

# Gromov - Witten Invariants

$X =$  smooth projective variety /  $\mathbb{C}$  or compact Kähler manifold

$\overline{M}_{g,m}(X, d) =$  moduli stack of genus  $g$ ,  $m$ -pointed stable maps to  $X$  of degree  $d$

$\downarrow \mathbb{N}$   
 $\in \mathbb{Z}_{\geq 0}$   
 $\in H_2(X, \mathbb{Z})$

$$[\overline{M}_{g,m}(X, d)]^{\text{vir}} \in H_{2((1-g)\dim X + \int_d c_1(T_X) + 3g - 3 + m)}(\overline{M}_{g,m}(X, d), \mathbb{Q})$$

$\sim$  virtual fundamental class

$$\psi_i = c_1(L_i) \in H^2(\overline{M}_{g,m}(X, d), \mathbb{Q}), \quad L_i|_{(C, p_1, \dots, p_m) \xrightarrow{f} X} := T_{p_i}^* C$$

$\sim$  descendant classes

genus  $g$  descendant potential:

$$F_X^g(\{t_i\}) := \sum_{m,d} \frac{\mathbb{Q}^d}{m!} \int_{[\overline{M}_{g,m}(X, d)]^{\text{vir}}} \prod_{i=1}^m \left( \sum_{k=0}^{\infty} (ev_i^* t_k) \psi_i^k \right)$$

where  $ev_i: \overline{M}_{g,m}(X, d) \rightarrow X$ ;  $ev_i((C, p_1, \dots, p_m) \xrightarrow{f} X) = f(p_i)$

$$t_0, t_1, \dots \in H^*(X, \mathbb{Q})$$

$\mathbb{Q}^d =$  element in (a completion of the) group ring of  $H_2(X, \mathbb{Z})$

Total descendant potential

$$D_X = \exp \left( \sum_{g \geq 0} \hbar^{g-1} F_X^g \right)$$

# Virasoro constraints

Basis:  $\{\gamma_a\} \subset H^*(X, \mathbb{C})$  such that

- $\gamma_0 = 1 \in H^0(X, \mathbb{C})$

- $\gamma_a$  is homogeneous w.r.t. the Hodge decomposition  
:  $\gamma_a \in H^{p_a, q_a}(X) \subset H^*(X, \mathbb{C})$  for some  $p_a, q_a$

Coordinates:  $t_k = \sum_a t_k^a \gamma_a$

operators:  $\rho = c_1(T_X) \cup - : H^*(X) \rightarrow$

$\rho_a^b =$  matrix elements of  $\rho$  w.r.t.  $\{\gamma_a\}$

:  $\sum_b \rho_a^b \gamma_b = \rho(\gamma_a) = c_1(T_X) \cup \gamma_a$

$\mu =$  diagonal matrix in the basis  $\{\gamma_a\}$   
w/ entries  $\mu_a = p_a - \frac{\dim X}{2}$   
(Hodge grading operator)

Notation:

$$[x]_i^k = e_{k+1-i}(x, x+1, \dots, x+k)$$

$e_k = k^{\text{th}}$  elementary symmetric function of its arguments

(so  $\sum_{i=0}^{k+1} s^i [x]_i^k = (s+x)(s+x+1) \dots (s+x+k)$ )

Virasoro differential operators:

$$k \geq -1$$

$$L_k = \sum_{i=0}^{k+1} \left( \frac{1}{2} \sum_{m=i-k}^{-1} (-1)^m \left[ M_{a+m+\frac{1}{2}} \right]_i^k (\rho^i)^{ab} \frac{\partial}{\partial t_{-m-1}^a} \frac{\partial}{\partial t_{m+k-i}^b} \right. \\ \left. - \left[ \frac{3-\dim X}{2} \right]_i^k (\rho^i)^b \frac{\partial}{\partial t_{k-i+1}^b} \right. \\ \left. + \sum_{m=0}^{\infty} \left[ M_{a+m+\frac{1}{2}} \right]_i^k (\rho^i)_a^b t_m^a \frac{\partial}{\partial t_{m+k-i}^b} \right) \\ + \frac{1}{24} (\rho^{k+1})_{ab} t_0^a t_0^b + \frac{\delta_{k,0}}{48} \int_X \left( (3-\dim X) c_{\dim X}(Tx) - 2c_1(Tx) \right) c_{\dim X-1}(Tx)$$

Virasoro Conjecture (Eguchi-Hori-Xiong, S. Katz)

$$L_k D_X = 0 \quad \text{for } k \geq -1$$

Remarks

- ①  $L_{-1} D_X = 0$  is always true: it is equivalent to the string equation.
  - ②  $L_0 D_X = 0$ , also known as Hori's equation, is always true
- It follows from:
- (a) dimension constraint
  - (b) divisor equation
  - (c) dilaton equation.

③  $L_k$  satisfy the following commutation relation:

$$[L_k, L_l] = (k-l)L_{k+l}$$

This is the commutation relation for the algebra of polynomial vector fields on the line, whose central extension is the Virasoro algebra.

The proof of the commutation relation is a formal calculation. There is a geometric aspect: matching the constants in  $[L_1, L_{-1}] = 2L_0$  yields

$$\text{Str}(\mu^2) = \frac{1}{12} \int_X (\dim X C_{\text{top}}(X) + 2C_1(X)C_{\text{top}-1}(X)),$$

which can be proven by Hirzebruch-Riemann-Roch (Libgober-Wood)

### Givental's formulation

Loop space:  $\mathcal{H} := H^*(X)((z^{-1})) \sim$  Laurent series in  $z^{-1}$   
w/ coefficients in  $H^*(X)$

$\Omega =$  symplectic form on  $\mathcal{H}$

$$\Omega(f, g) = \text{Res}_{z=0} (f(-z), g(z)) dz$$

↖ Poincaré pairing  
on  $X$

Lagrangian polarizations:  $\mathcal{H} = \mathcal{H}_+ \oplus \mathcal{H}_- \cong T^*\mathcal{H}_+$

where  $\mathcal{H}_+ = H^*(X)[z]$      $\mathcal{H}_- = z^{-1}H^*(X)[z^{-1}]$

Darboux coordinates:  $\{P_k^a, q_l^b\}$

$$P(z) = \sum_{k \geq 0} P_k^a \gamma_a z^{-k-1} + \sum_{l \geq 0} q_l^b \gamma_b z^l \in \mathcal{H} = \mathcal{Q}(z)$$

Convention:  $F_X^g$  and  $D_X$  are viewed as functions

$$\text{on } t(z) = t_0 + t_1 z + t_2 z^2 + \dots,$$

hence they are functions on  $\mathcal{H}_+$

via the dilaton shift  $\mathcal{Q}(z) = t(z) - 1z$

The cone:  $L_X = \{P = d_{\mathcal{Q}} F_X^0\} \subset \mathcal{H}$

is an overruled Lagrangian cone w/ vertex at origin in  $\mathcal{H}$ .

$\left. \begin{array}{l} \text{String + dilaton} \\ \text{+ TRR} \end{array} \right\} \leftrightarrow$  "Overruled" means that each tangent space  $T$  of  $L_X$  is tangent to  $L_X$  exactly along  $zT$ .

genus 0:  $l_{-1} = z^{-1} : \mathcal{H} \rightarrow \mathcal{H}$ ,  $l_0 = z \frac{d}{dz} + \frac{1}{2} + M + \frac{P}{z} : \mathcal{H} \rightarrow \mathcal{H}$ .

$$l_1 = l_0 z l_0, \quad l_2 = l_0 z l_0 z l_0, \quad \dots, \quad l_k = l_0 (z l_0)^k : \mathcal{H} \rightarrow \mathcal{H}.$$

They satisfy  $[l_m, l_n] = (n-m) l_{m+n}$  b/c  $[l_0, l_{-1}] = -l_{-1}$

Thm (X. Liu - G. Tian) vector fields defined by  $l_m, m \geq -1$  are all tangent to  $L_X$ .

Pf Let  $T = T_g L_X$ . Then  $l_0 f \in T$ . So  $z l_0 f \in zT \subset L_X$ .  
 So  $l_0 z l_0 f \in T$ . So  $z l_0 z l_0 f \in zT \subset L_X$ , so  $l_0 z l_0 z l_0 f \in T$ , etc.

Hori's eqn

**Higher genus** Differential operators can be obtained from  $l_k$  as follows. Write the quadratic Hamiltonian  $f \mapsto \frac{1}{2} \Omega(l_k f, f)$  in Darboux coordinates  $\{p_k^a, q_k^b\}$  and obtain a differential operator  $\widehat{l}_k$  by the following quantization rule:

$$\widehat{q_m^a q_n^b} := \frac{q_m^a q_n^b}{\hbar}, \quad \widehat{q_m^a p_n^b} := q_m^a \frac{\partial}{\partial q_n^b}, \quad \widehat{p_m^a p_n^b} := \hbar \frac{\partial}{\partial q_m^a} \frac{\partial}{\partial q_n^b}$$

Note: a choice of ordering is made here

Then  $L_k := \widehat{l}_k + C_k$ , w/  $C_{k \neq 0} = 0, -C_0 = \frac{\chi(X)}{16} + \frac{\text{str}(\mu \mu^*)}{4}$

commutes as  $[L_m, L_n] = (n-m) L_{m+n}$

Note: The  $L_k$  here is the negative of the  $L_k$  written down before

Virasoro conjecture reads:  $L_k D_X = 0, k \geq -1$ .

## Proven cases

- ①  $X = \text{point}$  (Witten, Kontsevich)
- ②  $X = \text{toric manifold}$  (Givental, Iritani)
- ③  $X = \text{complete flag manifold of type A}$  (Joe-Kim)
- ④  $X = \text{Grassmannian}$  (Bertram-Ciocan-Fontanine-Kim)
- ⑤  $X = \text{compact Kähler manifold w/ (generic) semisimple } \mathbb{Q}H^*$  (Teleman)
- ⑥  $X = \text{nonsingular curve}$  (Okounkov-Pandharipande)

②, ③, ④, ⑤ are based on an approach due to Givental.

## Givental's approach

An element  $M: (\mathcal{X}, \Omega) \hookrightarrow$  of the twisted loop group (also known as the Givental group) yields a differential operator

$\widehat{M} := \exp(\widehat{\ln M})$  which act on formal functions such as total descendant potentials.

Proposition (loop group covariance) Suppose  $D'$  and  $D'' = \widehat{M} D'$  both satisfy grading constraints  $L_0' D' = 0$ ,  $L_0'' D'' = 0$  for suitable grading operators  $l_0'$ ,  $l_0''$ . Suppose  $M$  respects the grading in the sense that  $l_0'' = M l_0' M^{-1}$ . Then  $D'$  satisfies Virasoro constraints if and only if  $D''$  satisfies Virasoro constraints.

Semi simple case The Givental - Teleman classification of semi simple CohFT implies that for target spaces  $X$  w/ (generic) semi simple quantum cohomology rings, we have the following formula

$$D_X = \widehat{S}_X^{-1} \widehat{R} D_{\text{point}}^{\otimes \dim H^*(X)}$$

↑  
some scalar factors are omitted

$S_X$  is defined explicitly in terms of genus 0 Gromov-Witten invariants.  $R$  is uniquely obtained from quantum differential eqn and grading condition for  $X$ .

Both  $S_X$  and  $R$  respect gradings, so loop group covariance and Witten-Kontsevich theorem imply Virasoro constraints for  $X$ .

## Toric bundles

$X = \mathbb{C}^N // K$  : smooth projective toric manifold.

$$K = (S^1)^K \subset (S^1)^N$$

$B =$  compact Kähler manifold / smooth projective variety /  $\mathbb{C}$

$L_1, \dots, L_N \rightarrow B$  : line bundles.

Replacing the fibers of  $L_1 \oplus \dots \oplus L_N \rightarrow B$  by  $X$  yields a toric bundle  $E \rightarrow B$ .

$T = (\mathbb{C}^*)^N$  acts on  $E$ . The  $T$ -fixed locus  $E^T$  consists of  $n$  copies of  $B$ , which are sections of  $E \rightarrow B$ .

$$(n = \text{rank } H^*(X))$$

The following results are joint work w/ T. Coates and A. Givental

Theorem (Coates - Givental - T.)  $D_E = \widehat{M} D_B^{\otimes n}$  for a certain grading respecting loop group element  $M$ .

Loop group covariance then implies

Corollary Virasoro constraints hold for  $E$  if and only if they hold for  $B$ .

For example, Virasoro constraints hold for  $\mathbb{P}^1$ -bundles over curves (namely, ruled surfaces)

## How to prove the Theorem

Descendant / Ancestor correspondence For any smooth projective variety

$Y$ , we can consider the total ancestor potential:

$$A_Y(\tau; \mathbf{t}) = \exp \left( \sum_{g=0}^{\infty} \hbar^{g-1} \widehat{F}_g(\tau; \mathbf{t}) \right),$$

where  $\widehat{F}_g$  is the genus  $g$  ancestor potential:

$$\widehat{F}_g(\tau; \mathbf{t}) = \sum_{d \in H_2(Y)} \sum_{m \geq 0} \sum_{n \geq 0} \frac{Q^d}{m! n!} \int [\overline{M}_{g, m+n}(Y, d)]^{\text{vir}} \prod_{i=1}^m \left( \sum_{k \geq 0} e v_i^*(t_k) \psi_i^{-k} \right) \prod_{i=m+1}^{m+n} e v_i^* \tau$$

where  $\tau \in H^*(Y)$

$\mathbb{t} = t_0 + t_1 z + t_2 z^2 + \dots \in H^*(Y)[z]$

$\bar{\psi}_i = c\mathbb{t}^* \psi_i$  where  $c\mathbb{t} : \overline{\mathcal{M}}_{g, m+n}(Y, d) \rightarrow \overline{\mathcal{M}}_{g, m+n} \rightarrow \overline{\mathcal{M}}_{g, m}$   
 forgets the map      forgets the last  $n$  markings.

A relation between descendant and ancestor invariants, due to Kontsevich-Manin, can be formulated as follows:

$$D_Y = e^{F_Y^1(\tau)} \widehat{S}_Y A_Y(\tau; \mathbb{t})$$

Here  $S_Y$  is the operator defined by

$$(a, S_Y b)_Y = (a, b) + \sum_{k \geq 0} \frac{1}{z^k} \sum_{m, d} \frac{Q^d}{m!} \int_{[\overline{\mathcal{M}}_{0, m+2}(Y, d)]^{\text{vir}}} (e_{i=1}^* a) \left( \prod_{i=2}^{m+1} e_{i=2}^* \tau \right) (e_{m+2}^* b) \psi_{m+2}^k$$

By dimension constraints of Gromov-Witten invariants,

$S_Y$  respects grading.

**Localization** Because of descendant/ancestor correspondence, we can study  $A_{\mathbb{E}}(\tau; \mathbb{t})$  instead of  $D_{\mathbb{E}}$ . We compute  $A_{\mathbb{E}}$  by virtual localization w. r. t. the  $T$ -action on  $\mathbb{E}$ .

The outcome is the following:

$$A_E(\tau; \mathfrak{t}) = \widehat{R}(\tau) \prod_{\alpha \in F} A_B^{\alpha, \text{tw}}$$

twisted Gromov-Witten theory associated to normal bundle of  $E^\alpha \subset E$  and inverse Euler class  
 ↖ index for components of  $E^T$

Some comments:

- ① Contributions from maps to the fixed component  $E^\alpha$  assemble to  $A_B^{\alpha, \text{tw}}$
- ② Node-smoothing terms in virtual normal bundles contain descendant classes. Replacing them by ancestor classes requires some care.
- ③ Contributions from maps to 1-dimensional T-orbits of  $E$  can be described by the action of  $\widehat{R}(\tau)$ .

$R(\tau)$  arises by studying  $S_E$  using localization:

$$S_E(\tau, z) = R(\tau, z) \left( \bigoplus_{\alpha \in F} S^{\alpha, \text{tw}} \right)$$

=  $i$  S<sub>block</sub>

Non-equivariant limit

$A_B^{\alpha, \text{tw}}$  can be related to  $D_B$  by applying (twisted)

descendant/ancestor correspondence and quantum Riemann-Roch theorem.

Thus we have the following formula in T-equivariant Gromov-

Witten theory:

$$A_E^{\text{eg}}(\tau) = \widehat{R}(\tau) \widehat{S}_{\text{block}}(\tau) \prod_{\alpha \in F}^{-1} D_B$$

some scalar factors are omitted

↖ from quantum Riemann-Roch  
 ↖ from descendant/ancestor correspondence

- We need a formula relating  $A_E$  and  $\prod_{d \in F} D_B$  in non-equivariant Gromov-Witten theory of  $E$ . Therefore we want to show that the expression  $R(\tau) S_{\text{block}}(\tau) \Gamma_{\text{block}}^{-1}$  has non-equivariant limit.
- The point is that  $R(\tau) S_{\text{block}} \Gamma_{\text{block}}^{-1}$  is a solution to the T-equivariant quantum differential equation of  $E$ .
- J. Brown's work provides a fundamental solution to quantum differential equation of  $E$  using oscillating integrals and Gromov-Witten invariants of  $B$ .
- We show that  $R(\tau) S_{\text{block}} \Gamma_{\text{block}}^{-1}$  can be obtained from stationary phase asymptotics of these oscillating integrals.
- Non-equivariant limit of this oscillating integral description can be seen to exist.
- Finally, the non-equivariant limit of the oscillating integral description can be shown to be grading-respecting.