# Space-dependent renormalization group and anomalous dimensions in a hierarchical model for 3d CFT

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Partly joint work with Ajay Chandra (Imperial) and Gianluca Guadagni (UVa)

Recent developments in Constructive Field Theory Columbia University, March 13, 2018

### Main references:

(ACG2013) A.A., A. Chandra, G. Guadagni, "Rigorous quantum field theory functional integrals over the p-adics I: anomalous dimension", arXiv 2013.

(A2013) A.A., "QFT, RG, and all that, for mathematicians, in eleven pages", arXiv 2013.

(A2015) A.A., "Towards three-dimensional conformal probability", arXiv 2015.

(A2016) A.A., "A second-quantized Kolmogorov-Chentsov theorem", arXiv 2016.

- Introduction
- The hierarchical continuum
- The rigorous hierarchical space-dependent renormalization group

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- Constructing explicit examples of holography or AdS/CFT correspondence.

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for all  $f \in \mathcal{M}(\mathbb{R}^d)$  and all collection of distinct points in  $\mathbb{R}^d \setminus \{f^{-1}(\infty)\}.$ 

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where  $S[\phi]$  is an action for a field  $\phi(x, x_{d+1})$  on AdS space and  $\phi_{\rm ext}$  makes it extremal for a boundary condition  $\phi(x, x_{d+1}) \sim (x_{d+1})^{d-\Delta} j(x)$  when  $x_{d+1} \to 0$ .

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where  $m^2$  is related to  $\Delta$  and is allowed to be (not too) negative. This gives an expansion for connected CFT correlations in terms of tree-level Feynman diagrams (Witten diagrams). The simplest "Mercedes logo" 3-point Witten diagram reproduces the correct CFT prediction

$$\frac{O(1)}{|x_1 - x_2|^{\Delta_1 + \Delta_2 - \Delta_3} |x_1 - x_3|^{\Delta_1 + \Delta_3 - \Delta_2} |x_2 - x_3|^{\Delta_2 + \Delta_3 - \Delta_1}}$$

for  $\langle \mathcal{O}_1(x_1)\mathcal{O}_2(x_2)\mathcal{O}_3(x_3)\rangle$  by a calculation of Freedman, Mathur, Matusis and Rastelli 1999.



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### See in particular:

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The calculations of the last reference for scaling dimensions of  $\Phi$  and  $\Phi^2$ , for N=1 in hierarchical case were made nonperturbatively rigorous in (ACG2013).

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the "God given" p-adic setup...where "God" is man called Alexander Ostrowski. Comes with a huge available knowledge base one can tap into...provided one has a gun to force number theorists to talk about SO(d+1,1) instead of a general split reductive group over an arbitrary global field of characteristic zero.

Let p be an integer > 1 (in fact a prime number).

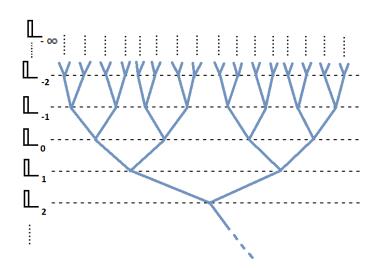
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Let  $\mathbb{L}_k$ ,  $k \in \mathbb{Z}$ , be the set of cubes  $\prod_{i=1}^d [a_i p^k, (a_i + 1) p^k]$  with  $a_1, \ldots, a_d \in \mathbb{N}_0$ . The cubes of  $\mathbb{L}_k$  form a partition of the octant  $[0, \infty)^d$ .

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Hence  $\mathbb{T} = \bigcup_{k \in \mathbb{Z}} \mathbb{L}_k$  naturally has the structure of a doubly infinite tree which is organized into layers or generations  $\mathbb{L}_k$ :

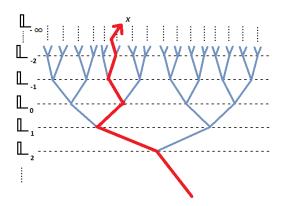


Picture for 
$$d = 1$$
,  $p = 2$ 

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A path representing an element  $x \in \mathbb{Q}_p^d$ 

A point  $x \in \mathbb{Q}_p^d$  is encoded by a sequence  $(a_n)_{n \in \mathbb{Z}}$ ,  $a_n \in \{0, 1, \dots, p-1\}^d$ . Let  $0 \in \mathbb{Q}_p^d$  be the sequence with all digits equal to zero. A point  $x \in \mathbb{Q}_p^d$  is encoded by a sequence  $(a_n)_{n \in \mathbb{Z}}$ ,  $a_n \in \{0, 1, \dots, p-1\}^d$ . Let  $0 \in \mathbb{Q}_p^d$  be the sequence with all digits equal to zero.

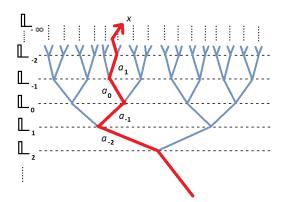
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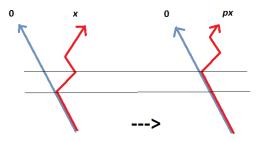
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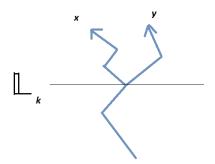
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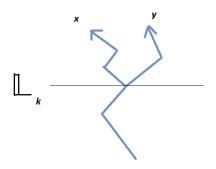
Likewise  $p^{-1}x$  is downward shift, and so on for the definition of  $p^kx$ ,  $k \in \mathbb{Z}$ .

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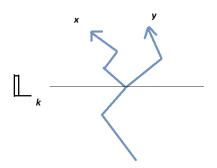


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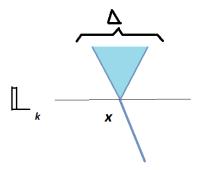
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# Closed balls $\Delta$ of radius $p^k$ correspond to the nodes $\mathbf{x} \in \mathbb{L}_k$



Metric space  $\mathbb{Q}_p^d \to \text{Borel } \sigma\text{-algebra} \to \text{Lebesgue measure } d^d x$  which gives a volume  $p^{dk}$  to closed balls of radius  $p^k$ .

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Construction: take product of uniform probability measures on  $(\{0,1,\ldots,p-1\}^d)^{\mathbb{N}_0}$  for  $\overline{B}(0,1)$ . Do the same for the other closed unit balls, and collate.

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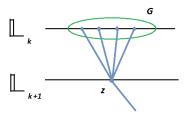
#### The hierarchical unit lattice:

Truncate the tree at level zero and take  $\mathbb{L}:=\mathbb{L}_0$ . Using the identification of nodes with balls, define the hierarchical distance as

$$d(\mathbf{x}, \mathbf{y}) = \inf\{|x - y|_p \mid x \in \mathbf{x}, y \in \mathbf{y}\}\ .$$

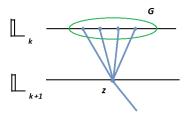
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To every group of offsprings G of a vertex  $\mathbf{z} \in \mathbb{L}_{k+1}$  associate a centered Gaussian random vector  $(\zeta_{\mathbf{x}})_{\mathbf{x} \in G}$  with  $p^d \times p^d$  covariance matrix made of  $1-p^{-d}$ 's on the diagonal and  $-p^{-d}$ 's everywhere else. We impose that Gaussian vectors corresponding to different layers or different groups are independent.

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The ancestor function: for k < k',  $\mathbf{x} \in \mathbb{L}_k$ , let  $\mathrm{anc}_{k'}(\mathbf{x})$  denote the ancestor in  $\mathbb{L}_{k'}$ .

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The massless Gaussian field  $\phi(x)$ ,  $x \in \mathbb{Q}_p^d$  of scaling dimention  $[\phi]$  is given by

$$\phi(x) = \sum_{k \in \mathbb{Z}} p^{-k[\phi]} \zeta_{\mathrm{anc}_k(x)}$$

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This is heuristic since  $\phi$  is not well-defined in a pointwise manner. We need random Schwartz(-Bruhat) distributions.

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If the law of  $\phi(\cdot)$  is  $\mu_{C_0}$ , then that of  $L^{-r[\phi]}\phi(L^r\cdot)$  is  $\mu_{C_r}$ .

Fix the parameters  $g,\mu$  and let  $g_r=L^{-(3-4[\phi])r}g$  and  $\mu_r=L^{-(3-2[\phi])r}\mu$ .

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Let

$$V_{r,s}(\phi) = \int_{\Lambda_s} \{g_r : \phi^4 :_{C_r} (x) + \mu_r : \phi^2 :_{C_r} (x)\} d^3x$$

and define the probability measure

$$d
u_{r,s}(\phi) = \frac{1}{\mathcal{Z}_{r,s}} e^{-V_{r,s}(\phi)} d\mu_{C_r}(\phi)$$

Let  $\phi_{r,s}$  be the random distribution in  $S'(\mathbb{Q}_p^3)$  sampled according to  $\nu_{r,s}$  and define the squared field  $N_r[\phi_{r,s}^2]$  which is a deterministic function(al) of  $\phi_{r,s}$ , with values in  $S'(\mathbb{Q}_p^3)$ , given by

$$N_r[\phi_{r,s}^2](j) = (Z_2)^r \int_{\mathbb{Q}_p^3} \{ Y_2 : \phi_{r,s}^2 :_{C_r} (x) - Y_0 L^{-2r[\phi]} \} \ j(x) \ d^3x$$

for suitable parameters  $Z_2$ ,  $Y_0$ ,  $Y_2$ .

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Our main result concerns the limit law of the pair  $(\phi_{r,s}, N_r[\phi_{r,s}^2])$  in  $S'(\mathbb{Q}_p^3) \times S'(\mathbb{Q}_p^3)$  when  $r \to -\infty$ ,  $s \to \infty$  (in any order).

For the precise statement we need the approximate fixed point value

$$\bar{g}_* = \frac{p^{\epsilon} - 1}{36L^{\epsilon}(1 - p^{-3})}$$

Theorem 1: A.A.-Chandra-Guadagni 2013

 $\exists \rho > 0$ ,  $\exists L_0$ ,  $\forall L \geq L_0$ ,  $\exists \epsilon_0 > 0$ ,  $\forall \epsilon \in (0, \epsilon_0]$ ,  $\exists [\phi^2] > 2[\phi]$ ,  $\exists$  fonctions  $\mu(g)$ ,  $Y_0(g)$ ,  $Y_2(g)$  on  $(\bar{g}_* - \rho \epsilon^{\frac{3}{2}}, \bar{g}_* + \rho \epsilon^{\frac{3}{2}})$  such that if one lets  $\mu = \mu(g)$ ,  $Y_0 = Y_0(g)$ ,  $Y_2 = Y_2(g)$  and  $Z_2 = L^{-([\phi^2] - 2[\phi])}$  then the joint law of  $(\phi_{r,s}, N_r[\phi^2_{r,s}])$  converge weakly and in the sense of moments to that of a pair  $(\phi, N[\phi^2])$  such that:

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**1**  $\forall k \in \mathbb{Z}, (L^{-k[\phi]}\phi(L^k \cdot), L^{-k[\phi^2]}N[\phi^2](L^k \cdot)) \stackrel{d}{=} (\phi, N[\phi^2]).$ 

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- $\begin{array}{ll} \textbf{2} & \langle \phi(\textbf{1}_{\mathbb{Z}^3_p}), \phi(\textbf{1}_{\mathbb{Z}^3_p}), \phi(\textbf{1}_{\mathbb{Z}^3_p}), \phi(\textbf{1}_{\mathbb{Z}^3_p}) \rangle^{\mathrm{T}} < 0 \text{ i.e., } \phi \text{ is} \\ & \text{non-Gaussian}. \text{ Here, } \textbf{1}_{\mathbb{Z}^3_p} \text{ denotes the indicator function of} \\ & \overline{\mathcal{B}}(0,1). \end{array}$

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- **3**  $\langle N[\phi^2](\mathbf{1}_{\mathbb{Z}_p^3}), N[\phi^2](\mathbf{1}_{\mathbb{Z}_p^3})\rangle^{\mathrm{T}} = 1.$

$$\langle \phi(L^{-k}x_1) \cdots \phi(L^{-k}x_n) N[\phi^2](L^{-k}y_1) \cdots N[\phi^2](L^{-k}y_m) \rangle$$

$$= L^{-(n[\phi]+m[\phi^2])k} \langle \phi(x_1) \cdots \phi(x_n) N[\phi^2](y_1) \cdots N[\phi^2](y_m) \rangle$$

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Not too far, if one boldly extrapolates to  $\epsilon=1$ , from the most precise available estimates concerning the short range 3D Ising model:  $[\phi^2]-2[\phi]=0.376327\dots$  (JHEP 2016 by Kos, Poland, Simmons-Duffin and Vichi, using conformal bootstrap).

We also proved the law  $\nu_{\phi \times \phi^2}$  of  $(\phi, N[\phi^2])$ , up to multiplying  $\phi$  by a constant, is independent of g in the interval  $(\bar{g}_* - \rho \epsilon^{\frac{3}{2}}, \bar{g}_* + \rho \epsilon^{\frac{3}{2}})$ .

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The two-point correlations are given in the sense of distributions by

$$\langle \phi(x)\phi(y)\rangle = \frac{c_1}{|x-y|^{2[\phi]}}$$

$$\langle N[\phi^2](x) \ N[\phi^2](y)\rangle = \frac{c_2}{|x-y|^{2[\phi^2]}}$$

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### Theorem 3: A.A., May 2015

Use  $\psi_i$  to denote the scaling limits  $\phi$  or  $N[\phi^2]$ . Then, for all mixed correlation  $\exists$  a smooth fonction  $\langle \psi_1(z_1) \cdots \psi_n(z_n) \rangle$  on  $(\mathbb{Q}_p^3)^n \backslash \mathrm{Diag}$  which is locally integrable (on the big diagonal  $\mathrm{Diag}$ ) and such that

$$\mathbb{E} \ \psi_1(f_1) \cdots \psi_n(f_n) =$$

$$\int_{(\mathbb{Q}_p^3)^n \setminus \text{Diag}} \langle \psi_1(z_1) \cdots \psi_n(z_n) \rangle \ f_1(z_1) \cdots f_n(z_n) \ d^3z_1 \cdots d^3z_n$$

for all test functions  $f_1, \ldots, f_n \in S(\mathbb{Q}_p^3)$ .

This hinges on showing the BNNFB (basic nearest neighbor factorized bound) of (A2016):

$$|\langle \psi_1(z_1)\cdots\psi_n(z_n)\rangle| \leq O(1) \times \prod_{i=1}^n \frac{1}{|x_i-\mathrm{n.n.}|^{[\psi_i]}}$$

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Hence, the emergent connection to the AdS/CFT correspondence.

- Introduction
- The hierarchical continuum
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Take 
$$RG(a,b) = \left(\frac{a+b}{2}, \sqrt{ab}\right)$$
.

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$$\frac{\int d\mu_{C_r}(\phi) \exp\left(-\int_{\Lambda_s} \{g_r : \phi^4 :_r (x) + \mu_r : \phi^2 :_r\} dx + \int \phi(x) f(x) dx\right)}{\int d\mu_{C_r}(\phi) \exp\left(-\int_{\Lambda_s} \{g_r : \phi^4 :_r (x) + \mu_r : \phi^2 :_r\} dx\right)}$$

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with

$$\mathcal{I}^{(r,r)}[f](\phi) = \exp\left(-\int_{\Lambda_{s-r}} \{g : \phi^4 :_0 (x) + \mu : \phi^2 :_0\} d^3x + L^{(3-[\phi])r} \int \phi(x) f(L^{-r}x) d^3x\right)$$

## 2nd step: define inhomogeneous RG

Fluctuation covariance  $\Gamma := C_0 - C_1$ .

Associated Gaussian measure is the law of the fluctuation field

$$\zeta(x) = \sum_{0 \le k < \ell} p^{-k[\phi]} \zeta_{\mathrm{anc}_k(x)}$$

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$$\int \mathcal{I}^{(r,r)}[f](\phi) \ d\mu_{C_0}(\phi) = \int \int \mathcal{I}^{(r,r)}[f](\zeta + \psi) \ d\mu_{\Gamma}(\zeta) d\mu_{C_1}(\psi)$$
$$= \int \mathcal{I}^{(r,r+1)}[f](\phi) \ d\mu_{C_0}(\phi)$$

with new integrand

$$\mathcal{I}^{(r,r+1)}[f](\phi) = \int \mathcal{I}^{(r,r)}[f](\zeta + L^{-[\phi]}\phi(L\cdot)) \ d\mu_{\Gamma}(\zeta)$$



Need to extract vacuum renormalization  $\rightarrow$  better definition is

$$\mathcal{I}^{(r,r+1)}[f](\phi) = e^{-\delta b(\mathcal{I}^{(r,r)}[f])} \int \mathcal{I}^{(r,r)}[f](\zeta + L^{-[\phi]}\phi(L\cdot)) \ d\mu_{\Gamma}(\zeta)$$

so that

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Repeat:  $\mathcal{I}^{(r,r)} \to \mathcal{I}^{(r,r+1)} \to \mathcal{I}^{(r,r+2)} \to \cdots \to \mathcal{I}^{(r,s)}$ 

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One must control

$$\mathcal{S}^{\mathrm{T}}(f) = \lim_{\stackrel{r \to -\infty}{s \to \infty}} \sum_{\substack{r < q < s}} \left( \delta b(\mathcal{I}^{(r,q)}[f]) - \delta b(\mathcal{I}^{(r,q)}[0]) \right)$$

limit of logarithms of characteristic functions.

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$$\vec{V}^{(r,q)} \xrightarrow{} \vec{V}^{(r,q+1)}$$
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$$\mathcal{I}^{(r,q)}(\phi) = \prod_{\stackrel{\Delta \in \mathbb{L}_0}{\Delta \subset \Lambda_{s-q}}} \left[ e^{f_{\Delta}\phi_{\Delta}} imes 
ight.$$

$$\begin{aligned} \left\{ \exp\left( -\beta_{4,\Delta} : \phi_{\Delta}^{4} :_{C_{0}} - \beta_{3,\Delta} : \phi_{\Delta}^{3} :_{C_{0}} - \beta_{2,\Delta} : \phi_{\Delta}^{2} :_{C_{0}} - \beta_{1,\Delta} : \phi_{\Delta}^{1} :_{C_{0}} \right) \\ & \times \left( 1 + W_{5,\Delta} : \phi_{\Delta}^{5} :_{C_{0}} + W_{6,\Delta} : \phi_{\Delta}^{6} :_{C_{0}} \right) \\ & + R_{\Delta}(\phi_{\Delta}) \} \right] \end{aligned}$$

Dynamical variable is  $\vec{V} = (V_{\Delta})_{\Delta \in \mathbb{L}_0}$  with

$$V_{\Delta} = (\beta_{4,\Delta}, \beta_{3,\Delta}, \beta_{2,\Delta}, \beta_{1,\Delta}, W_{5,\Delta}, W_{6,\Delta}, f_{\Delta}, R_{\Delta})$$



### $RG_{\mathrm{inhom}}$ acts on $\mathcal{E}_{\mathrm{inhom}}$ , essentially,

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#### Stable subspaces

 $\mathcal{E}_{\mathrm{hom}} \subset \mathcal{E}_{\mathrm{inhom}}$ : spatially constant data.

 $\mathcal{E} \subset \mathcal{E}_{\mathrm{hom}}$ : even potential, i.e., g,  $\mu$ 's only and R even function.

Let RG be induced action of  $RG_{inhom}$  on  $\mathcal{E}$ .

# 3rd step: stabilize bulk (homogeneous) evolution

Show that  $\forall q \in \mathbb{Z}$ ,  $\lim_{r \to -\infty} \vec{V}^{(r,q)}[0]$  exists, i.e.,

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Tadpole graph with mass insertion

$$A_3 = 12L^{3-2[\phi]} \int_{\mathbb{Q}^3_o} \Gamma(0,x)^2 d^3x$$

is main culprit for anomalous scaling  $\lceil \phi^2 \rceil - 2\lceil \phi \rceil > 0$ .



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Thus

$$\forall q \in \mathbb{Z}, \qquad \lim_{r \to -\infty} \vec{V}^{(r,q)}[0] = \nu_*$$

Tangent spaces at fixed point:  $E^{s}$  and  $E^{u}$ .

 $E^{\rm u}=\mathbb{C}e_{\rm u}$ , with  $e_{\rm u}$  eigenvector of  $D_{\rm v_*}RG$  for eigenvalue  $\alpha_{\rm u}=L^{3-2[\phi]}\times Z_2=:L^{3-[\phi^2]}$ .

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- **2)** Deviation resides in closed unit ball containing origin for q >radius of support of  $f \to \text{exponential decay for large } q$ . For source term with  $\phi^2$  add

$$Y_2Z_2^r \int :\phi^2:_{C_r}(x)j(x)d^3x$$

to potential.  $S_{r,s}^{\mathrm{T}}(f,j)$  now involves two test functions. After rescaling to unit lattice/cut-off

$$Y_2\alpha_{\rm u}^r \int :\phi^2:_{C_0}(x)j(L^{-r}x)d^3x$$

to be combined with  $\mu$  into  $(\beta_{2,\Delta})_{\Delta \in \mathbb{L}_0}$  space-dependent mass.

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 $\Psi(v, w)$  is holomorphic in v and w.

This is essential for probabilistic interpretation of  $(\phi, N[\phi^2])$  as pair of random variables in  $S'(\mathbb{Q}^3_n)$ .

Thank you for your attention.