

Derived Categories and Birational Geometry

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X smooth projective variety / \mathbb{C}

$\text{Coh}(X)$ abelian category of
coherent sheaves.

$D^b(\text{Coh}(X))$ bounded derived cat.
triangulated

\mathbb{C} -linear

finite type

$$\text{Hom}(A, B[k])$$
$$\sum_{k \in \mathbb{Z}} \dim \text{Hom}^k(A, B) < \infty$$

explicit structure

(ex) $X = \mathbb{P}^n$

$[0 \rightarrow \Omega_x^n(n) \otimes \mathcal{O}_x(-n) \rightarrow \dots$

$\rightarrow \Omega^2(2) \otimes \mathcal{O}(-2) \rightarrow \Omega^1(1) \otimes \mathcal{O}(-1)$

$\rightarrow \mathcal{O}_{X \times X} \rightarrow \mathcal{O}_\Delta \rightarrow 0$

$E \in D^b(\text{Coh}(X \times X))$

$A \cong \mathbb{R}\Gamma^E(A) = R p_{2*}(p_1^* A \otimes^L E)$

two-sided resolution of $A \in \text{Coh} X$

$\left\{ \begin{aligned} 0 &\rightarrow \bigoplus_{p=0}^n H^{p-n}(X, A \otimes \Omega_x^p(p)) \otimes \mathcal{O}_x(-p) \\ &\rightarrow \bigoplus_{p=0}^n H^{p-n+1}(X, A \otimes \Omega^p(p)) \otimes \mathcal{O}(-p) \\ &\rightarrow \dots \\ &\rightarrow \bigoplus_{p=0}^n H^{p+n}(X, A \otimes \Omega^p(p)) \otimes \mathcal{O}(-p) \\ &\rightarrow 0 \end{aligned} \right\} \cong A$

Beilinson

(ex) $A_i = \mathcal{O}(-n+i-1)$

exceptional collection (A_1, \dots, A_m)

$$\text{Hom}^i(A_j, A_j) = \begin{cases} \mathbb{C} & i=0 \\ 0 & \text{other} \end{cases}$$

$$\text{Hom}^i(A_j, A_k) = 0 \quad j > k$$

strong: $\text{Hom}^i(A_k, A_j) = 0 \quad i \neq 0$

complete: generates as a smallest triangulated category

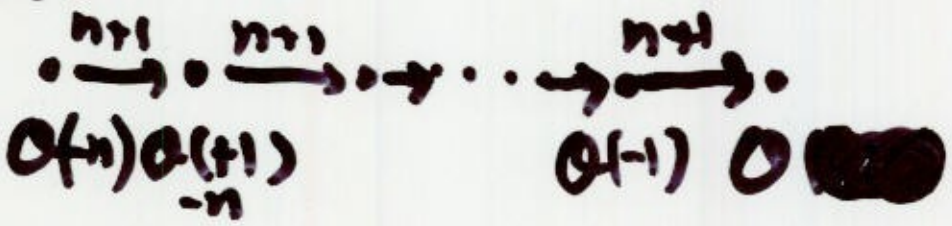
$$A = \bigoplus A_j, \quad R = \text{Hom}(A, A)$$

$$\mathbb{I} : D^b(X) \xrightarrow{\sim} D^b(\text{mod-}R)$$

$$\begin{array}{ccc} \downarrow & & \downarrow \\ B & \longrightarrow & R\text{Hom}(A, B) \end{array}$$

$$\begin{array}{ccc} M \oplus A & \longleftarrow & M \\ \downarrow & & \\ R & & \end{array}$$

quiver



Semiorthogonal decomposition

$$D = \langle \mathcal{B}, {}^\perp \mathcal{B} \rangle = \langle \mathcal{B}^\perp, \mathcal{B} \rangle$$

\mathcal{B} triangulated subcategory

$${}^\perp \mathcal{B} = \{ A \in D \mid \text{Hom}^p(A, B) = 0, B \in \mathcal{B} \}$$

$$\forall A \in D \quad B \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} A \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} C \quad C \in {}^\perp \mathcal{B}.$$

(ex) $D(\mathbb{P}^n) = \langle \mathcal{O}(-n), \dots, \mathcal{O}(-1), \mathcal{O} \rangle$

(rem) no orthogonal decomp.

\mathcal{B} saturated \Rightarrow SO decomp.

(ex) $D^b(\text{Coh } X)$ saturated

cf. Brown representability

(ex 1) projective space bundle

$$\phi: Y = \mathbb{P}(V) \rightarrow X$$

Mori fiber space

$$D(X)_k = \phi^* D(X) \otimes \mathcal{O}_Y(k)$$

$$D(Y) = \langle D(X)_{-r+1}, \dots, D(X)_{-1}, D(X)_0 \rangle_{\text{Orlov}}$$

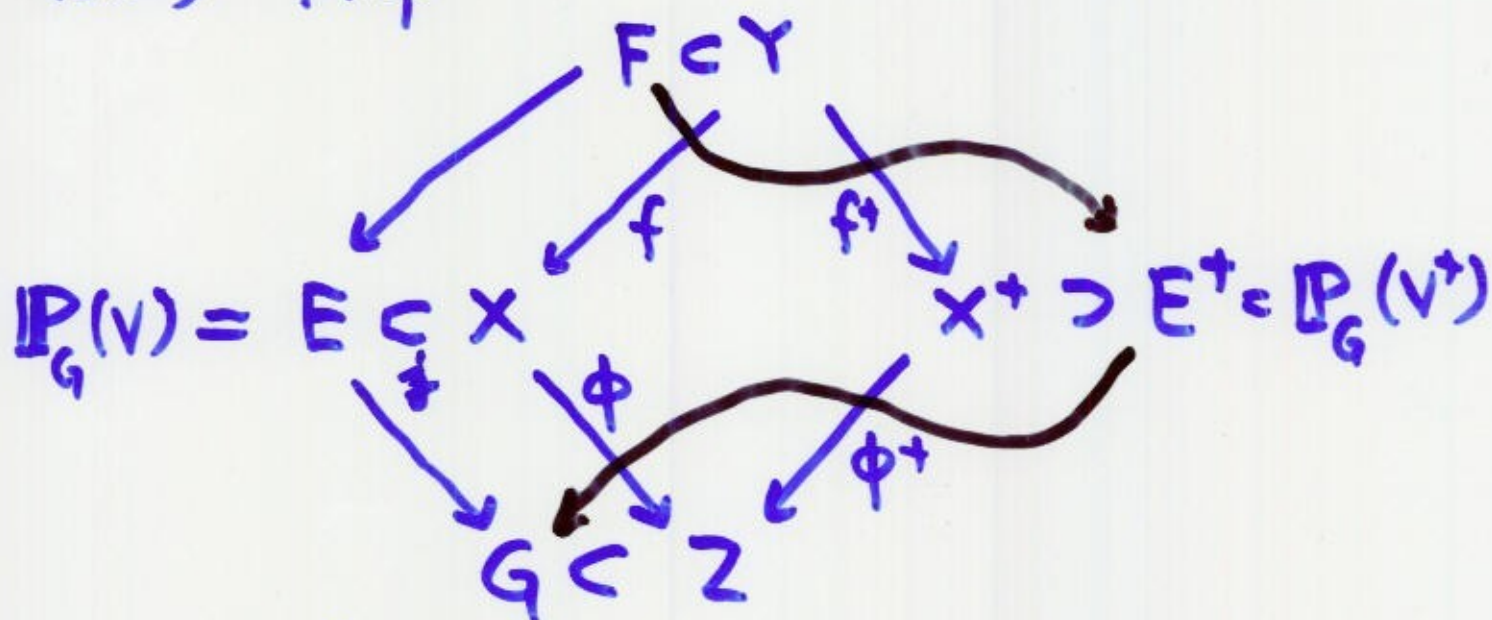
(ex 2) blowing-up $\phi: Y \rightarrow X$

$$\begin{array}{ccc} \cup j & & \cup \\ F & \rightarrow & E \end{array}$$

$$D(Y) = \langle j_* D(E)_{-r+1}, \dots, j_* D(E)_{-1}, \phi^* D(X) \rangle$$

divisorial contraction

(ex 3) flip



$$N_{E/X} / \phi^*(\mathcal{O}_Z) \simeq \mathcal{O}_{\mathbb{P}^{s-1}}(-1)^r$$

flip $s > r$

flop $s = r$

Bondal Orlov

$$D(X) = \langle j_* D(E)_{r,s}; \dots; j_* D(E)_{-1}, \Phi(D(X^+)) \rangle$$

$$\Phi = f_* f^{+\#} : D(X^+) \rightarrow D(X) \quad \text{f.f.}$$

generalize to MMP?

(ex) X Fano 3 fold $\rho=1, K^3=-12$

C moduli of v.b. on X

$$c_1=1, c_2=5$$

genus 7 curve

E univ. bundle on $X \times C$

$$\Phi^E : D(C) \rightarrow D(X) \quad \text{f.f.}$$

U_+ : rk 5 spinor bundle on X

$$D(X) = \langle U_+, \mathcal{O}_X, D(C) \rangle \quad \text{Kuznetsov}$$

$$(ex) H^i(X, \mathcal{O}_X) = 0 \quad i > 0$$

$$\Rightarrow \mathcal{O}_X \text{ exceptional}$$

$$\Rightarrow D(X) = \langle \mathcal{O}_X, \mathcal{B} \rangle$$

Fano
Enriques.
...

Serre functor (unique)

$$S: D \rightarrow D$$

$$\text{Hom}(A, B) \cong \text{Hom}(B, S(A))^*$$

Smooth proj X , $S(A) = A \otimes \omega_X[\dim X]$

K can be recovered.

(not Coh X)

(ex) $K=0 \Rightarrow$ no SO decomp

$\therefore SO \Rightarrow 0$.

rem $f: X \rightarrow Y$ $Rf_* \mathcal{O}_X = \mathcal{O}_Y$

$$\Rightarrow D(\text{qc } X) = \langle C, D(\text{qc } Y) \rangle$$

$$C = \{A \mid Rf_* A = 0\}$$

rem $\text{Perf}(X) \xrightarrow{d} D^b(\text{Coh } X)$

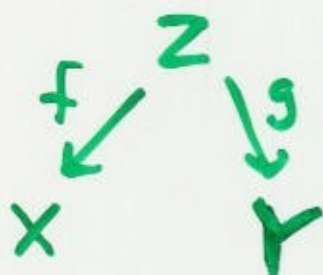
for singular X

Minimal model program Log MMP

decreasing K (or $K+B$)

X normal variety (X, B) $B: \mathbb{Q}$ -div

K_X \mathbb{Q} -Cartier $K+B$ \mathbb{Q} -Cartier



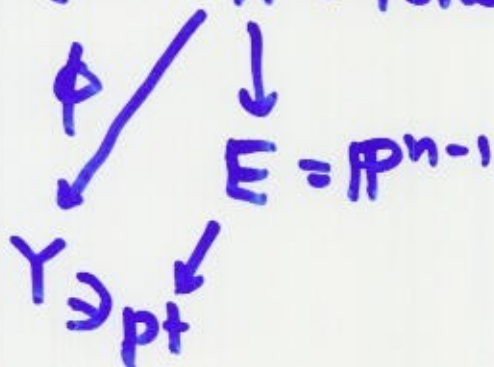
$$K_X > K_Y$$

$$\Leftrightarrow f^*K_X - g^*K_Y \text{ effective}$$

minimal model \equiv minimal K

not unique, but K -equivalent

(ex) $X =$ total space of $\mathcal{O}_E(-k)$ $k > 0$



$$K_X = \phi^*K_Y + \frac{n-k}{k} E$$

$$n > k \Leftrightarrow K_X > K_Y$$

singularity appears in $\dim \geq 3$

(1) Mori fiber space

$$\phi: X \rightarrow Y \quad \dim Y < \dim X$$

(2) divisorial contraction

$\phi: X \rightarrow Y$ birat morphism
contracts a prime divisor

(3) flip $\phi: X \dashrightarrow Y$ birat map
isomorphic in codim 1

(X, B) $X: \mathbb{Q}$ -factorial

projective / S

$B: \mathbb{Q}$ (or \mathbb{R})-divisor

minimalist : terminal (log terminal)

maximalist : canonical (log canonical)

$$f: Y \rightarrow X$$

$$K_Y = f^*(K_X + B) + \sum a_j E_j \quad a_j > 0$$

$$(a_j \geq 0)$$

$$a_j > -1 \quad (a_j \geq -1)$$

$$\text{Perf}(X) \rightarrow D(X) \rightarrow D^b(\text{Coh } X)$$

"homology" "cohomology"

Assumption :

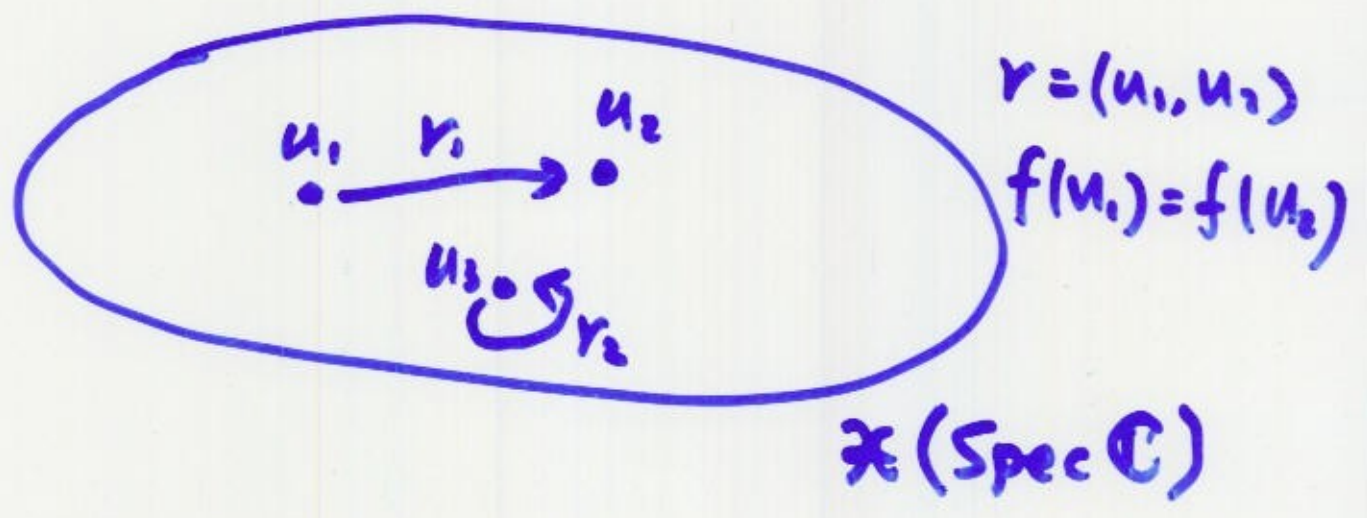
- (1) $B = \sum \ell_i B_i, \ell_i = 1 - \frac{1}{r_i}, r_i \in \mathbb{Z}_{>0}$
- (2) \exists smooth $U \xrightarrow{f} X$ quasi-finite
 $f^*(K_X + B) = K_U$

$$R = (U \times_X U) \xrightleftharpoons[p_2]{p_1} U \text{ etale}$$

$\mathcal{X} : (\text{Sch}) \rightarrow (\text{Groupoid})$ DM stack

Obj $\mathcal{X}(S) = \text{Hom}(S, U)$

Mor $\mathcal{X}(S) = \text{Hom}(S, R)$



sheaf on \mathfrak{X}

= sheaf on U equivariant w.r.t. R

$\text{Coh}(\mathfrak{X})$ abelian cat

$$D(X, B) = D^b(\text{Coh } \mathfrak{X})$$

Serre functor : $\otimes \mathcal{O}_{\mathfrak{X}}(K_X + B)$ [$\dim X$].

(ex) $X = \mathbb{P}(a_0, \dots, a_n)$, $B = 0$

$$D(X) = \langle \mathcal{O}_{\mathfrak{X}}(-\sum a_i + 1), \dots, \mathcal{O}_{\mathfrak{X}}(-1), \mathcal{O}_{\mathfrak{X}} \rangle$$

Strong exceptional collection

$$\mathcal{O}_X(-p) = \pi_* \mathcal{O}_{\mathfrak{X}}(-p) \text{ not exceptional}$$

Conj $(X, B), (Y, C), \mathfrak{X}, \mathfrak{Y}$ as above

$$K_X + B \leq K_Y + C$$

$$\Rightarrow \exists \mathfrak{F}: D(\mathfrak{X}, B) \rightarrow D(\mathfrak{Y}, C) \text{ f.f.}$$

equality \Rightarrow equivalence.

(K-equivalence)

(D-equivalence)

BKR : $G \subset SL(n, \mathbb{C})$ finite

$$X = \mathbb{C}^n / G, (B=0)$$

$$\mathfrak{X} = [\mathbb{C}^n / G]$$

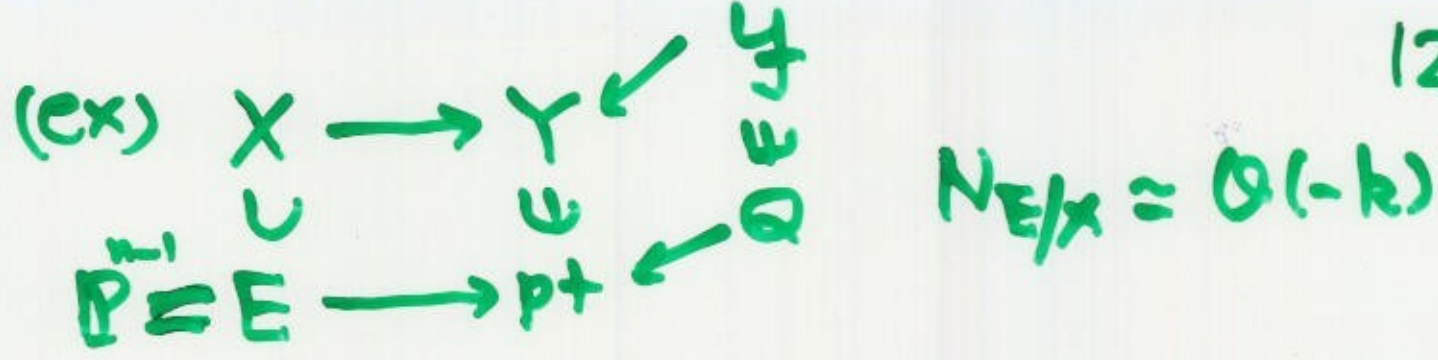
$$Y = G\text{-Hilb}(\mathbb{C}^n) \xrightarrow{f} X$$

assume $\dim Y_x \leq n+1$

\Rightarrow (1) Y smooth, $K_Y = f^* K_X$

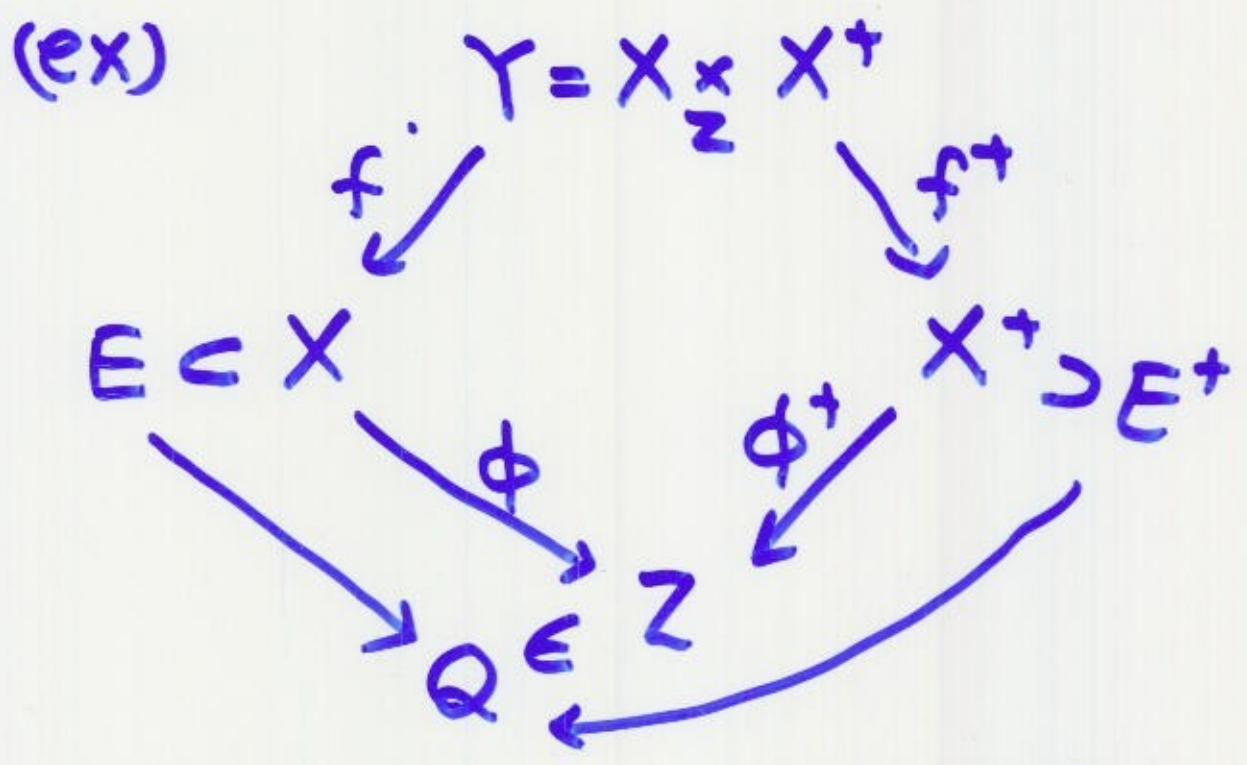
(2) $D(Y) \simeq D(X)$

(ex) $n=3, G \subset Sp(2m, \mathbb{C})$



- $n > k \quad D(X) \cong \langle \mathcal{O}_E(-n+k), \dots, \mathcal{O}_E(-1), D(Y) \rangle$
- $n = k \quad D(X) \cong D(Y)$
- $n < k \quad D(Y) \cong \langle \mathcal{O}_Q(-n), \dots, \mathcal{O}_Q(-k+n), D(X) \rangle$

\mathcal{O}_Q skyscraper of length 1
 stabiliser \mathbb{Z}_k acts by $t \rightarrow t^k$.
 ($k \times k$)



$\dim X = 4$ smooth, $E \cong \mathbb{P}^2$,
 $N_{E/X} \cong \mathcal{O}(-1) \oplus \mathcal{O}(-2)$

$$E^+ \simeq \mathbb{P}^1, \text{Sing } X^+ = \{P\} \subset E^+$$

$\rho \in \mathfrak{X}^+$ stabilizer M_2 .

$$K_X = K_{X^+}$$

$$Y = X \times_{\mathbb{Z}} \mathfrak{X}^+ \begin{array}{c} \xrightarrow{\tilde{f}} X \\ \searrow \tilde{f}^+ \\ \mathfrak{X}^+ \end{array}$$

$$\Phi = R\hat{f}_* \mathcal{L}\hat{f}^*: D(X) \rightarrow D(X^+)$$

$$\text{but } R\hat{f}_* \mathcal{L}\hat{f}^*(\Omega_E(-1)) = 0$$

$$\Phi(\Omega_E(-1)) = \mathcal{O}_P(1).$$

(X, B) \mathbb{Q} -factorial toric

B : invariant \mathbb{Q} -divisor.

\leftrightarrow simplicial fan $\Delta_X \subset N_X \otimes \mathbb{R}$

$\varphi: X \rightarrow Z$ extremal contraction

\leftrightarrow wall $w = \langle v_3, \dots, v_{n+1} \rangle$

corresponding to an extr. curve.



$$a_1 v_1 + a_2 v_2 + \dots + a_{n+1} v_{n+1} = 0$$

$$a_i \in \mathbb{Z}, \gcd(a_i) = 1$$

$$\begin{cases} a_i > 0 & 1 \leq i \leq \alpha \\ a_i = 0 & \alpha + 1 \leq i \leq \beta \\ a_i < 0 & \beta + 1 \leq i \leq n + 1 \end{cases}$$

$$2 \leq \alpha \leq \beta \leq n + 1$$

$$\begin{cases} \text{Mori fiber space } \beta = n + 1 \\ \quad (\dim Z = n + 1 - \alpha) \\ \text{divisorial contraction } \beta = n \\ \text{flipping contraction } \beta < n \end{cases}$$

D_i : prime divisor $\longleftrightarrow v_i$

$$B = \sum \frac{r_i - 1}{r_i} D_i$$

$$\rightsquigarrow \pi^* D_i = r_i D_i \quad \pi: \mathbb{A}^1 \rightarrow X$$

$K_X + B$ φ -negative

$$\longleftrightarrow \sum_{i=1}^{n+1} \frac{a_i}{r_i} > 0$$

① Fano, $P=1$ case ($\alpha = n+1$)

$$\begin{array}{ccc} \mathbb{P}(a_1, \dots, a_{n+1}) & \rightarrow & X \quad \text{etale in codim. 1} \\ \uparrow & & \uparrow \\ \widetilde{\mathbb{P}}(a_1, \dots, a_n) & \rightarrow & \mathcal{X} \quad \text{etale} \end{array}$$

$$\langle \mathcal{O}_{\mathcal{X}}(\sum_i k_i D_i) \mid 0 \geq \sum_i \frac{a_i k_i}{r_i} > - \sum_i \frac{a_i}{r_i} \rangle$$

strong complete exceptional collection

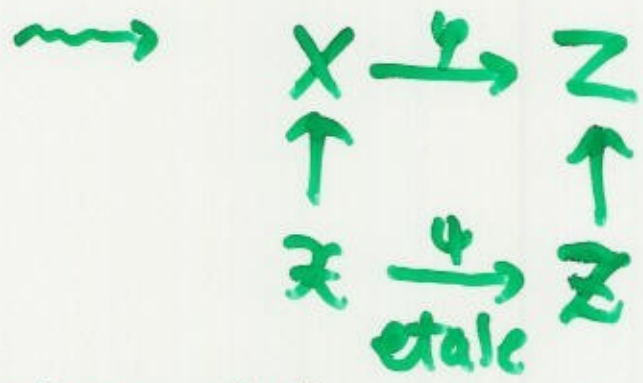
① Mori fiber space

$$N_X \rightarrow N_Z = N_X / \left(\bigoplus_{i=1}^{\alpha} \mathbb{R} v_i \cap N_X \right)$$

$$v_i \rightarrow a_i \bar{v}_i, \quad \alpha+1 \leq i \leq n+1$$

$$C = \sum \left(1 - \frac{1}{r_i a_i} \right) E_i \quad \mathbb{Q}\text{-div. on } Z$$

$$E_i = \psi(D_i)$$

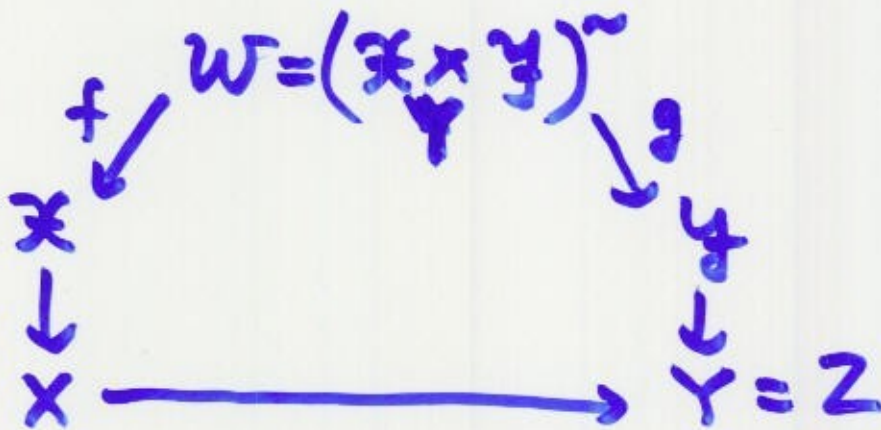


$$D(X) = \langle \psi^* D(Z) \oplus \mathcal{O}_{\mathcal{X}}(\sum_{i=1}^{\alpha} k_i D_i) \mid 0 \geq \sum_{i=1}^{\alpha} \frac{a_i k_i}{r_i} > - \sum_{i=1}^{\alpha} \frac{a_i}{r_i} \rangle$$

SO decomp.

② divisorial contraction

$D = D_{n+1}$ exc. div, $E_i = \varphi_* D_i$ is ism



$$f_* g^* \mathcal{O}_Y \left(\sum_{i=1}^n k_i E_i \right) = \mathcal{O}_X \left(\sum_{i=1}^{n+1} k_i D_i \right)$$

$$k_{n+1} = L \frac{r_{n+1}}{b_{n+1}} \sum_{i=1}^n \frac{a_i k_i}{r_i}, \quad b_{n+1} = -a_{n+1}$$

$\bar{\varphi}: D \rightarrow F = \varphi(D)$ Mori fiber space

$$N_X \longrightarrow N_Y = N_X / \mathbb{Z} v_{n+1}$$

$$\bar{v}_i \longmapsto t_i \bar{v}_i \quad 1 \leq i \leq n$$

$$\text{i.e. } D_i |_D = \frac{1}{t_i} \bar{D}_i$$

$$\text{but } j^* \mathcal{O}_X(D_i) = \mathcal{O}_D(\bar{D}_i)$$

$$\text{for } j: D \rightarrow X$$

$$t = \gcd(a_i t_i), \quad a_i t_i = t \bar{a}_i$$

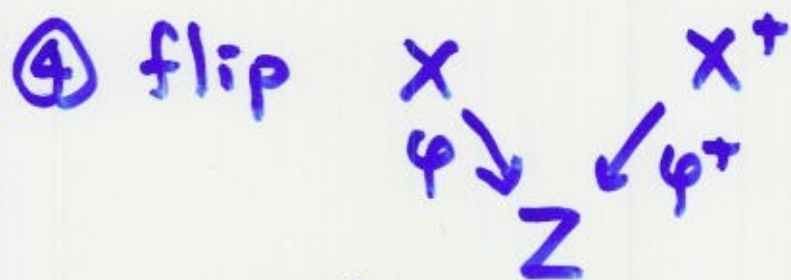
$$\Rightarrow \bar{a}_1 \bar{v}_1 + \dots + \bar{a}_n \bar{v}_n = 0$$

$$\bar{B} = \sum_{i=1}^n \left(1 - \frac{1}{r_i t_i} \right) \bar{D}_i \quad \text{on } D$$

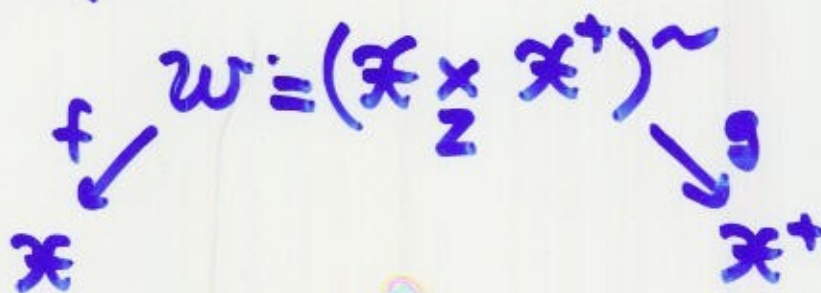
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$$D(X) = \left\langle j_{X*} \bar{\psi}^* D(F) \otimes \mathcal{O}_X \left(\sum_{i=1}^{n+1} k_i D_i \right), \right. \\ \left. f_* g^* D(Y) \mid 0 \right\rangle \sum_{i=1}^{n+1} \frac{a_i k_i}{r_i} \geq - \sum_{i=1}^{n+1} \frac{a_i}{r_i} \rangle$$

SO decomposition



$$\bar{\varphi}: D = \bigcap_{i=\rho+1}^{n+1} D_i \longrightarrow F = \varphi(D) \quad \text{Mfs.}$$



$$f_* g^* \mathcal{O}_{X^+} \left(\sum_{i=1}^{n+1} k_i D_i^+ \right) \cong \mathcal{O}_X \left(\sum_{i=1}^{n+1} h_i D_i \right)$$

$$\text{if } 0 \leq \sum_{i=1}^{n+1} \frac{a_i k_i}{r_i} < \sum_{i=\rho+1}^{n+1} \frac{e_i}{r_i}, \quad e_i = -a_i$$

$$D(X) = \left\langle j_{X*} \bar{\psi}^* D(F) \otimes \mathcal{O}_X \left(\sum_{i=1}^{n+1} k_i D_i \right), \right.$$

$$\left. f_* g^* D(X^+) \mid 0 \right\rangle \sum_{i=1}^{n+1} \frac{a_i k_i}{r_i} \geq - \sum_{i=1}^{n+1} \frac{a_i}{r_i} \rangle$$

SO decomp.

Cor complete exceptional collection

dim 3 case

(X, P) germ of a terminal singularity

$(X', P') \xrightarrow{f} (X, P)$ canonical covering
 $m:1$ $mK_X \sim 0$ X' Gorenst.

(universal covering in codim 1)

X normal projective, terminal

\mathcal{X} canonical covering stack

$$D'(X) = D^b(\text{coh } \mathcal{X})$$

Th $K_X = K_Y$

$$\Rightarrow D'(X) \cong D'(Y)$$

Bridgeland, Chen, K.
 Vd Bergh.

Consequences of D-equivalence

Th $(X, B), (Y, C)$ satisfy assumptions

$$\Phi: D(X, B) \rightarrow D(Y, C) \text{ f.f.}$$

$$D(Y, C) = \langle D(X, B)^\perp, D(Y, C) \rangle$$

$$\Rightarrow \exists! E \in D^b(X \times Y)$$

$$\text{s.t. } \Phi(-) = R_{2*}(p_1^* - \otimes E).$$

idea: L sufficiently ample on X

$$A = \bigoplus_{m \geq 0} A_m = \bigoplus H^0(X, mL)$$

$$B_m = \text{Ker}(B_{m-1} \otimes_{\mathbb{C}} A_1 \rightarrow B_{m-2} \otimes_{\mathbb{C}} A_2)$$

$$R_m = \text{Ker}(B_m \otimes_{\mathbb{C}} \mathcal{O}_X \rightarrow B_{m-1} \otimes_{\mathbb{C}} L)$$

$$\rightarrow L^{-m} \boxtimes R_m \rightarrow \dots \rightarrow L^{-1} \boxtimes R_1 \rightarrow \mathcal{O} \boxtimes \mathcal{O} \rightarrow \mathcal{O}_{\Delta X} \rightarrow 0$$

$$E \leftrightarrow \{ \rightarrow L^{-m} \boxtimes \Phi(R_m) \rightarrow \dots \}$$

Th $(X, B), (Y, C)$ satisfy cond.
 $D(X, B) \cong D(Y, C)$

\Rightarrow (1) $\dim X = \dim Y$

(2) $\bigoplus_{m \in \mathbb{Z}} H^0(X, L^m(K_X + B)_\Delta)$
 $\cong \bigoplus_{m \in \mathbb{Z}} H^0(Y, L^m(K_Y + C)_\Delta)$

hence $\kappa(X, \pm(K+B)) = \kappa(Y, \pm(K+C))$

(3) $\pm(K_X + B) \text{ nef} \iff \pm(K_Y + C) \text{ nef}$
 $\nu(\pm(K_X + B)) = \nu(\pm(K_Y + C))$

(4) If $\kappa(X, \pm(K+B)) = \dim X$,
then $X \underset{\text{bir}}{\sim} Y, K_X + B = K_Y + C$.

idea: K is categorical, because
Serre functor is unique.

related conjecture

(1) given X , $\#\{Y \mid \text{minimal}, Y \sim_{\text{bit}} X\} / \cong$
 $< \infty$.

(2) given X , $\#\{Y \mid D(X) \cong D(Y)\} / \cong$
 $< \infty$.