

Lecture 10 Moduli spaces in gauge theory. ①

General principle sets of gauge theoretic objects (configurations or solutions) up to symmetry have natural structures.

Recap: $L \rightarrow (\Sigma, g)$ hermitian Lie bundle.

$\mathcal{A} = \{A \text{ compatible connection}\}$

$\mathcal{C} = \{(A, \Phi) \mid A \text{ compatible connection, } \Phi \in \Gamma(L)\}$

$\cup$
 $\mathcal{C}^* = \{(A, \Phi) \mid \Phi \neq 0\}$

$\cup$
 $\mathcal{N} := \{(A, \Phi) \mid \Phi \neq 0, \bar{\partial}_A \Phi = 0\}$

$\cup$
 $\mathcal{V} := \{(A, \Phi) \mid \Phi \neq 0 \mid \begin{cases} \bar{\partial}_A \Phi = 0 \\ *F_A = \frac{i}{2}(|\Phi|^2 - 1) \end{cases} \}$

These are all acted on by (2)

$$g = \{v: \Sigma \rightarrow U(1)\}, \quad v(A, \Phi) = (A - v^* dv, v \cdot \Phi)$$

$$\Rightarrow A/g, \quad \mathcal{E}/g \supseteq \mathcal{E}^*/g \supseteq \mathcal{H}/g \supseteq \underbrace{\mathcal{V}/g}_{\mathcal{H}} \quad \text{want to understand this!}$$

Notice: the action of g on $\mathcal{E}^*$ is free

($v^* dv \equiv 0 \Rightarrow v$ constant), so we hope that

$\mathcal{E}^*/g$ is an infinite dimensional smooth manifold.

To make this precise, we work with

Sobolev completions. Fix $\bar{A} \in \mathcal{A}$,

$$\mathcal{A}_k := \bar{A} + L^2_k(\mathfrak{so}(L)) \subset L^2_k \text{ connections.}$$

$$\mathcal{E}_k = \{(A, \Phi) \mid A \in \mathcal{A}_k, \Phi \in L^2_k(T^*(L))\}$$

L^2_k configurations, Hilbert affine space.

For $k \geq 1$, consider

(3)

$$\mathcal{G}_{k+1} = \{v : \Sigma \rightarrow U(1)\} \subseteq L^2_{k+1}(\Sigma, \mathbb{C})$$

(Importantly, $\subseteq C^0(\Sigma, \mathbb{C})$, so v has well-defined values and permits bubble to pop!

Then we have $\mathcal{G}_{k+1} \hookrightarrow \mathcal{E}_k$ continuous

$$\left(A - \underbrace{v^{-1} dv}_{\substack{\in L^2_k \\ \mathcal{G}_{k+1}}}, \underbrace{v \circ \Phi}_{\substack{\in L^2_k \\ \mathcal{G}_{k+1}}} \right), \text{ inject smooth.}$$

Thm 1 $\mathcal{E}_k^* / \mathcal{G}_{k+1}$ is a Hilbert manifold. ($k \geq 1$).

The proof is analogous to: G cpt Lie group,

$G \curvearrowright M$ freely $\Rightarrow M/G$ smooth mfd. Two steps

a) M/G is Hausdorff

b) build local charts for M/G

We'll work out a) in detail.

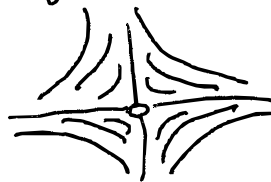
Rule b) follows by considering the analogue of Coulomb gauge fixing:

$f := L^2$ orthogonal to $T_{(A_0, \Phi_0)}(G \cdot (A_0, \Phi_0))$.

a) already fails in finite dim if G not

cpt! e.g. $\mathbb{R}^{\geq 0} \sim \mathbb{R}^2 \setminus \{0, 0\}$

$$t \cdot (x, y) = (tx, t^{-1}y)$$



In our setup, we want to show that

If $(A_n, \Phi_n) \rightarrow (A_\infty, \Phi_\infty)$, and for $u_n \in G_{u_n}$

$$(A'_n, \Phi'_n) = u_n(A_n, \Phi_n) \rightarrow (A'_\infty, \Phi'_\infty)$$

$$\Rightarrow \exists u_\infty \text{ s.t. } u_\infty(A_\infty, \Phi_\infty) = (A'_\infty, \Phi'_\infty).$$

First, the class $[u_n] \in \pi_0(G_{u_n})$ is eventually

constant, this is represented by as

$$\left[\frac{1}{2\pi i} u_n^{-1} du_n \right] \in H^1(\Sigma; \mathbb{Z}) (= \pi_0(G_{u_n}))$$

(5)

Fix 1-forms $\{\beta_i\}$, $i=1, \dots, 2g$ with
of $H^1(\Sigma; \mathbb{R})$. Then

$$\int_{\Sigma} \beta_i \wedge u_n^{-1} du_n = \int_{\Sigma} \beta_i \wedge (A_n - A_n') \rightarrow \int_{\Sigma} \beta_i \wedge (A_{\infty} - A_n')$$

$\Rightarrow$ can assume $u_n \in \text{identity component}$, $u_n = e^{\xi_n}$.

Write $\xi_n = \underbrace{\xi_n^0}_{\text{conf}} + \underbrace{\xi_n^{\perp}}_{\in \langle \text{ghosts} \rangle^{\perp}}$.

$\Rightarrow d(\xi_n^{\perp}) = A_n - A_n'$ is Cauchy in L_k^2

$\Rightarrow \xi_n^{\perp}$ is Cauchy in L_{k+1}^2 (Garding)

$\Rightarrow$ converges to $\xi_{\infty}^{\perp}$. Choose subsequence

s.t. $e^{\xi_n^0} \in U(1)$ converges, then

$u_n = e^{\xi_n^0} \cdot e^{\xi_n^{\perp}} \rightarrow u_{\infty}$, gauge transformation

we were looking for. $\square$

(6)

$\mathcal{E}_k$ is a good space to study our

PDEs! For example, we have continuous (indeed smooth) map

$$f: \mathcal{E}_k \longrightarrow L^2_{k-1}(\Omega^{0,1}(L))$$

$$(A, \Phi) \longmapsto \bar{\partial}_A \Phi.$$

Indeed, writing $A = A_0 + a$, $a \in L^2_k(\mathbb{R}^2)$, it's

$$\bar{\partial}_{A_0} \Phi + a^{0,1} \cdot \Phi. \quad (\bar{\partial}_{A_0} \text{ has smooth coeff!})$$

If $k \geq 2$, this is in L^2_{k-1} by Sobolev

multiplication. If $k=1$, we use $\forall p < \infty$

$$L^2_1 \hookrightarrow L^p \text{ (in fact, it's cpt!)} \quad (L^2_1 \not\hookrightarrow C^0!)$$

$$\hookrightarrow a^{0,1} \cdot \Phi \in L^4 \cdot L^4 \subseteq L^2 \text{ by Cauchy-Schwarz.}$$

$$\text{Notice } \mathcal{N}_k = \{(A, \Phi) \mid \Phi \neq 0, \bar{\partial}_A \Phi = 0\}$$

$$= f^{-1}(0) \cap \mathcal{E}_k^*$$

$\hookrightarrow 0$ -section.

Let's compare with the holomorphic picture. (7)

Recall correspondence for L :

$\{ \text{hol structures } L \} \xleftrightarrow{1:1} \{ \text{hermitian connections } A \}$

$A^{0,1} = \{ a^{(0,1)} : \Omega^2(L) \rightarrow \Omega^{0,1}(L) \text{ satisfying } (0,1)\text{-Leibniz} \}$.

$L \mapsto \text{unique } A \text{ s.t. } \bar{\partial}_A = \bar{\partial}_L$.

This is an affine isomorphism via the map

$$\begin{array}{ccc} \mathcal{L}_k^2(\Omega^{0,1}) & \xrightarrow{\sim} & \mathcal{L}_k^2(i\Omega^1) \\ a^{0,1} & \longleftrightarrow & a \end{array}$$

($\mathbb{C}$ -linear for action of $x \in \Omega^1$).

The natural symmetries of $A^{0,1}$ are

$$\mathcal{G}_{k+1}^{\mathbb{C}} = \{ g : \Sigma \rightarrow \mathbb{C}^* \}.$$

$g \cdot a^{(0,1)} = a^{(0,1)} - g^{-1} \bar{\partial} g$; at the level of A it's

$$A \mapsto A - (g^{-1} \bar{\partial} g) + \overline{(g^{-1} \bar{\partial} g)}$$

(8)

$$\underline{\text{Thm 2}} \quad \Lambda_{k=1}^{0,1} / \mathcal{G}_{k=1}^{\mathcal{G}} \equiv \frac{H^1(\Sigma; \mathbb{R})}{H^1(\Sigma; \mathbb{Z})}$$

{hol structures $\mathbb{C}^k / \mathbb{R}$

$\uparrow$
 $2g(\Sigma)$ -dim brs.
 (Picard brs.)

Proof $\pi_0(\mathcal{G}_{k=1}^{\mathcal{G}}) = H^1(\Sigma; \mathbb{Z})$, so

$$\Lambda_{\mathcal{G}}^{0,1} = \left(\Lambda_{(\mathcal{G})}^{0,1} / \text{id} \right) / H^1(\Sigma; \mathbb{Z}).$$

g in identity group is $g = e^{\bar{\partial} f}$ for $f: \Sigma \rightarrow \mathbb{C}$,

acting via $e^{\bar{\partial} f} \cdot A = A - \bar{\partial} f + \partial \bar{f}$.

Computation: if $f = u + iv$, this is

$$A - i(dv - * dv)$$

$\Rightarrow$ can add any exact / coexact form

$\Rightarrow \Lambda_{(\mathcal{G})}^{0,1} / \text{id} \cong \mathcal{H}^1$ harmonic 1-forms $\square$.

(9)

Cor of prop every $A \in \mathcal{A}_k$ is $g_{k+1}^{\mathbb{C}}$

equivalent to a smooth connection.

Rule not true for g_{k+1} ! Curvature is g_{k+1} invariant.

Now, we also have $g_{k+1}^{\mathbb{C}} \in \mathcal{X}_k$, and every (A, Φ) is equivalent to a smooth one: A is equivalent to A^{smooth} , and $\ker(\bar{\partial}_{A^{\text{smooth}}}) \subseteq \mathcal{C}^{\infty}$ by elliptic reg.

Thm 3 $\mathcal{X}_k / g_{k+1}^{\mathbb{C}} \xleftrightarrow{1:1} \text{Sym}^d(I)$.
 $\sim$ type of L

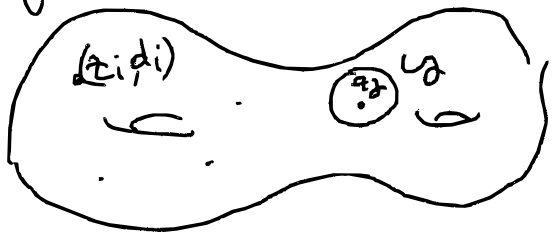
$(A, \Phi) \mapsto \text{zeros of } \Phi \text{ (with multiplicity)}.$

Rule from this, to identify vertex models

space we need to show that each
orbit of g^q in N contains a solution
of the 2nd vortex eqn, unique up to g
next time! $\hookrightarrow$ Δ not g^q invariant!

(10)

Proof surjectivity:



Choose trivial bundles on $S \setminus \{z_1, \dots\}$, $U_j \cong \mathbb{D}^2$,

and use transition functions

$$\mathbb{D}^2 \setminus \{0\} \rightarrow \mathbb{C}^* \quad z \mapsto z^{d_j};$$

The constant section 1 on $S \setminus \{z_1, \dots\}$ extends
with zero of order d_j .

Injectivity If $(A, \Phi), (A', \Phi') \in N_k$

are such that Φ, Φ' have the same

zeros with multiplicities, then $\exists$!

(11)

$$g \in \mathcal{G}_{k+1}^{\Phi} \text{ s.t. } g \cdot \Phi = \Phi'.$$

Then $g \cdot A = A'$ (check!) $\square$.

Remark $\mathcal{H}_k / \mathcal{G}_k \xrightarrow{\text{map } \Phi} \mathcal{H}_k / \mathcal{G}_k$ is the Abel-Jacobi map.

Going back to $\mathcal{H}_k$, we have

Think $\mathcal{H}_k$ is a Hilbert mfd. ($k \geq 1$)

Remark useful because we'll do symplectic quotient!

Proof we'll apply implicit function thm at (A, Φ) with $\bar{\partial}_A \Phi = 0$, $\Phi \neq 0$.

$$D\mathcal{F}_{(A, \Phi)} : L_k^2(i\mathbb{R}^2) \oplus L_k^2(\Pi(L)) \rightarrow L_{k-1}^2(\mathcal{H}^{0,1}(L))$$

$$(a, \phi) \mapsto \bar{\partial}_A \phi + a^{0,1} \Phi.$$

We need to prove surjectivity.

By $g^{\mathbb{F}}$ invariance ($\bar{\partial}_{g \cdot A}(g\Phi) = g\bar{\partial}_A\Phi$), (12)

we can assume (A, Φ) smooth.

Note $\text{Im } D\bar{f}_{(A, \Phi)} \supseteq \bar{\partial}_A\Phi \Rightarrow$ finite codim

$\Rightarrow$ closed; if it's not everywhere, $\exists b^{0,1} \in L^2_{k-1}(\Omega^{0,1}(L))$

L^2 -orthogonal to image, i.e.

$$\langle \bar{\partial}_A\Phi + a^{0,1}\Phi, b^{0,1} \rangle_{L^2} = 0 \quad \forall a, \Phi.$$

Using $(0, \Phi)$, we see $\bar{\partial}_A^* b^{0,1} = 0$

weakly. $\Rightarrow b^{0,1}$ smooth. Now

$$\bar{\partial}_A(\bar{*} b^{0,1}) = 0 \text{ for } \bar{\partial}_A: \Omega^{1,0}(L) \rightarrow \Omega^{1,1}(L)$$

$\Rightarrow b^{0,1}$ is not identically vanishing on any

open set $\Rightarrow \exists a^{0,1}$ s.t.

$$\langle a^{0,1}\Phi, b^{0,1} \rangle_{L^2} \neq 0$$

□.