $\text{---}$

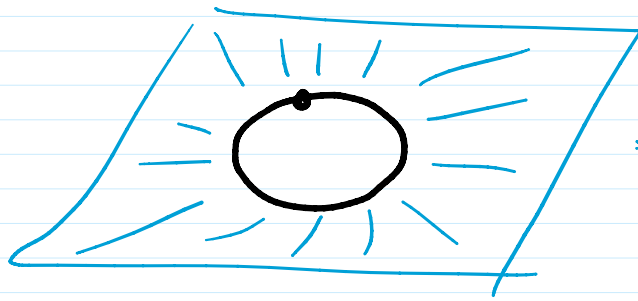
and gain this boundary



In



this diagram, we can see  
the  $S^1$ 's worth of  $S^2$ 's



= disk through  $\infty$

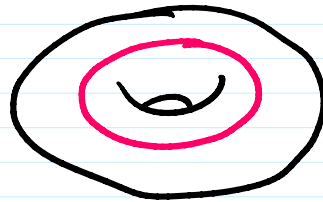


disk

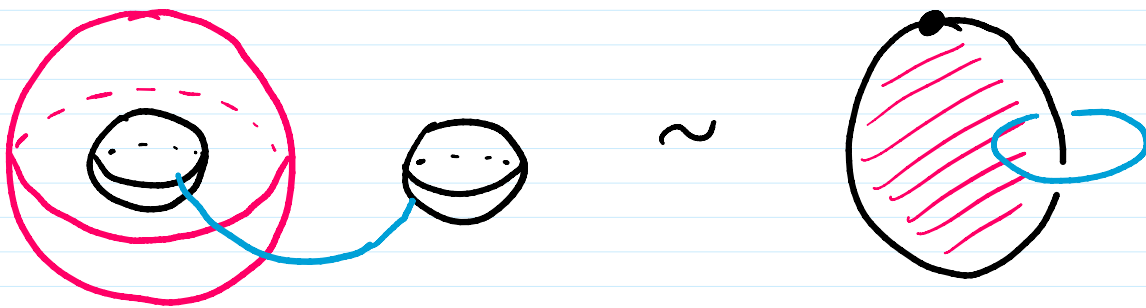


disk

blue disk glued to disk



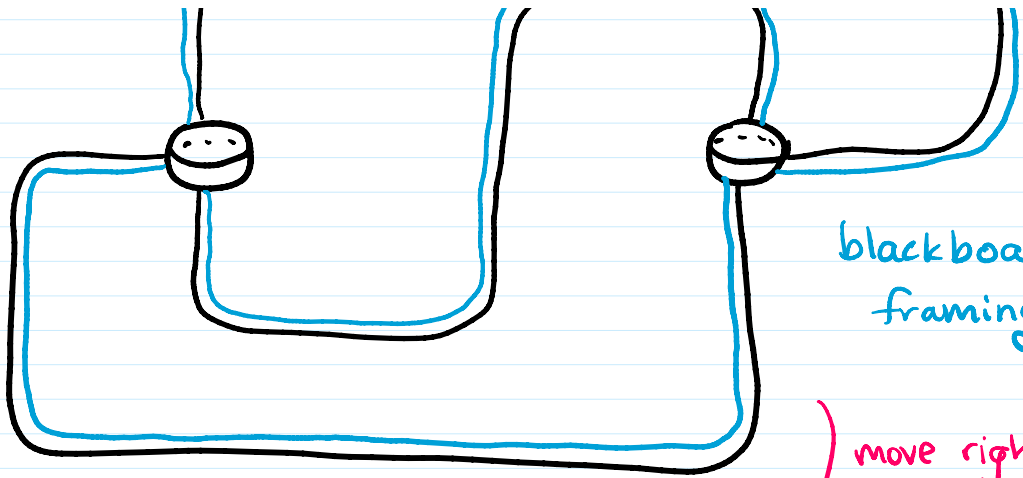
to get  $S^2$



Example:

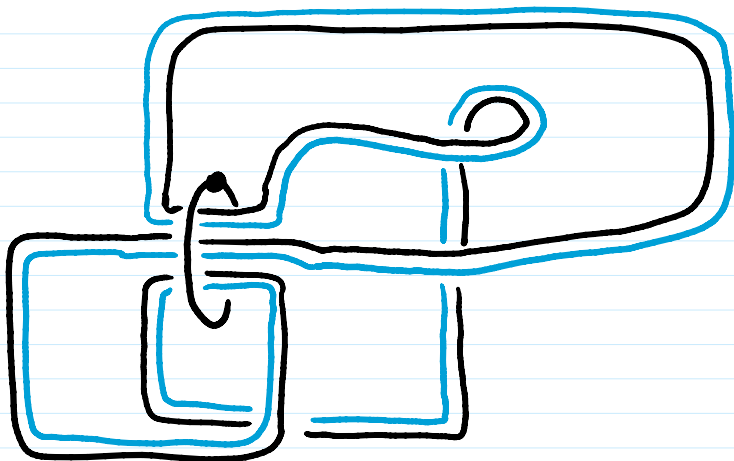
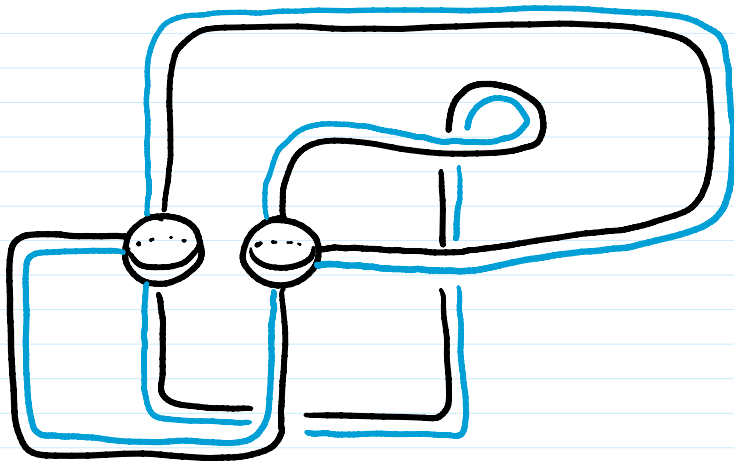






blackboard framing

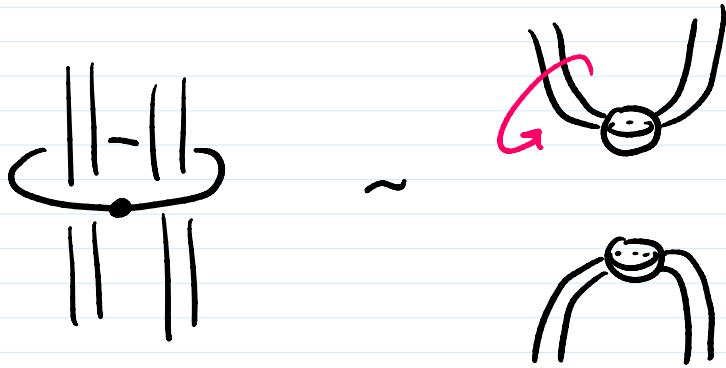
move right 3-ball to the left



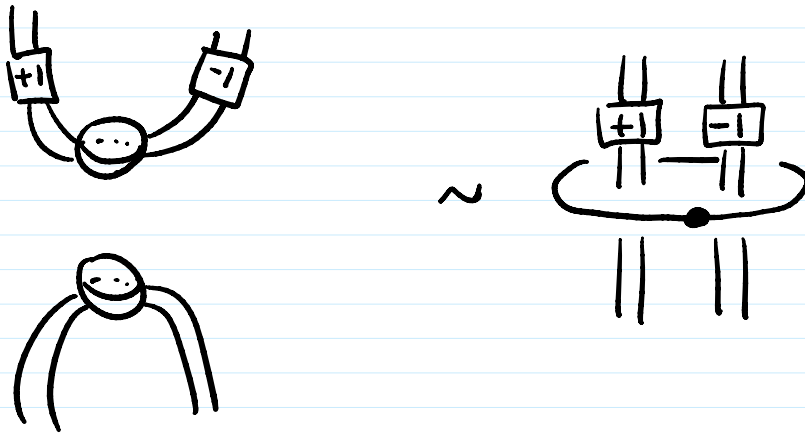
## Blow-ups, Blow-downs:

Same as before, as long as  $\bigcirc^{\pm 1}$  is geometrically unlinked from dotted circles.

Example: twisting 1-handle



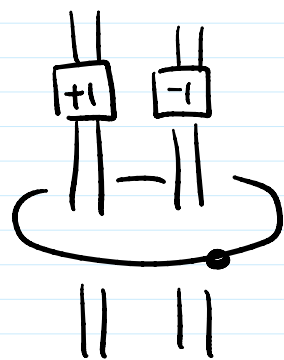
Let's put in a full twist:



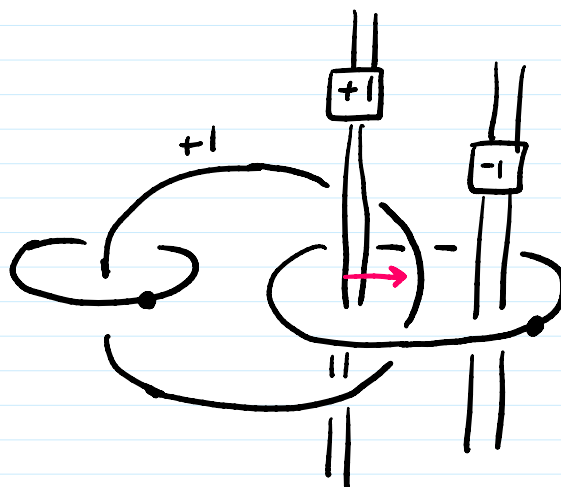
Exercise: use double strand notation to determine the framings

Another way to see it:

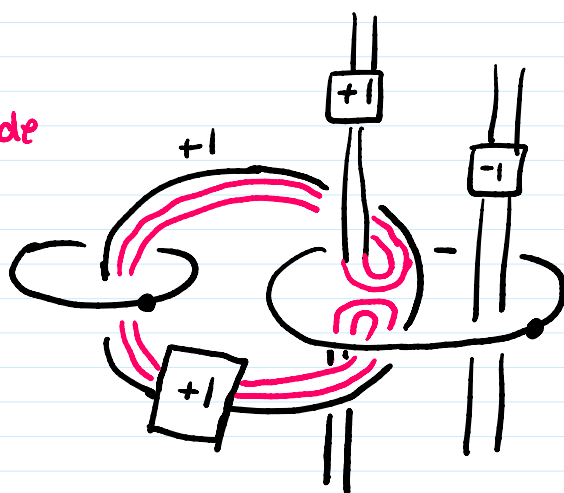
Example:



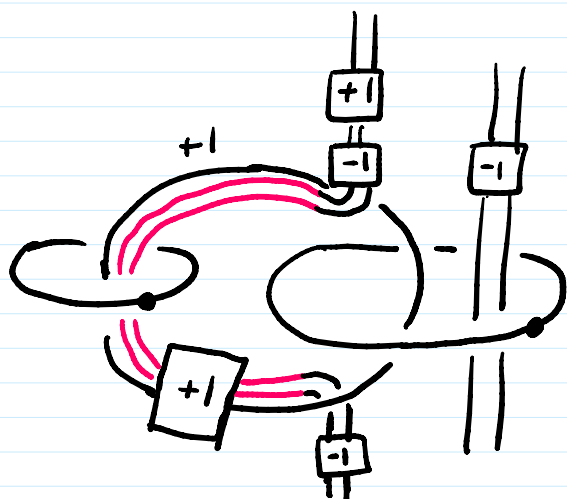
1-2 pair  
~



handleslide  
both strands  
~



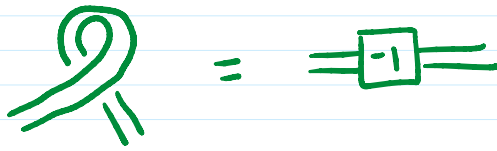
isotopy  
~



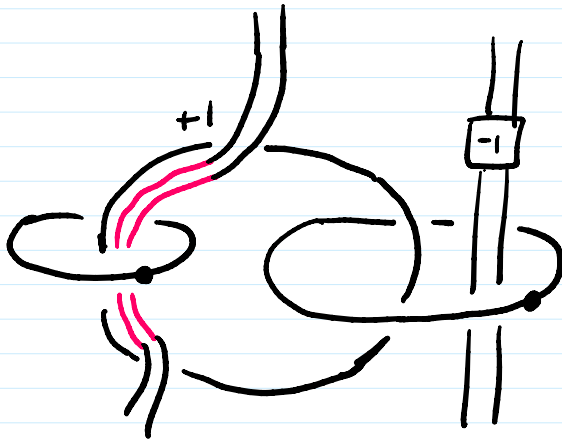
Remark:



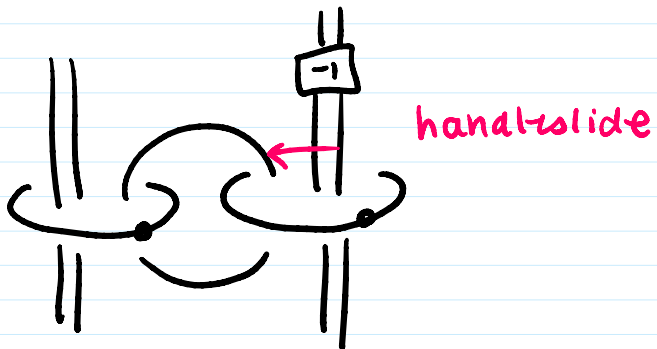
Remark:



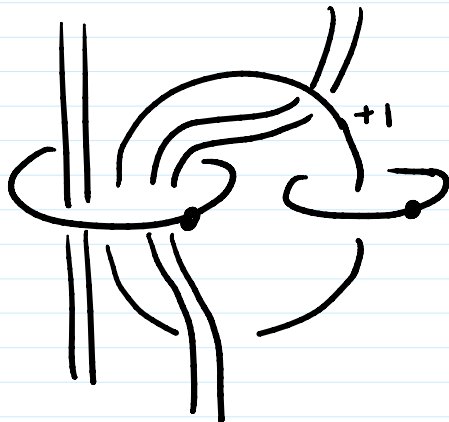
isotopy  
~



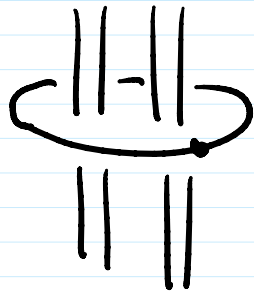
isotopy  
~



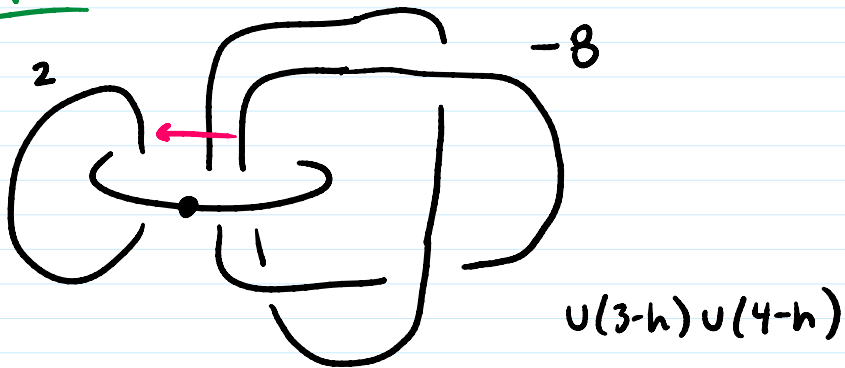
handle-slide  
~



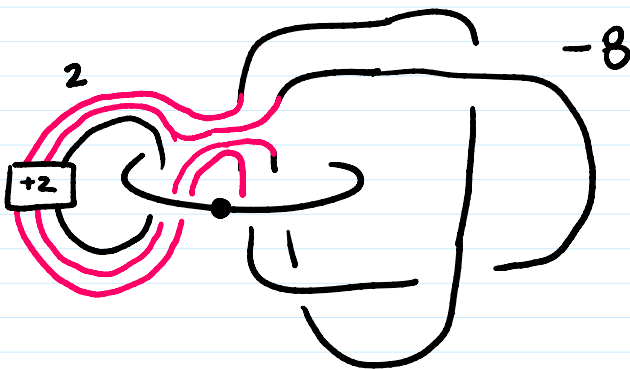
cancelling  
 $\sim$   
1/2 pair



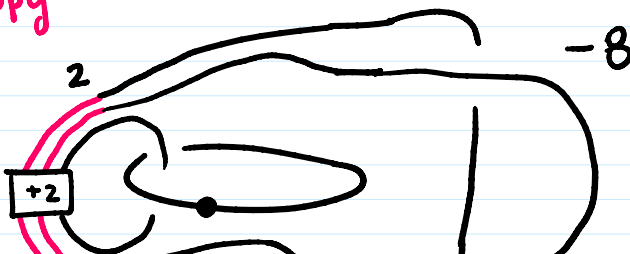
Example:



$\sim$  hand-slide

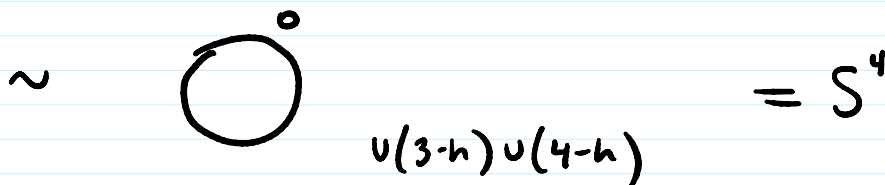
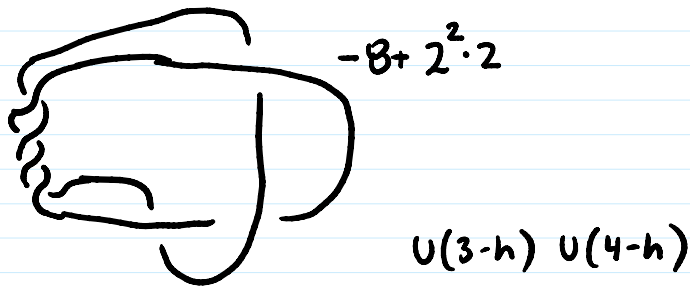


isotopy  
 $\sim$





cancelling  
 $\sim$   
 1/2 pair



## Rokhlin Invariant:

We can use 4-mfds to get invariants of 3-mfds

**Exercise:** Let  $X_1, X_2$  be smooth 4-mfds with diffeomorphic  $\mathbb{Z}H S^3$  boundaries

Let  $\varphi: \partial X_1 \rightarrow \partial X_2$  be an orientation reversing diffeo and  $X = X_1 \cup_{\varphi} X_2$

Show that  $\sigma(X) = \sigma(X_1) + \sigma(X_2)$

**defn:** Let  $Y$  be a  $\mathbb{Z}H S^3$  and  $\lambda$  a smooth

defn: Let  $Y$  be a  $\mathbb{Z}HS^3$  and  $W$  a smooth simply connected 4-mfd with  $\partial W = Y$  whose intersection form  $Q_W$  is even. The

Rokhlin invariant of  $Y$  is

$$\mu(Y) = \frac{1}{8} \sigma(W) \pmod{2}$$

Remark:

- 1) such a  $W$  exists since  $Y$  can be expressed as an even surgery on a link
- 2)  $\mathbb{Z}HS^3$  guarantees unimodular so  $Q_W$  is unimodular since  $\partial W = \mathbb{Z}HS^3$  so  $\sigma(Q_W)$  is divisible by 8
- 3) different choice  $W'$  gives the same Rokhlin invariant  $\mu(Y)$  since  $\sigma(W \cup_Y - W') = \sigma(W) - \sigma(W') \equiv 0 \pmod{16}$

Exercise:

$$1) \mu(-Y) = -\mu(Y)$$

$$2) \mu(Y_1 \# Y_2) = \mu(Y_1) + \mu(Y_2)$$

Examples:

$$\mu(S^3) = 0$$

$$\mu(\Sigma(2,3,5)) = \frac{1}{8}(8) \bmod 2 = 1$$

Poincaré Homology sphere

-1-surgery on left handed trefoil



OR

