

Density of rational  
points on K3  
surfaces

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# Guiding problem

①

$F$  number field

(or field finitely generated  
over  $\mathbb{Q}$  like  
 $\mathbb{Q}(\sqrt{2}, t, u)$ )

$X/F$  variety defined  
over  $F$

Does there exist a finite  
extension  $E/F$  for  
which

$$X(E) \subset X$$

is Zariski dense?

"Rational points of  $X$   
are potentially dense"

Examples: where potential density (2) holds

- rational varieties  $\mathbb{P}^n$

- unirational varieties

$X_3 \subset \mathbb{P}^n$   $n \geq 3$   
smooth cubics

- abelian varieties

e.g. simple abelian varieties  
of positive rank

And where potential density fails:

- curves of genus  $g \geq 2$   
(Faltings)

- Conjecturally: Varieties  
of <sup>Lang Bombieri</sup> general type

$X_d \subset \mathbb{P}^n$  smooth  
 $d \geq n+2$

# Potential density for

(3)

K3 surfaces:

classical examples

(Silverman)

$$X \subset \mathbb{P}^2 \times \mathbb{P}^2$$

$$\left. \begin{array}{l} \text{"} \\ \} f = g = 0 \end{array} \right\} \begin{array}{l} \deg f = (1,1) \\ \deg g = (2,2) \end{array}$$

$$\pi_1, \pi_2: X \longrightarrow \mathbb{P}^2$$

double covers branched  
over plane sextic

$$i_1, i_2: X \longrightarrow X$$

corresponding involutions  
(non commuting)

$$\mathbb{Z}_2 * \mathbb{Z}_2 \subset \text{Aut}(X)$$

$$\Rightarrow |\text{Aut}(X)| = \infty$$

Using height techniques (4)

Silverman shows that

"most" points have infinite  
(hence Zariski dense)

orbit  $\Rightarrow$  potential density

(Lau Wang, Billard)

$$X \subset \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$$

$$\left. \begin{array}{l} \\ \\ \end{array} \right\} f = 0 \quad \text{deg } f = (2, 2, 2)$$

$$\pi_{12}, \pi_{13}, \pi_{23}: X \longrightarrow \mathbb{P}^1 \times \mathbb{P}^1$$

double covers with

involutions  $i_{12}, i_{13}, i_{23}$

Analysis of orbits  $\Rightarrow$  potential  
density

K3 surfaces with  
infinite automorphisms

(5)

Basic facts:

1)  $\text{Aut}(X) \longrightarrow \text{Aut}(H^2(X, \mathbb{Z}))$   
has finite kernel

(Indeed, if  $h$  is ample  
then  $\{ \alpha \in \text{Aut}(X) : \alpha^*h = h \}$   
is finite)

2)  $|\text{Aut}(X)| = \infty \implies$   
 $\text{Rank NS}(X) \geq 2$

3) Conversely, if  $\text{NS}(X) \geq 2$   
and the intersection form  
on  $\text{NS}(X)$  does not represent  
0 or -2

(i.e. no smooth rational, elliptic  
curves)

Then  $|\text{Aut}(X)| = \infty$

Theorem (Bogomolov-Tschinkel) (6)

$X$  K3 surface with  
 $\text{Aut}(X)$  infinite

Then rational points are  
potentially dense

Sketch: Find a singular  
rational curve

$R \subset X$   $[R]$  ample

$\Rightarrow$   $[R]$  and  $R$  have infinite  
(1) orbit

Choose extension  $K/F$  so that

- generators of  $\text{Aut}(X)$

-  $R$  are defined

and  $R^v \cong \mathbb{P}_K^1$

$X(K) = X$  dense

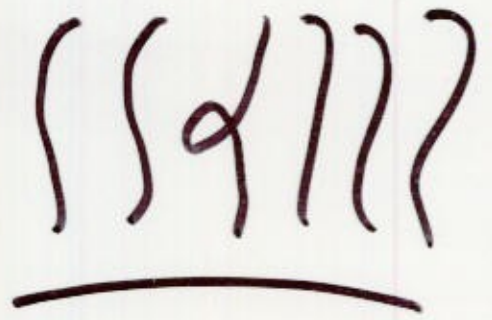
□

# K3 surfaces with elliptic fibrations

(7)

Basic facts:

$$f: X \rightarrow \mathbb{P}^1$$



1) If  $X$  admits an elliptic fibration then

$$\text{Rank NS}(X) \geq 2$$

$$([\mathcal{F}^{-1}(+)]^2 = 0)$$

2) If the intersection form represents zero on  $\text{NS}(X)$

then  $X$  admits an elliptic fibration

Theorem (Bogomolov-Havris-Tschinkel)

$X$  K3 surface with elliptic fibration

Then rational points are potentially dense



# Sketch

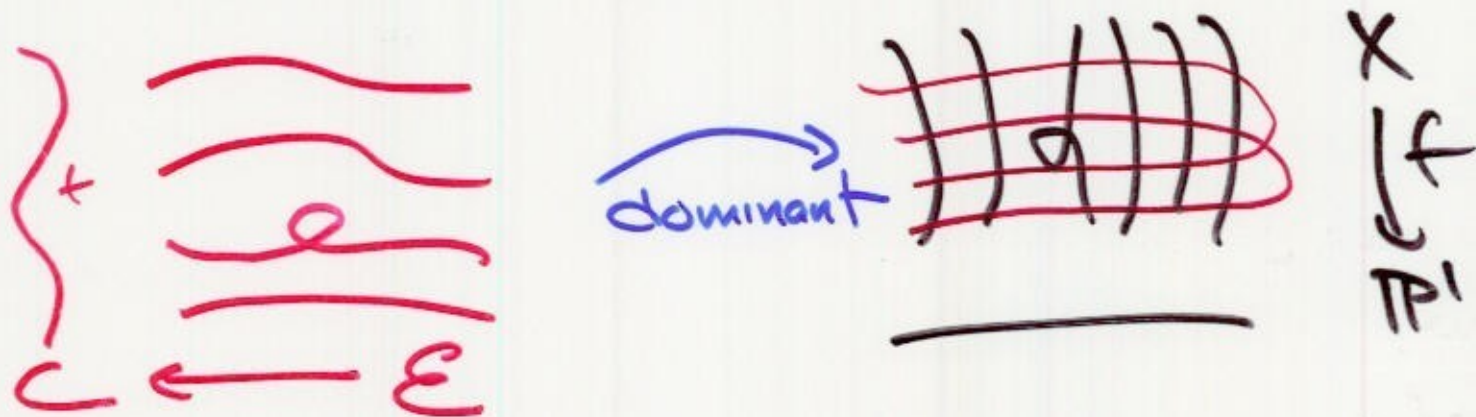
(8)

## Lemma (Kollár)

(Existence of transversal elliptic family)

There exists a curve  $C$  and a fibration in genus one curves

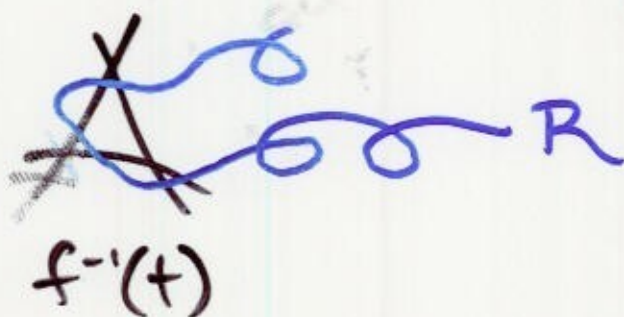
$E \rightarrow C$  dominating  $X_{\text{non}}$   
so that  $f|_{E_t}$  is constant



Proof: deformation theory

Take singular fiber  $f^{-1}(t)$  and  
rational curve  $R \subset X$  not in  
some fiber of  $f$  with

$$\deg f|_R > 1$$



A suitable union of  $R$  (9)  
 with components of  $f^{-1}(t)$   
 deforms to an elliptic curve  $E_t$



For a generic such deformation  $E$

$$Y := X \times_{\mathbb{P}^1} E \longrightarrow E$$

$O = \Delta_E$  diagonal  
 is an elliptic fibration with section  
 of infinite order  $s: E \rightarrow Y$

Let  $K/F$  be an extension  
 over which  $E$  is defined,  $s$  is  
 defined, and  $E(K) \subset E$  is dense

$$\Rightarrow \bigcup_{N \geq 0} N s(E(K)) \subset Y$$

dense

□

Problem:

Find an example of a K3 surface  $X/F$  with

$\text{rank NS}(X) = 1$   
(geometric Néron-Severi rank)

and  $X(F) \subset X$   
dense

van Luyk: Gives an example of  $X/\mathbb{Q}$  with  $\text{rank NS}(X) = 1$  and  $X(\mathbb{Q})$  infinite but not necessarily dense

Theorem:

$X \subset \mathbb{P}^3$  K3 surface  
of degree  $2g-2$

$X^{[g]} = \text{Hilb}_g(X)$  Hilbert  
scheme of length- $g$   
zero dimensional  
subschemes

Then rational points on  $X^{[g]}$   
are potentially dense

N.B. Contrary to the case of  
curves, for any surface  $S$

$$K(S^{[n]}) = n K(S)$$

∴ Kodaira dimension is  
well behaved under  
symmetric products

K3 surfaces over complex (12)  
function fields

$B$  smooth proj curve /  $\mathbb{C}$

$$F = \mathbb{C}(B)$$

$X/F$  nonisotrivial K3  
surface

Problem: Are rational points  
potentially dense on  $X$ ?

In general, this is  
completely open

Theorem

There exists a K3 surface  
 $X/\mathbb{C}(t)$ , nonisotrivial with

$$NS(X) = 1$$

with  $X(\mathbb{C}(t)) \subset X$

dense

Precisely: Consider the fibration

$$\pi: \mathcal{X} \longrightarrow \mathbb{P}^1$$

$$\left. \begin{array}{l} \text{"} \\ \left. \begin{array}{l} s_0 f_0 + s_1 f_1 = 0 \end{array} \right\} \end{array} \right\}$$

$f_0, f_1$  suitably general  
 quartics in  
 $\mathbb{C}[x, y, z, w]$

Then sections of  $\pi$  are  
 dense in  $\mathcal{X}$

Proof:

(14)

$$B = \{f_0 = f_1 = 0\} \subset \mathbb{P}^3$$

degree 16

$$g(B) = 33$$

Assume  $B$  is smooth

$$E \subset \text{Bl}_B \mathbb{P}^3 = \mathcal{X} \longrightarrow \mathbb{P}^1$$

exceptional

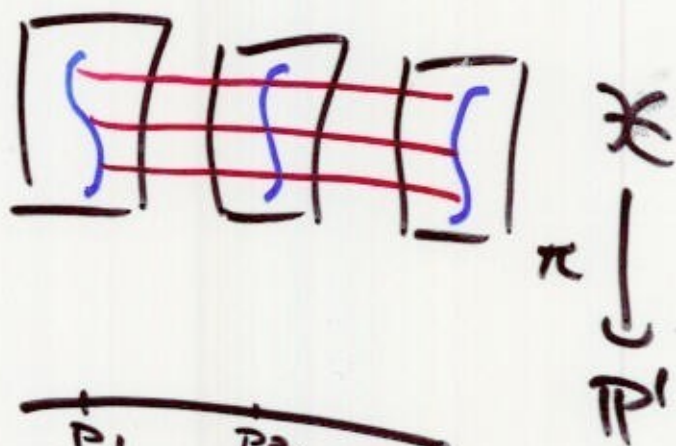
$$\downarrow \mathbb{P}^3$$

$B \times \mathbb{P}^1$   $B \times \mathbb{P}^2$

$$b \times \mathbb{P}^1 \subset B \times \mathbb{P}^1 \cong E$$

$$\stackrel{=} E_b$$

$$\downarrow \mathbb{P}^1$$



Each point  $b \in B \leftrightarrow$  section of  $\pi$

$\rightsquigarrow$  infinite number of sections of  $\pi$

(This can't work over number fields!)

Choose  $t_d \in \mathbb{P}^1$

(15)

so that there exists a smooth degree  $d$  rational curve

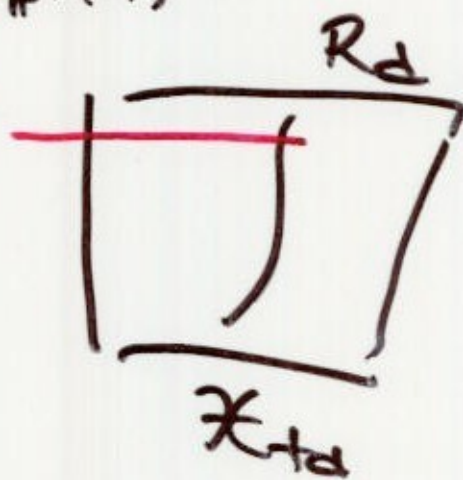
$$R_d \subset \mathcal{X}_{t_d} \subset \mathcal{X}$$

For generic pencil

$$\pi|_{R_d/\mathcal{X}} \simeq \mathcal{O}_{\mathbb{P}^1}(-1)^{\oplus 2}$$

Pick  $b \in B \cap R_d$

$b \times \mathbb{P}^1$   
" $E_b$ "



Claim  $C = R_d \cup_b E_b$

deforms in a one

parameter family  $C \rightarrow W$

The generic member is a smooth section of  $\pi$

$\Rightarrow R_d \subset$  Zariski closure  
of sections of  
 $\pi: \mathcal{X} \rightarrow \mathbb{P}^1$

$\Rightarrow$   
 $d \rightarrow \infty$  These sections are dense  $\square$



We can visualize these sections in terms of the projective geometry of  $B = \{f_0 = f_1 = 0\} \subset \mathbb{P}^3$

- 3-secant lines to  $B$

meet each  $X_+$

in one point outside  $B$

$\leadsto$  section

expected dimension = 1



- 7-secant conics to  $B$

meet each  $X_+$  in one point outside  $B$

$\leadsto$  section

expected dimension = 1

-  $(2d-1)$ -secant rational curves of degree  $d$  to  $B$

$\leadsto$  sections varying in family with expected dimension = 1

Problem Will this work over  $\overline{\mathbb{Q}}(t)$ ?