

Checking difficult proofs by computer  
What does it mean to check a proof?  
From automated proof verification to mechanical mathematicians  
Values

# Mechanical Mathematicians

## Duke University PLUM Lecture

Michael Harris

Columbia University

March 28, 2019



# Mechanical Mathematicians

*The Antikythera mechanism, discovered in 1901 and dated to some time between 200 BC and 87 BC, used as an analogue computer to predict astronomical events.*

*It is on display in the National Museum of Archeology in Athens.*



**Michael Harris**  
**03/28/2019**  
**LSRC B101**  
**4:30-5:30pm**

**PLUM**

Mathematics @ Duke University  
PLUM is supported in part by the generosity of Goh Peng Ooi

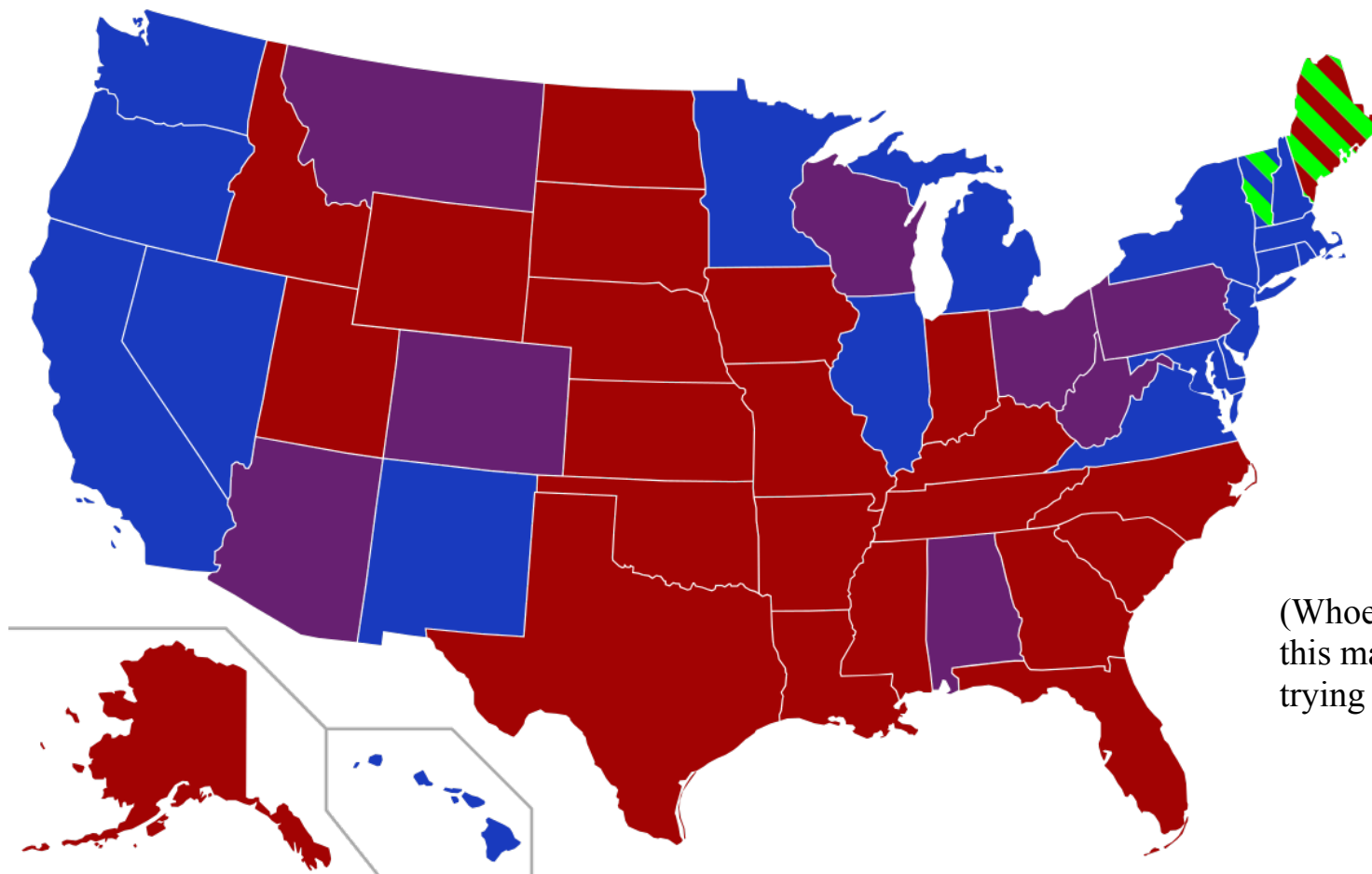
## Outline

- 1 **Checking difficult proofs by computer**
  - Proof completed by computer
  - Proof completed with and then verified by computer
  - Proof published, verified by computer 60 years later
- 2 **What does it mean to check a proof?**
  - I see it and I believe it
  - “I see it but I don’t believe it”
  - I don’t see it but I believe it
  - Can a proof be both cartesian and leibnizian?
- 3 **From automated proof verification to mechanical mathematicians**
  - What’s wrong with human mathematicians?
- 4 **Values**
  - Cultural pressures
  - Market pressures
  - What do human beings seek from mathematics?

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## Three colors don't suffice



(Whoever colored  
this map wasn't  
trying very hard!)



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## Four colors suffice: Proof completed by computer



The Four-Color Theorem: for any map no more than 4 colors are required so that no two adjacent regions have the same color.

K. Appel and W. Haken proved it in 1977 using a computer.

Mathematicians have been arguing ever since whether or not it's a proof.

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## Proof completed with and then verified by computer



**Figure:** Kepler's Conjecture:  
the most efficient way to pack spheres



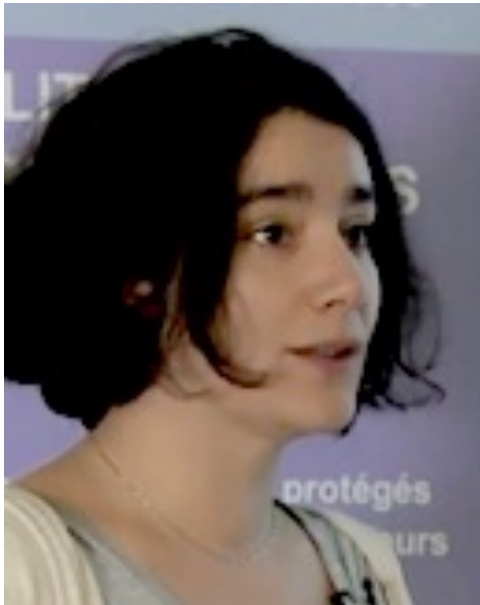
**Figure:** Tom Hales giving a lecture  
By Slawekb - Own work, CC BY-SA 3.0



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## Proof published, verified by computer 60 years later



**Figure:** Assia Mahboubi, one of 15 members of a French-Microsoft collaboration to complete a computer-assisted proof of a famous theorem in group theory

“The Odd Order Theorem... due to W. Feit and J. G. Thompson ... states that every finite group of odd order is solvable.

It is famous ... for the striking contrast between the simplicity of its statement and the unusual length and complexity of its proof.

After a six year collaborative effort, we managed to formalize and machine-check a complete proof of this theorem using the Coq proof assistant.”

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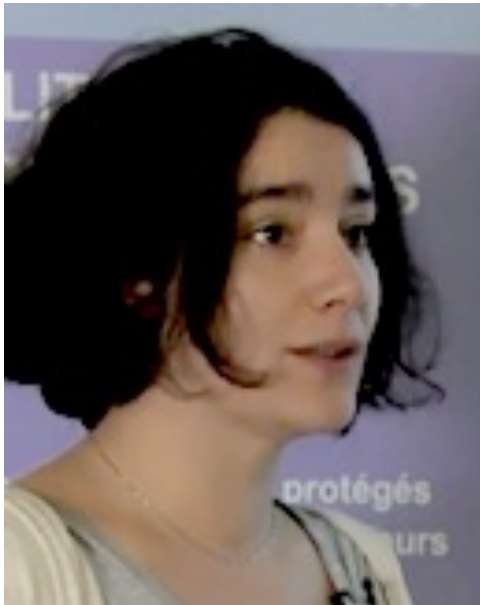
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Can a proof be both cartesian and leibnizian?

## I see it and I believe it (Plato’s *Meno*)

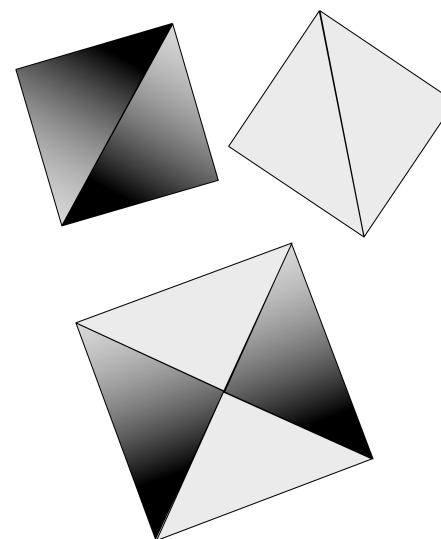


Figure: Dividing a square into two squares

Figure: Bust of Plato, Vatican Museums

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## “I see it but I don’t believe it”: G. Cantor

It looks like there are more points in a square than in a line:



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It looks like there are more points in a square than in a line:



1/1	1/2	1/3	1/4 ...
2/1	2/2	2/3	2/4 ...
3/1	3/2	3/3	3/4 ...
4/1	4/2	4/3	4/4 ....
⋮	⋮	⋮	⋮

1 2 3 4 ...

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It looks like there are more points in a square than in a line:



1/1	1/2	1/3	1/4 ...
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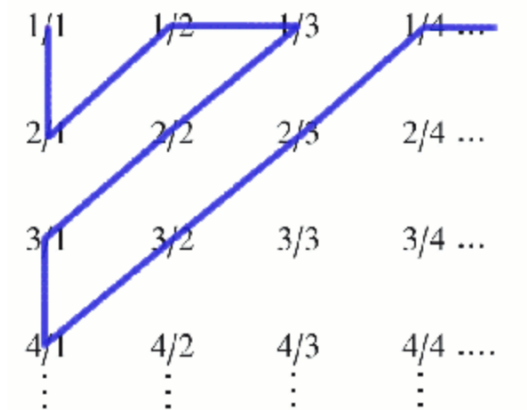


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But in fact

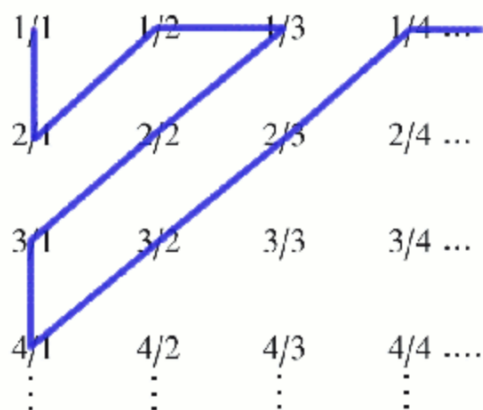


$1 \rightarrow 2 \rightarrow 3 \rightarrow 4 \rightarrow \dots$

Figure: Putting the rational numbers in order

## “I see it but I don’t believe it”: G. Cantor

But in fact



$1 \rightarrow 2 \rightarrow 3 \rightarrow 4 \rightarrow \dots$

**Figure:** Putting the rational numbers in order

(As was explained during the talk, this is obviously NOT Cantor's proof that the interval and the square have the same cardinality, but rather a different theorem of Cantor that is easier to illustrate.)

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# I don’t see it ... but I believe it (.0028% of a formal proof)



(900 K lines of code,  
5000+ CPU hours)

```
1094 let h0_lt_gt = prove_by_refinement(  
1095   `((y <= #2.01) ==> (y <= &2 * h0)) /\  
1096     (#2.8 <= y) ==> (&2 * h0 <= y)) /\  
1097   ((y <= &2) ==> (y <= &2 * h0)) /\  
1098     ((sqrt8 <= y) ==> (&2 * h0 <= y)) /\   ((&2 * h0 <= y) ==> (&0 <= y)) /\  
1099     (&2 <= y) ==> (&0 <= y)) /\  
1100     ((y <= &2 * hminus) ==> (y <= &2 * h0)) /\  
1101     (&2 * hminus <= y) ==> (&0 <= y))`,  
1102   (* {{{ proof *})  
1103   [  
1104     REWRITE_TAC[Sphere.h0;sqrt8_sqrt2;hm0];  
1105     MP_TAC sqrt2_lb;  
1106     MP_TAC hminus_gt;  
1107     REAL_ARITH_TAC;  
1108   ];;  
1109   (* }}} *)  
1110  
1111 let sqrtxx = prove_by_refinement(  
1112   `!x. &0 <= x ==> (sqrt(x * x) = x)`,  
1113   (* {{{ proof *})  
1114   [  
1115     REWRITE_TAC[POW_2_SQRT_ABS;REAL_ARITH `x * x = x pow 2`];  
1116     REAL_ARITH_TAC;  
1117   ];;  
1118   (* }}} *)
```

Figure: Excerpt,

[https://github.com/flyspeck/flyspeck/blob/master/text\\_formalization/nonlinear/nonlinear\\_lemma.hl](https://github.com/flyspeck/flyspeck/blob/master/text_formalization/nonlinear/nonlinear_lemma.hl)

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*Forget for the moment that if we are serious about formalization of proofs and derivation from first principles, then the inference*

*Understanding  $\Rightarrow$  Verification*

*means that hardly any mathematician has ever understood anything...  
We are still faced with the unfortunate circumstance that verification does not entail understanding.*

*(MH, Do androids prove theorems in their sleep?)*

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## This is an old question

Massimo Mazzotti, writing about mathematics in Naples in the 1830s:

*The “cold algebraists,” he says, reduced the once-noble science of mathematics to mere mechanical calculation, without any deeper meaning. While Fergola “sees God behind the circle and the triangle,” these atheists “see only the nothingness behind their formulas.” ...*

Moreover, for the anti-algebraists of Naples, “certainty” was on the side of *intuition*, **not** mechanical calculation.

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## 19th century French suspicion of leibnizian proofs

(Lorraine Daston, writing about mathematics in Paris in the early 19th century)

*Analysis functioned as a shortcut; a sort of machinery of symbols that one need only “to arrange on paper, following certain very simple rules, in order to arrive infallibly at new truths.” The very efficiency of the mechanical process, which obscured the route by which it achieved its results, rendered it suspect to mathematicians who believed that valid reasoning demanded clear and distinct ideas. ... The metaphor of the blind machine of analysis, which cranks out its results magically and mysteriously, recurs throughout the writings of [French] synthetic geometers.*

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# Mathematics is unambiguous

Math is one of the few institutions we have left free of doublespeak or embellishment or biased opinion. Its words are supposed to mean exactly what they say. Let’s keep them that way.

(Manil Suri, *NY Times*, March 5, 2019)

But what exactly does a proof say?

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But what exactly does a proof say?

## Is anything unambiguous?

It is a truth universally acknowledged, that a single man in possession of a good fortune, must be in want of a wife.

(Jane Austen, *Pride and Prejudice*)

It is a universally recognized fact that a man who is lucky should have a wife.

(Google translate, three times via Arabic.)

This is a generally accepted fact. A lucky man must lack a wife.

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# What's wrong with human mathematicians?

## 1. They are sentimental.

Is a proof no more than its verification of the truth of a proposition, which can therefore be translated unambiguously?

Or does a human proof contain more than a logical deduction, just as Austen's sentence is more than its literal meaning?

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## 2. They are mortal (and their minds are not open to inspection).

The Langlands–Tunnell work is sufficiently important that there is little doubt that the experts follow the proof. My question is – “is the experiment reproducible”? Is it science? If there’s a nuclear war tomorrow and then one day in the future the paper mathematical literature on our planet is discovered and translated, would the finders be able to put together a full proof of Langlands–Tunnell? Or are there some arguments which are merely “known to the experts”?



**Figure:** Kevin Buzzard,  
Professor at Imperial College,  
London

### 3. They get bored.

“[Voevodsky’s new system] hastens the day when our mathematical literature has been verified mechanically and referees are relieved of the tedium of checking the proofs in articles submitted for publication. ”

(Dan Grayson, 2017)

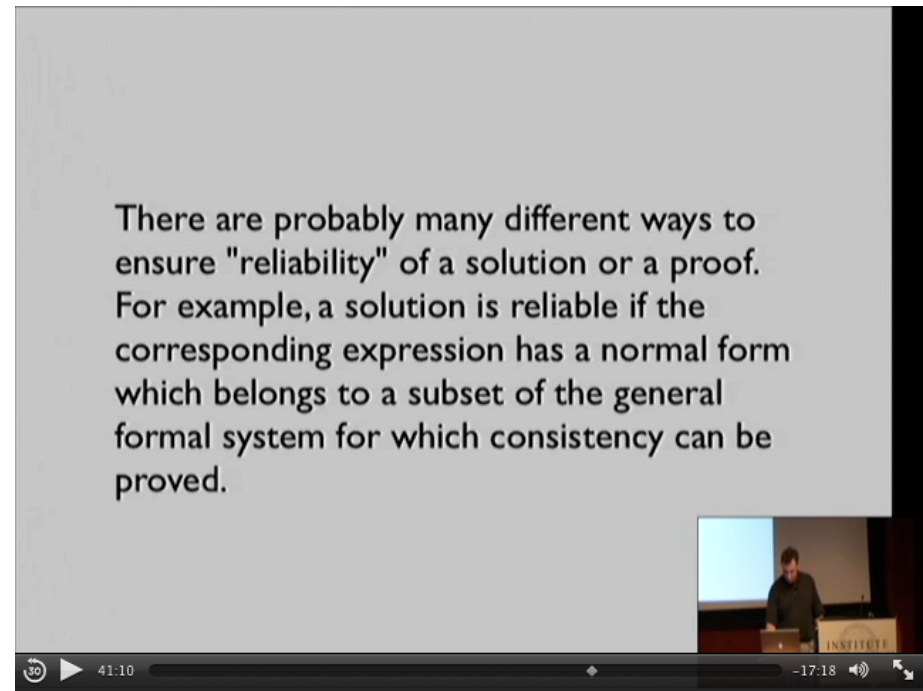


Figure: Vladimir Voevodsky

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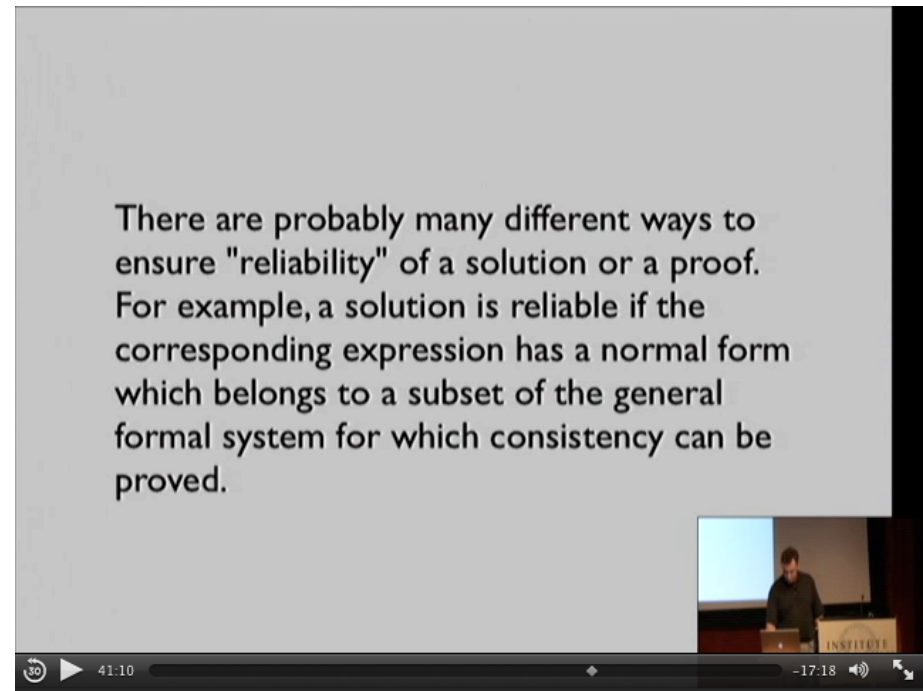
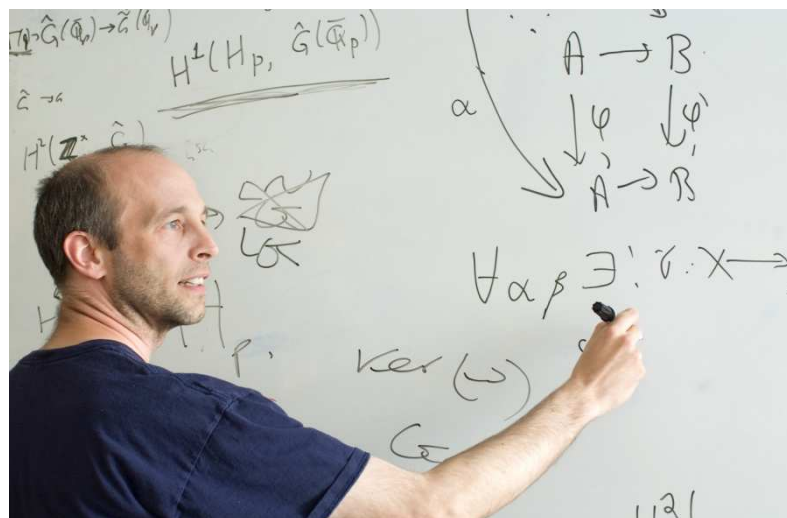


Figure: Vladimir Voevodsky

## 4. They are imprecise.

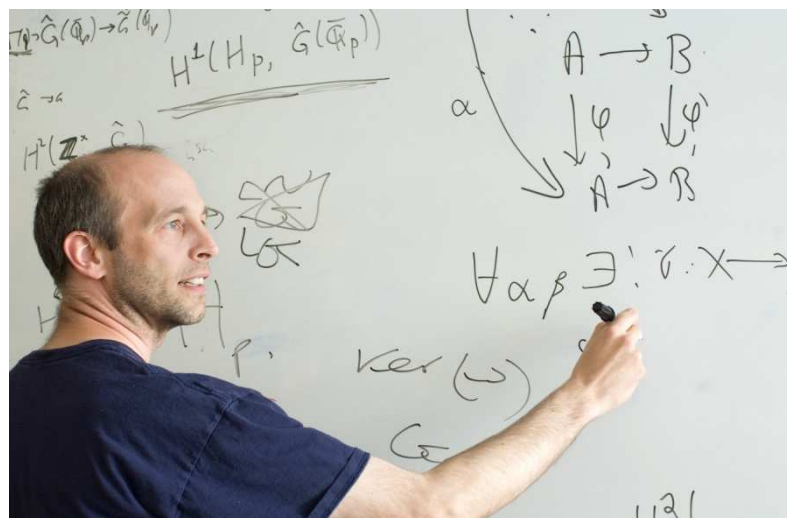


(Kevin is feeding a proof to a computer one line at a time, and the computer checks that each line follows from the preceding line and its built-in library of established results.)

“OK, now note that the polynomial  $X$  has degree 1.”



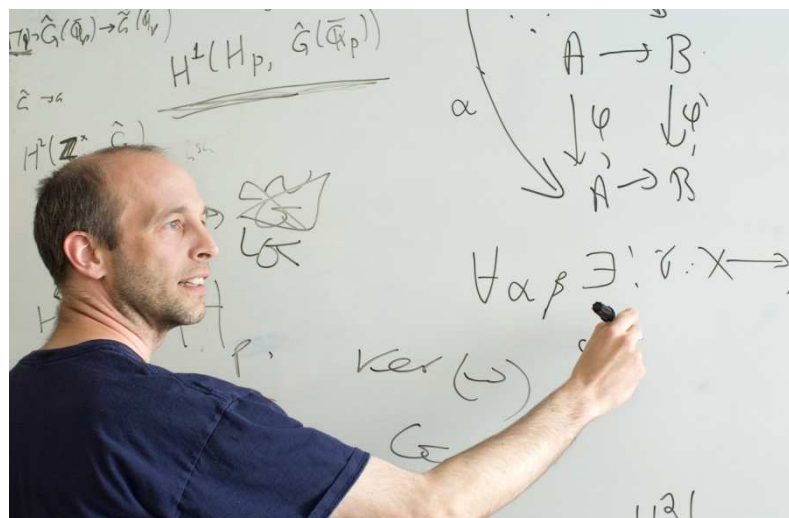
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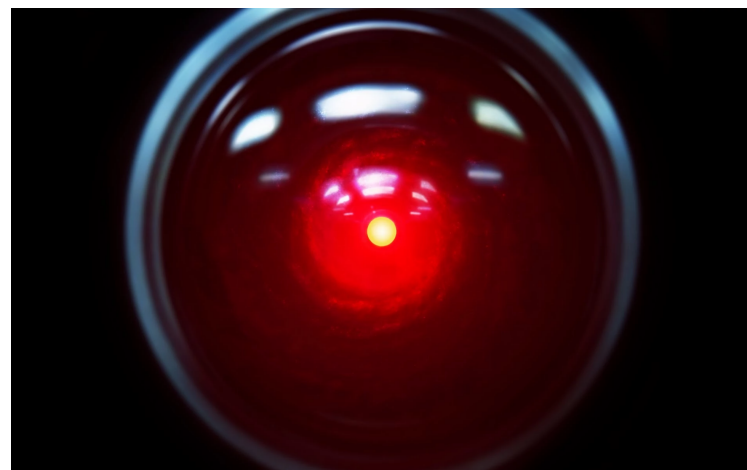
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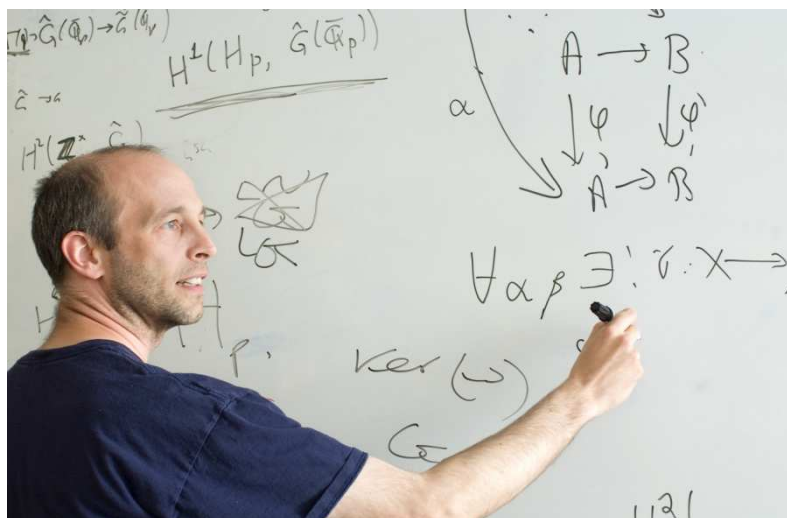


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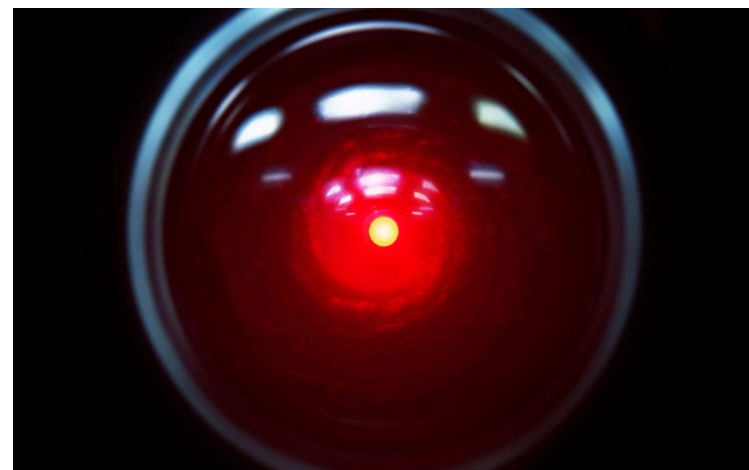


“I’m sorry Kevin, I’m afraid I don’t see that.”

# Who is right: Kevin or HAL?



“X has degree 1,  $X^2$  has degree 2,  $X^3$  has degree 3 . . .”



“I’m sorry Kevin, I’m afraid I don’t see that.”

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Cultural pressures  
Market pressures  
What do human beings seek from mathematics?

# Post-humanism

1. Humans are sentimental
2. Humans are mortal
3. Humans get bored
4. Humans are imprecise.

Sounds like an argument for dispensing with humans altogether!

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## The temptations of artificial mathematicians



**Figure:** MIT Professor Sherry Turkle

From its very beginnings, artificial intelligence has worked in this space between a mechanical view of people and a psychological, even spiritual, view of machines.

Norbert Wiener... dreamed in the 1960s that it was “conceptually possible for a human being to be sent over a telegraph line,” ... in the mid-1980s, one MIT student mused that ... AI pioneer Marvin Minsky ... wanted to “create a computer beautiful enough that a soul would want to live in it.”

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## How will mechanical mathematicians decide what to prove?

On the basis of algorithms!

“The market itself will be re-imagined as the primary mechanism for the validation of truth. As markets themselves are increasingly turning into algorithmic structures and technologies, the only useful knowledge will be algorithmic.”

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**Figure:** Achille Mbembe,  
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Image CC BY-SA 4.0

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## Gowers's alternative

From Sir Timothy Gowers, *The two cultures of mathematics*:

- (i) The point of solving problems is to understand mathematics better.
- (ii) The point of understanding mathematics is to become better able to solve problems.



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## Solving problems

Gowers belongs to culture (ii).

Gowers, “not particularly happy” at the prospect, has also predicted that by 2099, automation will have put human proof seekers out of business. And he is working with computer scientists to design automatic theorem provers that approximate human intuition.

Pure mathematicians, however, tend to prefer (i).

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# Understanding

*I may think that I understand, but the proof is the check that I have understood, that's all. It is the last stage in the operation ...but it isn't the primary thing at all.*

(Sir Michael Atiyah)

*The product of mathematics is clarity and understanding. Not theorems, by themselves. ... Their real importance is ... in their role in challenging our understanding, presenting challenges that led to mathematical developments that increased our understanding.*

(William Thurston)

## Cartesian understanding

“After some hesitation, [Deligne] told [David Ruelle] that what interests him personally are results that he can, by himself and alone, understand in their entirety. This excludes both “theorems obtained with the help of a computer” (like the 4 color problem) and “extremely long ... proofs” (like the classification of finite simple groups).

(At the end of one of his own proofs, published in 1973.)

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## The intense experience of understanding

...it happens suddenly: one direction becomes more dense, or more luminous. To experience this intense moment is the reason why I became a mathematician.

(Marie-France Vignéras)

(Can a computer experience an intense moment?)





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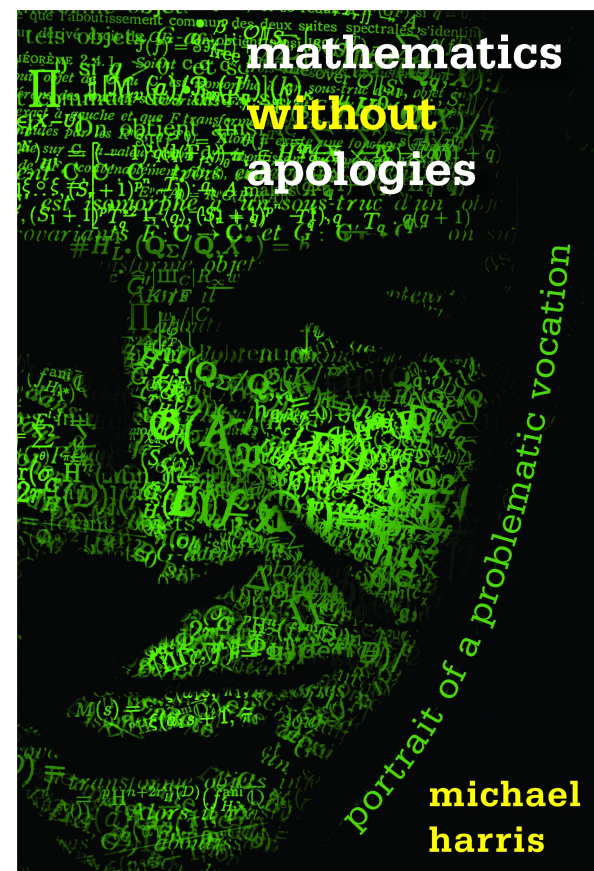
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## Unalienated labor

[W]hy is it a matter of general interest, independently of the uncertain prospects of short- or long-term benefits to human welfare, to have a small group of people working at the limit of their creative powers on something they enjoy? ...

if the notion of “general interest” means anything at all, it should be a matter of general interest that work be a source of pleasure for as many people as possible.



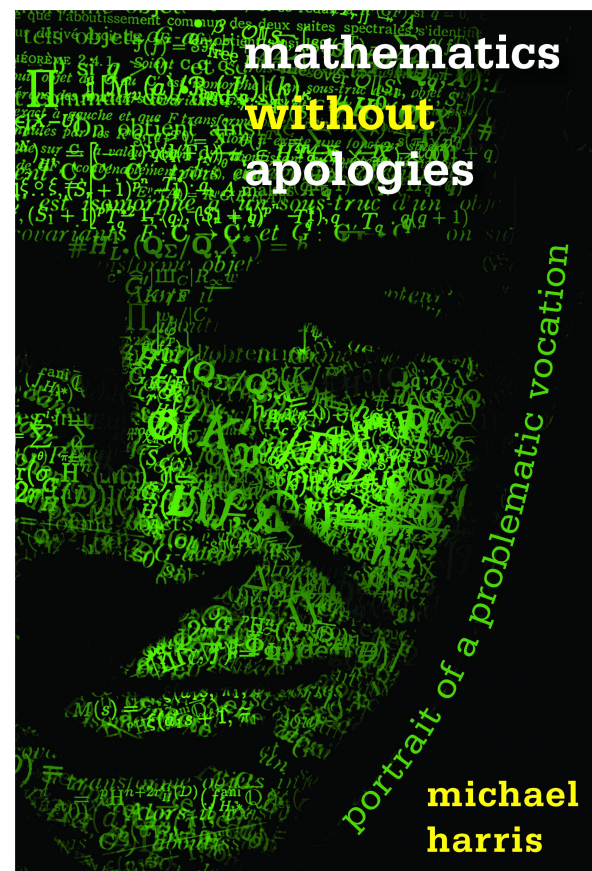
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*“The one who, in the presence of an arduous problem does not feel his/her enthusiasm grow nor his/her strength increase; the one who, upon approaching the solemn moment of fiat lux so impatiently awaited does not have a soul inundated by that emotion which precedes pleasure, ought to abandon the scientific enterprise, because Nature does not award her favors to the cold-hearted, and frigidity is often an unequivocal sign of impotence.” SRC*



## Alienated labor is more respectable

...one cannot be surprised when (US) taxpayers object to what they see as wasted money. My own institution [and Hida's], the University of California, used to be “state supported.” The state dropped its funding to 11% (2011-2012).

How then can one drum up government (or private!) support for studies in pure mathematics when they are portrayed as having no utility except to give pleasure to a small group of mathematicians?

(Richard Bateman, retired computer scientist, objecting to the review of *Mathematics without Apologies* in the *New York Review of Books*.)

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## Is alienated labor more respectable?

How indeed? My advice is to begin by examining what one has been programmed to think and to say, and how this programming – or operant conditioning, the habit of repeating what we hear – diverts us from the path of analysis and inquiry. The word *taxpayer* already conjures up a different conception of society than the word *citizen*. The latter participates in a social contract, the former is an agent of rational choice who views society as an opportunity to choose an investment strategy. I personally don't believe that the "taxpayer" exists as an autonomous political force.

(From the *Mathematics without Apologies* blog, 12/3/2015.)



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