

# $p$ -adic Hodge Theory (Spring 2023): Week 12

Xiaorun Wu (xiaorunw@math.columbia.edu)

April 19, 2023

**This week: crystalline Representations (continued), introduction to Fargues-Fontaine curve**

A quick update from last time: This is the theorem of Faltings:

**Theorem 0.1** (Faltings, 1988). *Suppose that  $X$  has good reduction, meaning that it has a proper smooth model  $\mathcal{X}$  over  $\mathcal{O}_K$ . There exists a canonical isomorphism*

$$H_{\acute{e}t}^n(X_{\overline{K}}, \mathbb{Q}_p) \otimes_{\mathbb{Q}_p} B_{cris} \cong H_{cris}^n(\mathcal{X}_K/W(k))[1/p] \otimes_{K_0} B_{cris}$$

## 1 Properties of Crystalline Representations

**Definition 1.1.** *We say that  $V \in \text{Rep}_{\mathbb{Q}_p}(\Gamma_K)$  is crystalline if it is  $B_{cris}$ -admissible. We write  $\text{Rep}_{\mathbb{Q}_p}^{cris}(\Gamma_K) := \text{Rep}_{\mathbb{Q}_p}^{B_{cris}}(\Gamma_K)$  for the category of crystalline  $p$ -adic  $\Gamma_K$ -representations. In addition, we write  $D_{cris}$  the functors  $D_{B_{cris}}$ .*

**Example 1.2.** 1. *Tate twist  $\mathbb{Q}_p(n)$  of  $\mathbb{Q}_p$ , since  $\dim_K D_{cris}(\mathbb{Q}_p(n)) \leq \dim_{\mathbb{Q}_p} \mathbb{Q}_p(n) = 1$  and  $D_{cris}(\mathbb{Q}_p(n)) = (\mathbb{Q}_p(n) \otimes_{\mathbb{Q}_p} B_{cris})^{\Gamma_K}$  is nontrivial: contains  $1 \otimes t^{-n}$ .*

2. *For every proper smooth variety  $X$  over  $K$  with with a proper smooth integral model  $\mathcal{X}$  over  $\mathcal{O}_K$ , the étale cohomology  $H_{\acute{e}t}^n(X_{\overline{K}}, \mathbb{Q}_p)$  is crystalline by the theorem 0.1 above. moreover, there exists a canonical isomorphism*

$$D_{cris}(H_{\acute{e}t}^n(X_{\overline{K}}, \mathbb{Q}_p)) \cong H_{cris}^n(\mathcal{X}_K/K_0) = H_{cris}^n(\mathcal{X}_k/W(k))[1/p],$$

where  $H_{cris}^n(\mathcal{X}_K/W(k))$  denote the crystalline cohomology of  $\mathcal{X}_k$ .

3. *For every  $p$ -divisible group  $G$  over  $\mathcal{O}_K$ , the rational Tate module  $V_p(G)$  is crystalline as proved by Fontaine; indeed, there exists a natural identification*

$$D_{cris}(V_p(G)) \cong \mathbb{D}(\overline{G})[1/p]$$

where  $\mathbb{D}(\overline{G})$  denotes the Dieudonné module associated to  $\overline{G} := G \times_{\mathcal{O}_K} k$ .

Aim to promote  $D_{cris}$  to a functor that incorporates both the Frobenius endomorphism and the filtration on  $B_{cris}$ . Let us denote by  $\sigma$  the Frobenius automorphism of  $K_0$ .

**Definition 1.3.** *A filtered isocrystal (**filtered  $\varphi$ -modules**) over  $K$  is an isocrystal  $N$  over  $K_0$  together with a collection of  $K$ -spaces  $\{Fil^n(N_K)\}$  which yields a structure of a filtered vector space over  $K$  on  $N_K := N \otimes_{K_0} K$ . We denote by  $MF_K^{\varphi}$  the category of filtered isocrystals over  $K$  with the natural notions of morphisms, tensor products, and duals inherited from the corresponding notions for  $Fil_K$  and the category of isocrystals over  $K_0$ .*

**Example 1.4.** *The crystalline cohomology  $H_{cris}^n(X_k/K_0) = H_{cris}^n(X_k/W(k))[1/p]$ : a filtered isocrystal over  $K$  with the Frobenius automorphism  $\varphi_{X_k}^*$  induced by the relative Frobenius of  $\mathcal{X}_K$  and the filtration on  $H_{cris}^n(\mathcal{X}_k/K_0) \otimes_{K_0} K$  given by the Hodge filtration on the de Rham cohomology  $H_{dR}^n(X/K)$  via the canonical comparison isomorphism*

$$H_{cris}^n(\mathcal{X}_k/K_0) \otimes_{K_0} K \cong H_{dR}^n(X/K).$$

**Lemma 1.5.** *The automorphism  $\sigma$  on  $K_0$  extends to the endomorphism  $\varphi$  on  $B_{cris}$ .*

**Lemma 1.6.** *Let  $N$  be a finite dimensional vector space over  $K_0$ . Every injective  $\sigma$ -semilinear additive map  $f : N \rightarrow N$  is bijective.*

**Proposition 1.7.** *Let  $V$  be a  $p$ -adic representation of  $\Gamma_K$ . Then  $D_{\text{cris}}(V) = (V \otimes_{\mathbb{Q}_p} B_{\text{cris}})^{\Gamma_K}$  is naturally a filtered isocrystal over  $K$  with the Frobenius automorphism  $1 \otimes \varphi$  and the filtration on  $D_{\text{cris}}(V)_K = D_{\text{cris}}(V) \otimes_{K_0} K$  given by*

$$\text{Fil}^n(D_{\text{cris}}(V)_K) := (V \otimes_{\mathbb{Q}_p} \text{Fil}^n(B_{\text{cris}} \otimes_{K_0} K))^{\Gamma_K}.$$

*Proof.* Since  $\Gamma_K$  acts trivially on  $K$ , we have a natural identification

$$D_{\text{cris}}(V)_K = (V \otimes_{\mathbb{Q}_p} B_{\text{cris}})^{\Gamma_K} \otimes_{K_0} K = (V \otimes_{\mathbb{Q}_p} (B_{\text{cris}} \otimes_{K_0} K))^{\Gamma_K}.$$

$D_{\text{cris}}(V)_K$  is a filtered vector space over  $K$  with the filtration  $\text{Fil}^n(D_{\text{cris}}(V)_K)$  as defined above. Therefore it remains to verify that the map  $1 \otimes \varphi$  is  $\sigma$ -semilinear and bijective on  $D_{\text{cris}}(V)$ . For arbitrary  $v \in V$ ,  $b \in B_{\text{cris}}$ , and  $c \in K_0$  we have

$$(1 \otimes \varphi)(c(v \otimes b)) = (1 \otimes \varphi)(v \otimes bc) = v \otimes \varphi(b)\varphi(c) = \varphi(c) \cdot (1 \otimes \varphi)(v \otimes b).$$

Hence by Lemma 1.5 we find that the additive map  $1 \otimes \varphi$  is  $\sigma$ -semilinear. Moreover, the map  $1 \otimes \varphi$  is injective on  $D_{\text{cris}}(K)$  and the left exactness of the functor  $D_{\text{cris}}$ . Thus we deduce the desired assertion by Lemma 1.6.  $\square$

**Proposition 1.8.** *Let  $V$  be a crystalline representation of  $\Gamma_K$ . Then  $V$  is de Rham with a natural isomorphism of filtered vector spaces*

$$D_{\text{cris}}(V)_K = D_{\text{cris}}(V) \otimes_{K_0} K \cong D_{dR}(V).$$

*Proof.* Proposition 1.5 and Proposition 1.6 from last week together imply that the natural map  $B_{\text{cris}} \otimes_{K_0} K \rightarrow B_{dR}$  identifies  $B_{\text{cris}} \otimes_{K_0} K$  as a filtered subspace of  $B_{dR}$  over  $K$ ; in other words, we have an identification

$$\text{Fil}^n(B_{\text{cris}} \otimes_{K_0} K) = (B_{\text{cris}} \otimes_{K_0} K) \cap \text{Fil}^n(B_{dR}) \text{ for every } n \in \mathbb{Z}.$$

Therefore Proposition 1.7 yields a natural injective morphism of filtered vector spaces

$$D_{\text{cris}}(V)_K = (V \otimes_{\mathbb{Q}_p} (B_{\text{cris}} \otimes_{K_0} K))^{\Gamma_K} \hookrightarrow (V \otimes_{\mathbb{Q}_p} B_{dR})^{\Gamma_K} = D_{dR}(V)$$

with an identification

$$\text{Fil}^n(D_{\text{cris}}(V) \otimes_{K_0} K) = (D_{\text{cris}}(V) \otimes_{K_0} K) \cap \text{Fil}^n(D_{dR}(V)) \text{ for every } n \in \mathbb{Z}$$

We then find

$$\dim_{K_0} D_{\text{cris}}(V) = \dim_K D_{\text{cris}}(V)_K \leq \dim_K D_{dR}(V) \leq \dim_{\mathbb{Q}_p} V$$

where the last inequality follows from Theorem 1.2.1. Since  $V$  is crystalline, both inequalities should be in fact equalities, thereby yielding the desired assertion.  $\square$

**Example 1.9.**  $\eta : \Gamma_K \rightarrow \mathbb{Q}_p^\times$  be a nontrivial continuous character which factors through  $\text{Gal}(L/K)$  for some totally ramified finite extension  $L$  of  $K$ . Then  $\mathbb{Q}_p(\eta)$  is de Rham. We assert that  $\mathbb{Q}_p(\eta)$  is not crystalline. Let us write  $\Gamma_L$  for the absolute Galois group of  $L$ . Since  $L$  is totally ramified over  $K$ , we have  $B_{\text{cris}}^{\Gamma_L} \cong K_0$  by Theorem 1.8 from last week and the fact that the construction of  $B_{\text{cris}}$  depends only on  $\mathbb{C}_K$ . Moreover, we have  $\mathbb{Q}_p(\eta)^{\Gamma_L} = \mathbb{Q}_p(\eta)$  and  $\mathbb{Q}_p(\eta)^{\text{Gal}(L/K)} = 0$  by construction. Hence we find an identification

$$\begin{aligned} D_{\text{cris}}(\mathbb{Q}_p(\eta)) &= (\mathbb{Q}_p(\eta) \otimes_{\mathbb{Q}_p} B_{\text{cris}})^{\Gamma_K} = ((\mathbb{Q}_p(\eta) \otimes_{\mathbb{Q}_p} B_{\text{cris}})^{\Gamma_L})^{\text{Gal}(L/K)} \\ &= (\mathbb{Q}_p(\eta) \otimes_{\mathbb{Q}_p} B_{\text{cris}}^{\Gamma_L})^{\text{Gal}(L/K)} \cong (\mathbb{Q}_p(\eta) \otimes_{\mathbb{Q}_p} K_0)^{\text{Gal}(L/K)} \\ &= \mathbb{Q}_p(\eta)^{\text{Gal}(L/K)} \otimes_{\mathbb{Q}_p} K_0 = 0, \end{aligned}$$

thereby deducing the desired assertion.

Below prop: shows general formalism extends to the category of crystalline representations with enhanced functor  $D_{\text{cris}}$  that takes values in  $MF_K^\varphi$ .

**Proposition 1.10.** *Every  $V \in \text{Rep}_{\mathbb{Q}_p}^{\text{cris}}(\Gamma_K)$  induces a natural  $\Gamma_K$ -equivariant isomorphism*

$$D_{\text{cris}}(V) \otimes_{K_0} B_{\text{cris}} \cong V \otimes_{\mathbb{Q}_p} B_{\text{cris}}$$

which is compatible with the natural Frobenius endomorphisms on both sides and induces a  $K$ -linear isomorphism of filtered vector spaces

$$D_{\text{cris}}(V)_K \otimes_K (B_{\text{cris}} \otimes_{K_0} K) \cong V \otimes_{\mathbb{Q}_p} (B_{\text{cris}} \otimes_{K_0} K)$$

*Proof.* Since  $V$  is crystalline, the natural map

$$D_{cris} \otimes_{K_0} B_{cris} \rightarrow (V \otimes_{\mathbb{Q}_p} B_{cris}) \otimes_{K_0} B_{cris} \cong V \otimes_{\mathbb{Q}_p} (B_{cris} \otimes_{K_0} K) \rightarrow V \otimes_{\mathbb{Q}_p} B_{cris}$$

is a  $\Gamma_K$ -equivariant  $B_{cris}$ -linear isomorphism. Moreover, this map is visibly compatible with the natural Frobenius endomorphisms on  $D_{cris}(V) \otimes_{K_0} B_{cris} = (V \otimes_{\mathbb{Q}_p} B_{cris})^{\Gamma_K} \otimes_{K_0} B_{cris}$  and  $V \otimes_{\mathbb{Q}_p} B_{cris}$  respectively given by  $1 \otimes \varphi \otimes \varphi$  and  $1 \otimes \varphi$ . Let us now consider the induced  $K$ -linear bijective map

$$(D_{cris}(V))_K \otimes_K (B_{cris} \otimes_{K_0} K) \rightarrow V \otimes_{\mathbb{Q}_p} (B_{cris} \otimes_{K_0} K).$$

It is straightforward to check that this map is a morphism of filtered vector spaces. Therefore by Proposition 1.8 from last week it suffices to show that the induced map

$$gr(D_{cris}(V)_K \otimes_K (B_{cris} \otimes_{K_0} K)) \rightarrow gr(V \otimes_{\mathbb{Q}_p} (B_{cris} \otimes_{K_0} K)) \quad (1)$$

is an isomorphism. As  $V$  is crystalline, it is also Hodge-Tate with the natural isomorphism of graded vector space

$$gr(D_{cris}(V)_K) \cong gr(D_{dr}(V)) \cong D_{HT}(V)$$

by Proposition 1.8 last week and Proposition 2.4.4 from weeks ago. Hence Proposition 2.3.10 and Proposition 1.7 from two weeks ago together yield identification

$$\begin{aligned} gr(D_{cris}(V)_K \otimes_K (B_{cris} \otimes_{K_0} K)) &\cong gr(D_{cris}(V)_K) \otimes_K gr(B_{cris} \otimes_{K_0} K) \cong D_{HT}(V) \otimes_K B_{HT}, \\ gr(V \otimes_{\mathbb{Q}_p} (B_{cris} \otimes_{K_0} K)) &\cong V \otimes_{\mathbb{Q}_p} gr(B_{cris} \otimes_{K_0} K) \cong V \otimes_{\mathbb{Q}_p} B_{HT}. \end{aligned}$$

We thus identify the map (1) with the natural map

$$D_{HT}(V) \otimes_K B_{HT} \rightarrow V \otimes_{\mathbb{Q}_p} B_{HT}$$

given by Theorem 1.2.1, thereby deducing the desired assertion by the fact that  $V$  is Hodge-Tate.  $\square$

Similar to the proof last time, we have the following proposition:

**Proposition 1.11.** *The functor  $D_{cris}$  with values in  $MF_K^\varphi$  is faithful and exact on  $\text{Rep}_{\mathbb{Q}_p}^{cris}(\Gamma_K)$ .*

And an immediate cosequence of the above proposition, as well as the fact that  $\text{Rep}_{\mathbb{Q}_p}^B(\Gamma_K)$  is closed under taking subquotients, we have that

**Corollary 1.12.** *Let  $V$  be a crystalline representation. Every subquotient  $W$  of  $V$  is a crystalline representation with  $D_{cris}(W)$  naturally identified as a subquotient of  $D_{dR}(V)$ .*

**Proposition 1.13.** *Given any  $V, W \in \text{Rep}_{\mathbb{Q}_p}^{cris}(\Gamma_K)$ , we have  $V \otimes_{\mathbb{Q}_p} W \in \text{Rep}_{\mathbb{Q}_p}^{cris}(\Gamma_K)$  with a natural isomorphism of filtered isocrystals*

$$D_{cris}(V) \otimes_{K_0} D_{cris}(W) \cong D_{cris}(V \otimes_{\mathbb{Q}_p} W).$$

*Proof.*  $V \otimes_{\mathbb{Q}_p} W \in \text{Rep}_{\mathbb{Q}_p}^{cris}(\Gamma_K)$  isomorphism of vector space from B-admissibility. The multiplicative structure of  $B_{cris}$  shows that the map is a morphism of isocrystals over  $K_0$ . Now proposition 1.8 implies that we can identify the induced bijective  $K$ -linear map

$$D_{cris}(V)_K \otimes_K D_{cris}(W)_K \rightarrow D_{cris}(V \otimes_{\mathbb{Q}_p} W)_K.$$

with the natural isomorphism of filtered vector spaces

$$D_{dR}(V) \otimes_K D_{dR}(W)_K \cong D_{dR}(V \otimes_{\mathbb{Q}_p} W)$$

Therefore we deduce that the map (1) is an isomorphism in  $MF_K^\varphi$  as desired.  $\square$

**Proposition 1.14.** *For every crystalline representation  $V$ , we have  $\wedge^n(V) \in \text{Rep}_{\mathbb{Q}_p}^{cris}(\Gamma_K)$  and  $\text{Sym}^n(V) \in \text{Rep}_{\mathbb{Q}_p}^{cris}(\Gamma_K)$  with natural isomorphisms of filtered isocrystals*

$$\wedge^n(D_{cris}(V)) \cong D_{cris}(\wedge^n(V)) \quad \text{and} \quad \text{Sym}^n(D_{cris}(V)) \cong D_{cris}(\text{Sym}^n(V))$$

**Proposition 1.15.** *For every crystalline representation  $V$ , the dual representation  $V^\vee$  is crystalline with a natural perfect pairing of filtered isocrystals*

$$D_{cris}(V) \otimes_{K_0} D_{cris}(V^\vee) \cong D_{cris}(V \otimes_{\mathbb{Q}_p} V^\vee) \rightarrow D_{cris}(\mathbb{Q}_p).$$

**Definition 1.16.** Let  $M$  be a module over a ring  $R$  with an additive endomorphism  $f$ . For every  $r \in R$ , we refer to the subgroup

$$M^{f=r} := \{m \in M : f(m) = rm\}$$

as the eigenspace of  $f$  with eigenvalue  $r$ .

**Lemma 1.17.** We have an identification

$$B_{cris}^{\varphi=1} \cap Fil^0(B_{cris} \otimes_{K_0} K) = B_{cris}^{\varphi=1} \cap B_{dR}^+ = \mathbb{Q}_p.$$

*Proof.* By Proposition 1.6 and Theorem 1.14 from last week we find

$$B_{cris}^{\varphi=1} \cap Fil^0(B_{cris} \otimes_{K_0} K) \subseteq B_{cris}^{\varphi=1} \cap Fil^0(B_{dR}) = B_{cris}^{\varphi=1} \cap B_{dR}^+ = \mathbb{Q}_p,$$

and thus obtain the desired identification as both  $B_{cris}^{\varphi=1}$  and  $Fil^0(B_{cris} \otimes_{K_0} K)$  contain  $\mathbb{Q}_p$ .  $\square$

**Proposition 1.18.** Every  $V \in \text{Rep}_{\mathbb{Q}_p}^{cris}(\Gamma_K)$  admits canonical isomorphisms

$$\begin{aligned} V &\cong (D_{cris}(V) \otimes_{K_0} B_{cris})^{\varphi=1} \cap Fil^0(D_{cris}(V)_K \otimes_K (B_{cris} \otimes_{K_0} K)) \\ &\cong (D_{cris}(V) \otimes_{K_0} B_{cris})^{\varphi=1} \cap Fil^0(D_{cris}(V)_K \otimes_K B_{dR}). \end{aligned}$$

*Proof.* Proposition 1.10 yields a natural  $\Gamma_K$ -equivariant isomorphism

$$D_{cris}(V) \otimes_{K_0} B_{cris} \cong V \otimes_{\mathbb{Q}_p} B_{cris}$$

which is compatible with the natural Frobenius endomorphisms on both sides and induces an isomorphism of filtered vector spaces

$$D_{cris}(V)_K \otimes_K (B_{cris} \otimes_{K_0} K) \cong V \otimes_{\mathbb{Q}_p} (B_{cris} \otimes_{K_0} K).$$

In addition, there exists a canonical isomorphism of filtered vector spaces

$$D_{cris}(V)_K \otimes_K B_{dR} \cong D_{dR}(V) \otimes_K B_{dR} \cong V \otimes_{\mathbb{Q}_p} B_{dR}$$

given by Proposition 1.8 and Proposition 2.4.8. Therefore we have identifications

$$\begin{aligned} (D_{cris}(V) \otimes_{K_0} B_{cris})^{\varphi=1} &\cong V \otimes_{\mathbb{Q}_p} B_{cris}^{\varphi=1}, \\ Fil^0(D_{cris}(V)_K \otimes_K (B_{cris} \otimes_{K_0} K)) &\cong V \otimes_{\mathbb{Q}_p} Fil^0(B_{cris} \otimes_{K_0} K), \\ Fil^0(D_{cris}(V)_K \otimes_K B_{dR}) &\cong V \otimes_{\mathbb{Q}_p} B_{dR}^+. \end{aligned}$$

The desired assertion now follows by Lemma 1.17.  $\square$

**Theorem 1.19** (Fontaine). The functor  $D_{cris}$  with values in  $MF_K^\varphi$  is exact and fully faithful on  $\text{Rep}_{\mathbb{Q}_p}^{cris}(\Gamma_K)$ .

*Proof.* By Proposition 1.11 we only need to establish the fullness of  $D_{cris}$  on  $\text{Rep}_{\mathbb{Q}_p}^{cris}(\Gamma_K)$ . Let  $V$  and  $W$  be arbitrary crystalline representations. Consider an arbitrary morphism  $f : D_{cris}(V) \rightarrow D_{cris}(W)$  in  $MF_\varphi^K$ . Then  $f$  gives rise to a  $\Gamma_K$ -equivariant map

$$V \otimes_{\mathbb{Q}_p} B_{cris} \cong D_{cris}(V) \otimes_{K_0} B_{cris} \xrightarrow{f \otimes 1} D_{cris}(W) \otimes_{K_0} B_{cris} \cong W \otimes_{\mathbb{Q}_p} B_{cris} \quad (2)$$

where the isomorphisms are given by Proposition 1.10. Moreover, Proposition 1.18 implies that this map restricts to a linear map  $\phi : V \rightarrow W$ . In other words, we may identify the map (2) as  $\phi \otimes 1$ . In particular, since the isomorphisms in (2) are  $\Gamma_K$ -equivariant, we recover  $f$  as the restriction of  $\phi \otimes 1$  on  $(V \otimes_{\mathbb{Q}_p} B_{cris})^{\Gamma_K} \cong (D_{cris}(V) \otimes_{K_0} B_{cris})^{\Gamma_K} \cong D_{cris}(V)$ . This precisely means that  $f$  is induced by  $\phi$  via the functor  $D_{cris}$ .  $\square$

**Proposition 1.20.** Let  $V$  be a  $p$ -adic representation of  $\Gamma_K$ . Let  $L$  be a finite unramified extension of  $K$  with the residue field extension  $\ell$  of  $k$ . Denote by  $\Gamma_L$  the absolute Galois group of  $L$  and by  $L_0$  the fraction field of the ring of Witt vectors over  $\ell$ .

1. There exists a natural isomorphism of filtered isocrystals

$$D_{cris,K}(L) \otimes_{K_0} L_0 \cong D_{cris,L}(V)$$

where we set  $D_{cris,K}(V) := (V \otimes_{\mathbb{Q}_p} B_{cris})^{\Gamma_K}$  and  $D_{cris,L} := (V \otimes_{\mathbb{Q}_p} B_{cris})^{\Gamma_K}$ .

2.  $V$  is crystalline if and only if it is crystalline as a representation of  $\Gamma_L$ .

*Proof.* We only need to prove the first statement, as the second statement immediately follows from the first statement. By definition  $L$  and  $L_0$  are respectively unramified extensions of  $K$  and  $K_0$  with the residue field extension  $\ell$  of  $k$ . Hence  $L$  and  $L_0$  are respectively Galois over  $K$  and  $K_0$  with  $Gal(L/K) \cong Gal(L_0/K_0)$ . Furthermore, since the construction of  $B_{cris}$  depends only on  $\mathbb{C}_K$ , we have an identification

$$D_{cris,K}(V) = D_{cris,L}(V)^{Gal(L/K)} = D_{cris,L}(V)^{Gal(L_0/K_0)}$$

Then by the Galois descent for vector spaces we obtain a natural bijective  $L_0$ -linear map

$$D_{cris,K}(V) \otimes_{K_0} L_0 \rightarrow D_{cris,L}(V). \quad (3)$$

This map is evidently compatible with the natural Frobenius automorphisms on both sides induced by  $\varphi$  as explained in Lemma 1.5 and Proposition 1.7. Moreover, Proposition 2.4.14 and Proposition 1.8 together imply that the map (3) induces a natural  $L$ -linear isomorphism of filtered vector spaces

$$(D_{cris,K}(V) \otimes_{K_0} K) \otimes_K L \cong D_{cris,L}(V) \otimes_{L_0} L.$$

We thus deduce that the map (3) is an isomorphism of filtered isocrystals over  $L$ .  $\square$

**Remark.** Proposition 1.20 also holds when  $L$  is the completion of the maximal unramified extension of  $K$ . As a consequence, we have the following facts:

1. Every unramified  $p$ -adic representation is crystalline.
2. For a continuous character  $\eta : \Gamma_K \rightarrow \mathbb{Z}_p^\times$ , we have  $\mathbb{Q}_p(\eta) \in \text{Rep}_{\mathbb{Q}_p}^{cris}(\Gamma_K)$  if and only if there exists some  $n \in \mathbb{Z}$  such that  $\eta\chi^n$  is trivial on  $I_K$ .

On the other hand, Example 1.9 shows that Proposition 1.20 fails when  $L$  is a ramified extension of  $K$ . Fontaine interpreted this “failure” as a good feature of the crystalline condition, and conjectured that the crystalline condition should provide a  $p$ -adic analogue of the Néron-Ogg-Shafarevich criterion introduced in Theorem 1.1.1 of Chapter I; more precisely, Fontaine conjectured that an abelian variety  $A$  over  $K$  has good reduction if and only if the rational Tate module  $V_p(A[p^\infty])$  is crystalline. Fontaine’s conjecture is now known to be true by the work of Coleman-Iovita and Breuil.

**Proposition 1.21.** *The continuous map  $\log : \mathbb{Z}_p(1) \rightarrow B_{dR}^+$  extends to a  $\Gamma_K$ -equivariant homomorphism  $\log : A_{inf}[1/p]^\times \rightarrow B_{dR}^+$  such that  $\log([p^b])$  is transcendental over the fraction field of  $B_{cris}$ .*

**Example 1.22.** *The Tate curve  $E_p$  is an elliptic curve over  $K$  with  $E_p(K) \cong K^\times/p^\mathbb{Z}$  where we set  $p^\mathbb{Z} := \{p^n : n \in \mathbb{Z}\}$ . We assert that the rational Tate module  $V_p(E_p[p^\infty])$  is de Rham but not crystalline. It is evident by construction that  $\varepsilon$  and  $p^b$  form a basis of  $V_p(E_p[p^\infty])$  over  $\mathbb{Q}_p$ . Moreover, for every  $\gamma \in \Gamma_K$  we have*

$$\gamma(\varepsilon) = \varepsilon^{\chi(\gamma)} \quad \text{and} \quad \gamma(p^b) = p^b \varepsilon^{c(\gamma)} \quad (4)$$

for some  $c(\gamma) \in \mathbb{Z}_p$ . Hence  $V_p(E_p[p^\infty])$  is an extension of  $\mathbb{Q}_p$  by  $\mathbb{Q}_p(1)$  in  $\text{Rep}_{\mathbb{Q}_p}(\Gamma_K)$ , and thus is de Rham by Example 2.4.5.

We aim to present a basis for  $D_{dR}(V_p(E_p[p^\infty])) = (V_p(E_p[p^\infty]) \otimes_{\mathbb{Q}_p} B_{dR})^{\Gamma_K}$ . By (4) we find  $\varepsilon \otimes t^{-1} \in D_{dR}(V_p(E_p[p^\infty]))$ . Let us now consider the homomorphism  $\log : A_{inf}[1/p]^\times \rightarrow B_{dR}^+$  as in Proposition 1.21 and set  $u := \log([p^b])$ . Then for  $\gamma \in \Gamma_K$  we find

$$\gamma(u) = \gamma(\log[p^b]) = \log([\gamma p^b]) = \log([p^b \varepsilon^{c(\gamma)}]) = \log([p^b]) + c(\gamma) \log([\varepsilon]) = u + c(\gamma)t$$

by (4) and Lemma 2.2.20, and consequently obtain

$$\begin{aligned} \gamma(-\varepsilon \otimes ut^{-1} + p^b \otimes 1) &= -\varepsilon^{\chi(\gamma)} \otimes (u + c(\gamma)t)\chi(\gamma)^{-1}t^{-1} + p^b \varepsilon^{c(\gamma)} \otimes 1 \\ &= -\varepsilon \otimes (ut^{-1} + c(\gamma)) + c(\gamma) \cdot (\varepsilon \otimes 1) + p^b \otimes 1 \\ &= -\varepsilon \otimes ut^{-1} + p^b \otimes 1 \end{aligned}$$

by (3.13) and Theorem 2.2.21. In particular, we have  $-\varepsilon \otimes ut - 1 + p^b \otimes 1 \in DdR(V_p(E_p[p^\infty]))$ . Since the elements  $\varepsilon \otimes t^{-1}$  and  $-\varepsilon \otimes ut^{-1} + p^b \otimes 1$  are linearly independent over  $B_{dR}$ , they form a basis for  $D_{dR}(V_p(E_p[p^\infty])) = (V_p(E_p[p^\infty]) \otimes_{\mathbb{Q}_p} B_{dR})^{\Gamma_K}$ . Let us now consider an arbitrary element  $x \in D_{cris}(V_p(E_p[p^\infty])) = (V_p(E_p[p^\infty]) \otimes_{\mathbb{Q}_p} B_{cris})^{\Gamma_K}$ . We may uniquely write  $x = \varepsilon \otimes c + p^b \otimes d$  for some  $c, d \in B_{cris}$ . Moreover, since we have  $D_{cris}(V_p(E_p[p^\infty])) \subseteq D_{dR}(V_p(E_p[p^\infty]))$  there exist some  $r, s \in K$  with

$$x = r \cdot (\varepsilon \otimes t^{-1}) + s \cdot (-\varepsilon \otimes ut^{-1} + p^b \otimes 1) = \varepsilon \otimes (r - su)t^{-1} + p^b \otimes s.$$

Then we find  $c = (r - su)t^{-1}$ , and consequently obtain  $s = 0$  by Proposition 1.21. Therefore we deduce that every element in  $D_{cris}(V_p(E_p[p^\infty])) \otimes_{K_0} K$  is a  $K$ -multiple of  $\varepsilon \otimes t^{-1}$ . In particular, we find  $\dim_{K_0} D_{cris}(V_p(E_p[p^\infty])) \leq 1$ , thereby concluding that  $V_p(E_p[p^\infty])$  is not crystalline.

**Remark.** Fontaine constructed the semistable period ring  $B_{st}$  as the  $B_{cris}$ -subalgebra of  $B_{dR}$  generated by  $\log([p^b])$ .