

Notes on FCA

Time: Spring 2016.

# FGA explained.

## The Hilbert & Quot Functors

set up

all schemes are loc. Noeth. Write  $\underline{Sch}$  for this cat.

Defn.  
(Quot functor)

Let  $S$  be a Noetherian scheme,  $X \rightarrow S$  finite type.  
 $\mathcal{E} \in \text{Coh}(X)$ . Define  $\underline{\text{Quot}}_{\mathcal{E}/X/S} : \underline{Sch}/S \rightarrow \underline{\text{Sets}}$ .

$$(T \rightarrow S) \longmapsto \left\{ (F, \mathfrak{g}) \mid \begin{array}{l} F \text{ coh on } X_T, \text{ flat over } T \\ \mathfrak{g}: \mathcal{E}_T \rightarrow F, \text{ supp}(F) \rightarrow T \text{ is proper} \end{array} \right\}$$

$$\approx$$

where  $(F, \mathfrak{g}) \cong (G, \mathfrak{r})$  iff  
equivalently  $\ker(\mathfrak{g}) = \ker(\mathfrak{r})$ .

$$\begin{array}{ccc} \mathcal{E}_T & \xrightarrow{\quad} & F \\ & \searrow & \downarrow \mathfrak{g} \\ & & \mathfrak{g} \end{array}$$

Defn. For  $\mathcal{E} = \mathcal{O}_X$ , the functor of  $\underline{\text{Quot}}_{\mathcal{O}_X/X/S} = \underline{\text{Hilb}}_{X/S}$ .

Rmk. Note that  $\underline{\text{Quot}}_{\mathcal{E}/X/S}$  is an fppf-sheaf. (by descent).

Fact  
(to be proven)

$\underline{\text{Quot}}$  is representable if  $X \rightarrow S$  is  $\mathfrak{g}$ -proj,  
not in general.

Thm.  
(Shapiro's Lemma)

Let  $S$  be a Noeth. scheme,  $X \rightarrow S$  finite type,  $F \in \text{Coh}(X)$   
w/ support proper over an Artinian subscheme of  $S$ . Let  
 $\mathcal{L}_i \in \text{Pic}(X)$  and consider function  $\mathbb{P}_F(n_1, \dots, n_m) = \chi(F \otimes_{i=1}^n \mathcal{L}_i)$

Then  $\mathbb{P}_F(n_1, \dots, n_m) \in \mathbb{Q}[n_1, \dots, n_m]$  has  $\deg \leq \dim(\text{supp } F)$ .

pf. FGA, thm B.7.

Defn. we call  $\Phi$  the Hilbert polynomial of  $F$  wrt  $\mathcal{L}$

Ex.  $S = \text{Spec}(k)$ ,  $X = \mathbb{P}^n$ ,  $F = \mathcal{O}_X$ ,  $n=1$ ,  $\mathcal{L}_1 = \mathcal{O}(1)$ .

Rmk For  $(F, \mathcal{F}) \in \text{Quot}_{\mathcal{E}/X/S}(T)$ , each  $t \in T$  gives

$$(\mathcal{F}_t, \mathcal{F}_t) \in \text{Quot}_{\mathcal{E}/X/S}(K(t)).$$

$\mathcal{F}_t$  satisfies assumption of snapper's lemma (over  $\text{Spec}(K(t))$ )

Defn Let  $\mathcal{L}$  be a line bundle on  $X$ . Define  $\Phi_{\mathcal{F}_t}(m) = \chi(\mathcal{F}_t \otimes \mathcal{L}_t^m)$

This gives  $T \rightarrow \mathbb{Q}[m]$ .

$$t \mapsto \Phi_{\mathcal{F}_t}$$

Lemma The function  $\Phi_{\mathcal{F}} : T \rightarrow \mathbb{Q}[m]$  is locally constant.

Cor. The functor  $\text{Quot}_{\mathcal{E}/X/S}$  decomposes as a coproduct (as sheaves)

$$\text{Quot}_{\mathcal{E}/X/S} = \bigsqcup_{\Phi \in \mathbb{Q}[m]} \text{Quot}_{\mathcal{E}/X/S}^{\Phi, \mathcal{L}}$$

Thm (Grothendieck) Let  $S$  be Noeth., let  $X \rightarrow S$  be proj.<sup>\*</sup>,  $\mathcal{L}$  be the very ample line bundle of  $\pi$ ,  $\forall \mathcal{E} \in \text{Coh}(X)$ , any

$\Phi \in \mathbb{Q}[m]$ ,  $\text{Quot}_{\mathcal{E}/X/S}^{\Phi, \mathcal{L}}$  is represented by a proj.

$S$ -scheme  $\text{Quot}_{\mathcal{E}/X/S}^{\Phi, \mathcal{L}}$ .

\*: proj. means  $X \xrightarrow{\text{closed}} \text{Proj Sym}^* F$  for  $F \in \text{Coh}(X)$ .

Cor.  $X \rightarrow S$  as above, then  $\text{Quot}_{\mathcal{E}/X/S}$  is represented by a countable disjoint union of proj. schemes.

Rmk. slight generalization to  $\mathcal{F}$ -proj schemes, whose Quot scheme will be  $\mathcal{F}$ -proj.

Here  $\mathcal{F}$ -proj means  $X \hookrightarrow \bar{X} \xrightarrow{\text{closed}} \text{Proj}(\mathcal{E})$ , where  $\mathcal{E}$  is a loc. free rk  $n$  sheaf on  $S$ .

Examples  $X=S$ ,  $\mathcal{L}=\mathcal{O}_S$ , then

(1) if  $\mathcal{E} = \mathcal{O}_S^{n+1}$ ,  $\Phi=1$ , we get

$$\text{Quot}_{\mathcal{O}_S^{n+1}/S/S}(T) = \{ (F, \mathcal{F}) \mid F \text{ flat over } T, \mathcal{O}_S^{n+1} \rightarrow F \}$$

$$\chi(\mathcal{F}_t) = 1$$

$$= \{ (F, \mathcal{F}) \mid F \in \text{Pic}(T), \mathcal{O}_T^{n+1} \rightarrow F \}$$

$$= (\mathbb{P}_T^n)^{\vee} = (\mathbb{P}^{n,\vee})(T).$$

(2)  $S$  noeth., Grassmanian:

$$\text{Gr}(r, d) : \text{Sch}/S \rightarrow \text{Sets}$$

$$\|_{\mathcal{O}_S^r} (T \rightarrow S) \mapsto \{ \mathcal{O}_T^r \rightarrow F \mid F \text{ locally free of rk } d \}$$

$$\|_{\mathcal{O}_S^r/S/S}$$

$$\text{Similarly } \text{Gr}(\mathcal{E}, d) = \text{Quot}_{\mathcal{E}/S/S}^{d, \mathcal{O}_S}$$

$$\text{Gr}(n+1, r+1) = \text{Hilb}_{\mathbb{P}_S^{n+1}/S}, \quad P(m) = \binom{r+m}{m}$$

Rmk Grassmanian is representable, and Quot will be a subscheme of Grassmanian.

Thm Let  $S$  be a Noetherian scheme,  $X \subseteq \mathbb{P}(V)$  subscheme, for  $V$  a vector bundle on  $S$ . Let  $\mathcal{L} = \mathcal{O}_{\mathbb{P}(V)}(1)|_X$ ,  $\Sigma \in \text{Coh}(X)$ , a quotient of  $(\pi^*W)(r)$  some vb  $W$  on  $S$ ,  $\pi: X \rightarrow S$ ,  $r \in \mathbb{Z}$ .  $\Phi \in \mathbb{Q}[X]$ . Then  $\text{Quot}_{\Phi, \mathcal{L}}^{\Sigma, \mathcal{L}}$  is represented by a scheme  $\text{Quot}_{\Sigma, \mathcal{L}}^{\Phi, \mathcal{L}}$  which can be embedded into  $\mathbb{P}(F)$ , for some vb  $F$  on  $S$ .

e.g. If  $S$  is proj. over a Noetherian ring, then every  $\Sigma$  on  $X$  satisfies the hypothesis above.

Outline of pf Step 1: reduction, suffices to take  $\Sigma = (\pi^*W)(r)$ ,  $X = \mathbb{P}(V)$ . Thus we have to construct  $\text{Quot}_{\pi^*(W)/\mathbb{P}(V)/S}^{\Phi, \mathcal{O}(1)}$ .

Rank For each fixed quotient  $0 \rightarrow \mathcal{G} \rightarrow \mathcal{E}_T \xrightarrow{\beta} \mathcal{F} \rightarrow 0$  (on  $X_T$ ),  $\forall t \in T$ ,  $\exists m \in \mathbb{Z}$ , s.t.  $\forall r \geq m$ , we have

- $H^i(X_t, \mathcal{F}_t(r)) = 0 \quad \forall i \geq 0$
- $\mathcal{F}_t(r)$  is globally generated.

Thus  $\Phi(r) = h^0(X_t, \mathcal{F}_t(r)) \quad \forall r \geq m$  (same for  $\mathcal{E}, \mathcal{G}$ ).

$\uparrow$  Note that  $m$  depends a priori on  $t$ ,  $\langle \mathcal{F}, \beta \rangle \in \text{Quot}^{\dots}(T)$ , now we wanna do this ~~entirely~~ only depend on  $\Phi$ .

Main Idea: we may reconstruct  $0 \rightarrow \mathcal{G} \rightarrow \mathcal{E}_T \rightarrow \mathcal{F} \rightarrow 0$  from  $0 \rightarrow \pi_* \mathcal{G}(r) \rightarrow \pi_* \mathcal{E}_T(r) \rightarrow \pi_* \mathcal{F}(r) \rightarrow 0$ .

Note that we clearly know what  $\Phi_{\mathcal{F}}$  and  $\Phi_{\mathcal{E}}$  are. So we know  $\Phi_{\mathcal{G}}$ . So we'll realize  $\text{Quot}^{\dots}$  as a subscheme of  $\text{Gr}(W \otimes_{\mathcal{O}_S} \text{Sym}^r(V), \Phi(r))$ .

Step 2. make  $m$  independent of  $t, T, \langle \mathcal{F}, \beta \rangle, \dots$  (Castelnuovo-Mumford-regularity)

Step 3. Prove that you can do this in a relative setting.

Step 4. Prove that  $\text{Quot} \rightarrow \text{Gr}$  is a locally closed immersion.

Step 5. projectivity.

Defn. Let  $k$  be a field,  $\mathcal{F} \in \text{Coh}(\mathbb{P}^n)$ , let  $m \in \mathbb{Z}$ ,  $\mathcal{F}$  is  $m$ -regular if  $H^i(\mathbb{P}^n, \mathcal{F}(m-i)) = 0 \quad \forall i \geq 1$ .

Lemma If  $\mathcal{F}$  is  $m$ -regular, and  $H \subseteq \mathbb{P}^n$  is a hyperplane not containing any associated pts of  $\mathcal{F}$ , then  $\mathcal{F}|_H$  is  $m$ -regular as well. (same  $m$ !)

Lemma (Castelnuovo) Let  $\mathcal{F}$  be  $m$ -regular, then

- $H^0(\mathbb{P}^n, \mathcal{O}(1) \otimes \mathcal{F}(r)) \rightarrow H^0(\mathbb{P}^n, \mathcal{F}(r+1)), \quad \forall r \geq m$ .
- $H^i(\mathbb{P}^n, \mathcal{F}(r)) = 0, \quad \forall r \geq m-i, i \geq 1$ , i.e.,  $m'$ -reg,  $\forall m' \geq m$ .
- $\forall r \geq m, \mathcal{F}(r)$  is globally generated and has no higher coh.

Lemma.  $F$  coh on  $\mathbb{P}^n$ ,  $H$  as before, assume  $F_H$  is  $m$ -regular, and for some  $r \geq m$ , the map  $\nu_r: H^0(\mathbb{P}^n, F(r)) \rightarrow H^0(H, F_H(r))$ , then  $\nu_{r+p}$  is surjective,  $\forall p \geq 0$ .

Thm (Mumford) Let  $p, n \in \mathbb{Z}_{\geq 0}$ ,  $\exists F_{p,n} \in \mathbb{Z}[x_0, \dots, x_n]$ , s.t., let  $k$  be a field,  $F$  a coh. sheaf on  $\mathbb{P}_k^n$  which embeds  $F \subseteq \mathcal{O}_{\mathbb{P}^n}^p$ . Write  $\chi(F(r)) = \sum_{i=0}^n a_i \binom{r}{i}$ , where  $a_i \in \mathbb{Z}$ , then  $F$  is  $m$ -regular, for  $m = F_{p,n}(a_0, \dots, a_n)$ .

## Flattening Stratification

Lemma. Let  $\varphi: T \rightarrow S$  be a morphism of Noetherian schemes.  $F \in \text{Coh}(\mathbb{P}_S^n)$ , then  $\exists r_0$  (depends on  $\mathcal{O}_T$ ), s.t.  $\forall r > r_0$ ,  $\varphi^* \pi_{r*}(F(r)) \cong \pi_{r*}(F_r(r))$ .

Lemma.  $F \in \text{Coh}(\mathbb{P}_S^n)$ ,  $S$  Noetherian. If  $\pi_{r*}(F(r))$  is locally free for all sufficiently large  $m$ , then  $F$  is flat.

Thm.  $S$  Noetherian,  $F$  coherent on  $\mathbb{P}_S^n$ , let  $I$  be the set of Hilbert polynomials occurring for  $F_S$ ,  $\forall s \in S$ , then

- $I$  is finite
- $\forall f \in I$ ,  $\exists S_f \subseteq S$  s.t.  $\forall s \in S_f$ ,  $F|_{\mathbb{P}^n}$  has Hilbert poly =  $f$ .
- $i: \bigsqcup_I S_f \rightarrow S$ , then  $i^*F$  is flat, and  $i$  is the universal map with this property.
- $\overline{S_f} \subseteq \bigcup_{I_f} S_g$ , where  $I_f$  only consists of  $g \in I$ , where  $f(n) \leq g(n)$  for large  $n$ .

pf. we may assume  $S = \text{Spec}(A)$ .  
 Case 1  $n=0$  use the fact that  $\forall$  f.g. module  $M$  over Noetherian  $A$  has a finite filtration, s.t. successive quotient will be of the form  $A/I$ .

General case: use the following proof omitted

Thm.  $S$  Noetherian integral scheme,  $p: X \rightarrow S$  finite type,  $F$  coh on  $X$ , then  $\exists$  nonempty open  $U \subseteq S$ , s.t.  $F|_{X_U}$  is flat  $\forall U$ .

So we have  $V_i \rightarrow S$  s.t.  $\forall$  pull back to  $V_i$  is flat



# Introduction to deformation theory

Defn A deformation of  $V \subseteq X/k$  is a family  $\tilde{V} \subseteq \tilde{X} = X \times_k A$ , where  $A$  is an artinian local  $k$ -alg.,  $\tilde{V}$  is flat over  $\text{Spec} A$ , and  $\tilde{V} \times_A k = V$ .

Prop. { Deformation of smooth  $V \subseteq X$  over  $\text{Spec} k[[\varepsilon]]/(\varepsilon^2) = H^0(V, N_{V/k})$   
 $R \rightarrow R/I$   $\tilde{R} = R[[\varepsilon]]/(\varepsilon^2)$   $\tilde{I}$  satisfies:

- ①  $\tilde{I}|_{\varepsilon=0} = I$
- ②  $(\varepsilon) \otimes_{\tilde{R}/\tilde{I}} \tilde{R}/\tilde{I} \hookrightarrow \varepsilon \tilde{R}/\tilde{I}$  i.e. if  $\varepsilon f \in \tilde{I}$ , then  $f \in \tilde{I}$

Hence given  $f \in I$ ,  $\exists g \in R$  s.t.  $f + \varepsilon g \in \tilde{I}$ ,  $g$  is unique up to  $\text{Im} I$ , so this gives map  $I/I^2 \rightarrow R/I$  of  $R$ -modules  
 $f \mapsto (g \text{ w/ } f + \varepsilon g \in \tilde{I})$

things work conversely and glues.

Notation  $(\text{Art}/k)$  denote cat. of local artin  $k$ -alg. w/ residue field  $k$ .

Defn. A deformation functor is a covariant functor  
 $D: (\text{Art}/k) \rightarrow (\text{Set})$  satisfying  $|D(k)| = 1$ .

e.g. Fix  $R$  local ring/ $k$  residue is  $k$ .  
 $D(A) = \text{Hom}_k(R, A)$ .

Prop. ①  $h_R \cong h_{\hat{R}}$ , because  $\hat{A} = A$ .  
 ②  $D(A)$  is always nonempty w/ distinguished pt  $D(k) \rightarrow D(A) \rightarrow D(k)$

Q:  $B \rightarrow A$  in  $\text{Art}/k$ ,  $D(B) \rightarrow D(A)$ , surj? inj?

Defn  $B \rightarrow A$  is a small extn if  $0 \rightarrow M \rightarrow B \rightarrow A \rightarrow 0$  s.t.  $M \cdot m_B = 0$ .

Lemma  $B \rightarrow A$  factors as sequence of small extensions.

For  $D = h_{R/\tilde{R}}$ , this question has an explicit answer.

Thm. Fix  $0 \rightarrow M \rightarrow B \rightarrow A \rightarrow 0$  small extn,  $R$  complete local w/ finite dim'l tangent space. Then  $\exists$  exact sequence of sets:

$$\begin{array}{ccccccc} T_1 \otimes_k M \subset h_R(B) & \rightarrow & h_R(A) & \xrightarrow{\text{ob}} & T_2 \otimes_k M, & \text{where } T_1 = (m_R/m_R^2)^\vee \\ \text{naturality: } \downarrow \text{equivariant} & & \downarrow & & \downarrow & & \\ T_1 \otimes_k M' \subset h_R(B') & \rightarrow & h_R(A') & \rightarrow & T_2 \otimes_k M' & & \end{array}$$

pf. Let  $d = \dim_k T_1$ , with basis  $t_1, \dots, t_d \in m_R$   
 $k[[X_i]] = S \xrightarrow{\tilde{\gamma}} R$  isom. on tangent spaces.  
 $x_i \mapsto t_i$

Let  $n = m_S$   $J = \ker(\tilde{\gamma})$  Note that  $J \subseteq n^2$ .  
 Then we let  $T_2 = (J/nJ)^\vee$

$$\begin{array}{ccccccc} \text{Fix } \varphi: R \rightarrow A & & S & \xrightarrow{\tilde{\gamma}} & R & & \\ & & \downarrow \varphi & & \downarrow \varphi & & \\ 0 \rightarrow M \rightarrow B \rightarrow A \rightarrow 0 & & & & & & \end{array}$$

$\tilde{\gamma}$  will descend to  $R \rightarrow B$  iff  $\tilde{\gamma}|_J = 0$ .

Study space of lifts  $\tilde{\varphi}$

Claim  $\alpha, \beta: S \rightarrow B$  both lift  $\varphi$ , then  
 $h = (\alpha - \beta): S \rightarrow M$  is a  $k$ -linear derivation:  
 $h(fg) = h(f)g(v) + h(g)f(v)$ , hence,  
 $h(c_0 + \sum c_i x_i + h.o.t.) = \sum c_i h(x_i)$

Conclusion  $\{\tilde{\varphi}\} \subseteq T_1 \otimes_R M$

$h(n^2) = 0 \Rightarrow h(J) = 0$ , hence  $\tilde{\varphi}|_J$  is independent of  $\tilde{\varphi}$ .

Construction of ob:  $\{R \rightarrow A\} \rightsquigarrow \{J \rightarrow M\}$   
 $\varphi \rightsquigarrow \tilde{\varphi}|_J$   $\tilde{\varphi}|_J$  kills  $nJ$  as  $\tilde{\varphi}(nJ) \subseteq m_B M$   
 hence ob:  $\{R \rightarrow A\} \rightsquigarrow \{J/nJ \rightarrow M\}$

Defn A deformation functor admits a tangent-obstruction theory if  $\exists$   $f$ -dim. vector space  $k$ ;  $T_1, T_2$ , st.

①  $\forall$  small extn  $0 \rightarrow M \rightarrow B \rightarrow A \rightarrow 0$  only exact at these two places.  
 $\exists$  exact sequence  $T_1 \otimes_R M \hookrightarrow D(B) \rightarrow D(A) \rightarrow T_2 \otimes_R M$

- ② This is left exact for  $A=k$ .
- ③ functoriality.

Prop.  $T_1$  is unique up to canonical isom.:

$0 \rightarrow T_1 \xrightarrow{h} D(k[\varepsilon]/(\varepsilon^2)) \rightarrow * \xrightarrow{ob} T_2$   
 $T_1 \cong D(k[\varepsilon]/(\varepsilon^2)) \rightarrow D(k)$   $D(k[\varepsilon]/\varepsilon^2) \times D(k[\varepsilon]/\varepsilon^2) \rightarrow D(k[\varepsilon]/\varepsilon^2)$   
 $T_1 \cong D(k[x,y]/(x,y)^2) \rightarrow D(k[x,y]/(y^2))$   $D(k[x,y]/(x,y)^2) \rightarrow D(k[x,y]/(x,y)^2)$   
 $\lambda$  is easy to define.

pro-representable functors.

Defn. A deformation functor  $D$  is pro-representable if it's isomorphic to  $h_R$  for  $R \in \text{Loc}/k$ .

Last time  $R \cong k[x_1, \dots, x_n]/J$ ,  $J \subseteq n^2$ ,  $n = (x_1, \dots, x_n)$   $h_R$  has tangent-obstruction theory  $T_1(R) \cong (m_R/m_R^2)^\vee$   $T_2(R) = (J/nJ)^\vee$

Thm If  $T_1, T_2$  is a tangent-obstruction theory for  $h_R$ , then there exists a canonical isomorphism  $T_1(R) \cong T_1$  and injection  $T_2(R) \hookrightarrow T_2$

Idea: suppose  $R$  is Artinian

$0 \rightarrow J/nJ \rightarrow S/nJ \rightarrow R \rightarrow 0$  small extension.

$h_R(R) \rightarrow (J/nJ) \otimes T_2 \cong \text{Hom}(T_2(R), T_2)$ .

id  $\rightarrow \varphi$

pf. By Artin-Rees,  $n^i nJ \subseteq nJ$  for sufficiently large  $i$ .

$0 \rightarrow (J+n^i)/n(J+n^i) \rightarrow S/n(J+n^i) \rightarrow S/(J+n^i) \rightarrow 0$

$(J+n^i)/n(J+n^i) \cong J/nJ + (n^i nJ) = J/nJ$

ob:  $h_R(S/(J+n^i)) \rightarrow (J/nJ) \otimes T_2 \cong \text{Hom}(T_2(R), T_2)$ .

proj  $\rightarrow \varphi$

Claim TFAE

1.  $T_2(R) \xrightarrow{\varphi} T_2$  is injective
2.  $\forall$  ideal  $V$  of  $S$  w/  $nJ+n^i \subseteq V \subseteq J+n^i$ , the image of proj under ob:  $h_R(S/J+n^i) \rightarrow ((J+n^i)/V) \otimes T_2$  is non-zero.
3.  $\forall$  ideal  $V$  of  $S$  w/  $nJ+n^i \not\subseteq V \subseteq J+n^i$ , there is no

by F-O theory

$S/J \rightarrow S/(J+n^i)$   
 $\downarrow$   
 $S/V \rightarrow S/(J+n^i)$



$$\begin{array}{ccc}
 S & \longrightarrow & S/J & \longrightarrow & S/(J+n^i) \\
 \psi \downarrow & & \downarrow & & \nearrow \\
 S & \longrightarrow & S/V & & \\
 & & & & \psi(J) \subseteq V \\
 & & & & \forall (s-s) \in J+n^i \quad \forall s \in S \\
 & & & & \text{if } g \in J \subseteq n^2, \psi(g) - g \in n(J+n^i) \\
 \text{So } & J+n^i \subseteq & \psi(J) + n(J+n^i) + n^i \subseteq & V + (nJ+n^i) = & V \\
 \text{But } & J+n^i \neq & V & & 
 \end{array}$$

Cor. Let  $D = h_R$ , and let  $T_1, T_2$  be a T-O theory for  $D$ .  
 Let  $d = \dim T_1, r = \dim T_2$ , then  $d \geq \dim(R) \geq d-r$ .  
 If  $\dim(R) = d-r$ , then  $R$  is a complete intersection.  
 If  $r=0$ , then  $R \cong k[[x_1, \dots, x_d]]$ .  
 pf.  $R = k[[x_1, \dots, x_d]]/J, J \subseteq n^2, \dim(J/nJ) = r$ .  
 So  $J$  has  $\leq r$  generators.

Defn. Let  $\alpha: F \rightarrow G$  be a morphism of deformation functors, we say  $\alpha$  is smooth if for all small exts  $0 \rightarrow M \rightarrow B \rightarrow A \rightarrow 0$ , the induced map  $F(B) \rightarrow F(A) \times_{G(A)} G(B)$  is surjective.

Defn. Let  $F$  be a deformation functor, and let  $R \in \text{loc}/k$ . We say that  $\alpha: h_R \rightarrow F$  is a pro-representable hull if  $\alpha$  is smooth and the induced map  $\alpha_*: h_R(k[[\epsilon]]/\epsilon^2) \rightarrow F(k[[\epsilon]]/\epsilon^2)$ .

Lemma. If  $F$  is a deformation functor,  $T_1, T_2$  a tangent-obstruction theory for  $F$ ,  $\alpha: h_R \rightarrow F$  pro-rep hull, then  $T_1, T_2$  also form a tangent-obstruction theory for  $h_R$ .

pf. For  $T_1$ , take  $0 \rightarrow (\epsilon)/(\epsilon^2) \rightarrow k[[\epsilon]]/\epsilon^2 \rightarrow k \rightarrow 0$ .

$$\begin{array}{ccccccc}
 0 & \longrightarrow & T_1(R) & \longrightarrow & T_1(R) & \longrightarrow & pt \longrightarrow 0 \\
 & & \downarrow \cong & & \downarrow \cong & & \downarrow \\
 0 & \longrightarrow & T_1(R) & \longrightarrow & T_1(F) & \longrightarrow & pt \longrightarrow 0
 \end{array}$$

For  $T_2$ : choose  $0 \rightarrow M \rightarrow B \rightarrow A \rightarrow 0$  small extn.

$$\begin{array}{ccccccc}
 T_1(R) \otimes_R M & \longrightarrow & h_R(B) & \longrightarrow & h_R(A) & \longleftarrow & \text{smooth implies exactness here.} \\
 \downarrow \cong & & \downarrow & & \downarrow & & \searrow \\
 T_1 \otimes_R M & \longrightarrow & F(B) & \longrightarrow & F(A) & \longrightarrow & T_2 \otimes_R M
 \end{array}$$

Thm (Schlessinger) Let  $D$  be a deformation functor that has a tangent-obstruction theory. Then  $D$  has a pro-rep hull.

Cor. A deform functor  $F$  w/ t-o theory.  $T_1, T_2$  is pro-rep iff  $\forall$  every  $0 \rightarrow M \rightarrow B \rightarrow A \rightarrow 0$  small.

$$0 \rightarrow T_1 \otimes_R M \rightarrow F(B) \rightarrow F(A) \rightarrow T_2 \otimes_R M \text{ is exact.}$$

pf. Let  $h_R \rightarrow F$  be a hull. Let  $B$  be the lowest dim'd  $\{B \mid h_R(B) \neq F(B)\}$

$$\begin{array}{ccccccc}
 \text{then } 0 & \longrightarrow & T_1 \otimes M & \longrightarrow & h_R(B) & \longrightarrow & h_R(A) \\
 & & \downarrow \cong & & \downarrow & & \downarrow \cong \\
 0 & \longrightarrow & T_1 \otimes M & \longrightarrow & F(B) & \longrightarrow & F(A)
 \end{array}$$

Prop. Any two pro-rep hull of  $F$  are isom.

$$\begin{array}{ccc}
 h_R(R'_n) \longrightarrow h_R(R'_{n-1}) & \text{gives } R \longrightarrow R' \\
 \downarrow & & \downarrow \\
 F(R'_n) \longrightarrow F(R'_{n-1}) & \text{conversely } R' \longrightarrow R \\
 \uparrow & & \uparrow \\
 h_{R'}(R'_n) \longrightarrow h_{R'}(R'_{n-1}) & & 
 \end{array}$$

Lemma auto-surj:  $R \rightarrow R$  of a Noeth. ring is an isom.

Schlessinger's paper /k field Art/k

Defn.  $F \rightarrow G$  of functors is smooth if  $\forall B \rightarrow A$  in Art/k,  $F(B) \rightarrow F(A) \times_{G(A)} G(B)$  is surjective

- Prop.
- (1)  $F \rightarrow G$  smooth, then  $F(A) \rightarrow G(A)$
  - (2)  $R \rightarrow S, h_R \rightarrow h_S$  is smooth iff  $S$  is  $\cong R[x_1, \dots, x_n]$
  - (3)  $F \rightarrow G \rightarrow H$ , both smooth  $\Rightarrow F \rightarrow H$  is smooth
  - (4)  $u: F \rightarrow G$  surj.,  $v: G \rightarrow H$ ,  $\forall u$  smooth  $\Rightarrow v$  smooth
  - (5)  $F \rightarrow G$  smooth, then  $F \times_G H \rightarrow H$  is smooth

pf of (2): if  $h_S \rightarrow h_R$  smooth,  $\mathfrak{r}(S)$  be max ideal in  $R(S)$ ,  $x_1, \dots, x_n$  be basis of  $\mathfrak{r}_S^* / R = S/(\mathfrak{r}_S^* + S)$ . Let  $T = R[x_1, \dots, x_n]$ . We get  $S \rightarrow T/(\mathfrak{r}_S^* + T)$ , then lift by smoothness to  $S \rightarrow T$  induce  $\mathfrak{r}_S^* / R \cong \mathfrak{r}_T^* / R$ , hence surjective. We ~~have~~ find have  $T \rightarrow S$ , induce  $\mathfrak{r}_T^* / R \cong \mathfrak{r}_S^* / R \dots$

Defn.  $(R, \xi)$  for  $F$  is a hull if  $h_R \rightarrow F$  is smooth, induce  $\mathfrak{r}_R \cong \mathfrak{r}_F$ .

Rmk if  $(R, \xi)$  is a hull of  $F, h_R \rightarrow h_k, R \cong k[x_1, \dots, x_n] \Leftrightarrow F \rightarrow h_k$  is smooth  $\Leftrightarrow F(B) \rightarrow F(A) \times_{F(A)} F(B)$  for all  $B \rightarrow A$ .

Thm (Schlessinger) Let  $F$  be a deformation functor.

$$\begin{array}{ccc} A' \times A'' = \{(a', a'') \mid \varphi(a') = \varphi(a'')\} & \xrightarrow{\varphi} & A'' \\ \downarrow & & \downarrow \varphi \\ F(A' \times A'') & \rightarrow & F(A) \times_{F(A)} F(A'') \\ \downarrow & & \downarrow \\ F(A') & \xrightarrow{\varphi} & A \end{array}$$

Then  $F$  has a hull iff  $H_1, H_2, H_3$  is surj. if  $A'' \rightarrow A$  ~~smooth~~

$H_2: \varphi$  is bij. if  $A'' = k[\epsilon]/(\epsilon^2), A = k$ .

$H_3: \dim_k(\mathfrak{r}_F) < \infty$ .

pro-represented iff  $(H_1) + (H_2) + H_3 \Leftrightarrow F(A' \times A'') \cong F(A) \times_{F(A)} F(A'')$  for all small  $A \rightarrow A$ .

Rmk ① T-O theory for  $F \Rightarrow (H_1) - (H_3): 0 \rightarrow M \rightarrow A' \rightarrow A \rightarrow 0$  gives

$$\begin{array}{ccccccc} 0 & \rightarrow & M & \rightarrow & A' & \rightarrow & A \rightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ T \otimes M & \rightarrow & F(A' \times A'') & \rightarrow & F(A) & \rightarrow & T \otimes M \\ & & \downarrow & & \downarrow & & \downarrow \\ T \otimes M & \rightarrow & F(A'') & \rightarrow & F(A) & \rightarrow & T \otimes M \end{array}$$

②  $H_4$  may be viewed in another way:  $0 \rightarrow I \rightarrow A' \xrightarrow{\varphi} A \rightarrow 0$  small extn.

$A' \times_A A' \cong A' \times_R k[I]$ . ( $k[I] = k \oplus I, I^2 = 0$ ).

$(x, y) \mapsto (x, x_0 + y - x)$ .  $H_2$

So we get  $F(A') \times (T \otimes I) \cong F(A' \times k[I]) \rightarrow F(A) \times_{F(A)} F(A')$ .

$H_4$  simply says  $T \otimes I \otimes F(A') \rightarrow F(A)$  is exact.

$H_4$  says  $T \otimes I$  action is transitive on fiber of  $F(\varphi)$ .

pf of thm Let  $t_1, \dots, t_r$  be a dual basis of  $\mathfrak{r}_F$ , let  $S = k[[t_1, \dots, t_r]]$ ,  $n = (t_1, \dots, t_r)$ . Let  $R_2 = S/\mathfrak{m}^2 \cong k[\epsilon] \times \dots \times k[\epsilon]$  ( $r$  times).  $\exists \xi_2 \in F(R_2)$  induces  $\mathfrak{r}_{R_2} (\cong \text{Hom}(R_2, k[\epsilon])) \cong \mathfrak{r}_F$ . Suppose we have found  $(R_i, \xi_i)$  where  $R_i = S/\mathfrak{J}_i$ . Let  $\mathfrak{J} = \{J \text{ ideal in } S \mid nJ_i \subseteq J \subseteq \mathfrak{J}_i, \xi_i \text{ lifts to } S/J\} \neq \emptyset$ .

Claim:  $\forall J, K \in \mathfrak{J}_{i+1}, J \cap K \in \mathfrak{J}_{i+1}$

we may enlarge  $J$ , s.t.  $J + K = \mathfrak{J}_i$  without changing  $J \cap K$ .

Then  $S/J \times_{S/\mathfrak{J}_i} S/K \cong S/(J \cap K)$ , hence the claim.

Let  $\mathfrak{J}_{i+1}$  be the ideal minimal among  $\mathfrak{J}_{i+1}$ . Let  $R_{i+1} = S/\mathfrak{J}_{i+1}$ , pick  $\xi_{i+1} \in F(R_{i+1})$  projects onto  $\xi_i$ .

Let  $(R = \varinjlim R_i, \xi = \varinjlim \xi_i)$ , claim:  $h_R \rightarrow F$  is smooth.

Let  $p: (A', \eta') \rightarrow (A, \eta)$ , small extn,  $A = A'/I$ , and  $w: (R, \xi) \rightarrow (A, \eta)$  be given. It suffices to lift  $w$  to  $w': R \rightarrow A'$  w/ 1-dim'l kernel.

is  $t_F \otimes I$  act transitively on  $F(p)^{-1}(\eta)$ , so given such  $u'$ , we may twist it.

Suppose  $u$  factors  $R \rightarrow R_0 \rightarrow A$ , it suffices to ~~take~~ <sup>complete</sup>  $R_0 \rightarrow A'$  or equivalently:

$$\begin{array}{ccc} R_{\geq 1} & \rightarrow & A' \\ \downarrow & & \downarrow p \\ R_0 & \rightarrow & A \end{array}$$

where  $w$  was chosen to make it commute.

$$\begin{array}{ccc} S & \xrightarrow{w} & R_0 \otimes A' \\ \downarrow & \searrow v & \downarrow p \\ R_{\geq 1} & \rightarrow & R_0 \end{array}$$

$p$  is either essential or has a section. 2nd case,  $\ker w \supseteq J_{\geq 1}$ , so  $v$  exists.

Defn.  $B \rightarrow A$  in  $\text{Art}/k$ ,  $p$  is essential if  $\forall q: C \rightarrow B$ ,  $p \circ q$  surj  $\Leftrightarrow q$  is surj.

Lemma.  $p: B \rightarrow A$ , then  $p$  is essential iff  $p_*: t_B^* \rightarrow t_A^*$  is an isom. (2) if  $p$  is a small extn, then  $p$  is not essential iff  $p$  has a section w/ 1-dim kernel.

e.g.  $k[[x, y]]/(xy)$  deform./k, a hull:  ~~$k[[t, x, y]]/(xy-t)$~~  which doesn't pre-represent it.

Example of T-O theory.

$X/k, Z \in X, I_Z, H_{X,Z}(A) = \{Z_A \in X_A^{\text{flat}}, w: Z_A \otimes k \xrightarrow{\sim} Z\}$  which has T-O theory,  $T_1 \cong \text{Hom}_{\mathcal{O}_X}(I_Z, \mathcal{O}_Z), T_2 \cong \text{Ext}_{\mathcal{O}_X}^1(I_Z, \mathcal{O}_Z)$

Setup.  $X/k, F \in \text{Coh}(X), 0 \rightarrow M \rightarrow B \rightarrow A \rightarrow 0, M^2=0$  in  $(\text{Art}/k)$ .

Defn. assume (e)  $0 \rightarrow S \rightarrow F \otimes_A^L A \rightarrow Q \rightarrow 0, Q \in \text{Coh}(X_A), \text{flat}/A$ . we say (e')  $0 \rightarrow S' \rightarrow F \otimes_B^L B \rightarrow Q' \rightarrow 0, Q' \in \text{Coh}(X_B), \text{flat}/B$  is an extn of (e) if (e')  $\otimes_B A = (e)$  as  $F \otimes_B^L B \otimes_B A = F \otimes_A^L A$

Lemma.  $Q' \in \text{Coh}(X_B)$ , then  $Q'$  is flat/B  $\Leftrightarrow Q' \otimes_B M \hookrightarrow Q'$  &  $Q' \otimes_B A$  is A-flat.

Thm. (a) (e) &  $B \rightarrow A \rightarrow 0 \xrightarrow{\sim} \text{ob}(e) \in \text{Ext}_{X_A}^1(S, Q \otimes_A M)$ . (b)  $\exists (e') \Leftrightarrow \text{ob}(e) = 0$ , if so  $\{(e')\}$  is a torsor under  $\text{Hom}_{X_A}(S, Q \otimes_A M)$

pf. (a) 
$$\begin{array}{ccccccc} 0 & & 0 & & 0 & & 0 \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \dots \rightarrow S \otimes_A M & \xrightarrow{\alpha} & S & \xrightarrow{\beta} & S & \rightarrow 0 & \\ \downarrow & \searrow & \downarrow & & \downarrow & & \downarrow \\ 0 \rightarrow F \otimes_B^L M & \rightarrow & F \otimes_B^L B & \rightarrow & F \otimes_B^L A & \rightarrow 0 & \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \dots \rightarrow Q \otimes_B M & \rightarrow & Q' & \xrightarrow{\beta} & Q & \rightarrow 0 & \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ 0 & & 0 & & 0 & & 0 \end{array}$$
  $\ker \beta \supseteq \text{Im } \alpha$   
 $\tilde{F} = \ker \beta / \text{Im } \alpha$   
 $0 \rightarrow Q \otimes_B M \rightarrow \tilde{F} \rightarrow S \rightarrow 0$   
 over  $X_A$ .

(b) so we get  $\text{ob}(e) \in \text{Ext}_{X_A}^1(S, Q \otimes_A M)$ .  
 (b)  $\text{ob}(e) = 0 \Leftrightarrow 0 \rightarrow Q \otimes_B M \rightarrow \tilde{F} \xrightarrow{\cong} S \rightarrow 0$ .  
 Let  $S' = \text{preimage in } F \otimes_B^L B \text{ of } z(S)$ .  
 sections  $\cong \text{Hom}_{X_A}(S, Q \otimes_A M)$ .

$S$  is  $A$ -flat, so  $S \cong S_0 \otimes_{m_A} S_0$  as it's somehow only  $k$ -map.

$$\begin{aligned} \text{Now } \text{Hom}_X(S, Q \otimes_A M) &\xrightarrow{\sim} \text{Hom}_X(S \otimes_A k, Q \otimes_A M) \xrightarrow{\sim} \text{Hom}_X(S|_k, Q|_k) \otimes_A M \\ \text{Ext}'_X(S, Q \otimes_A M) &\xrightarrow{\sim} \text{Ext}'_X(S \otimes_A k, Q \otimes_A M) \xrightarrow{\sim} \text{Ext}'_X(S|_k, Q|_k) \otimes_A M \end{aligned}$$

for  $\text{Ext}'$ 's, we may run spectral sequences, and as  $Q$  is  $A$ -flat, we win!

Def.  $X$  scheme/ $k$ ,  $F_0 \in \text{Coh}(X)$ ,  $S_0 \subseteq F_0$ ,  $Q_0 = F_0/S_0$ .  
 $D_{F_0, S_0}(A) = \{S \in \text{Coh}(X_A), S \hookrightarrow F_0 \otimes_A \rightarrow Q \rightarrow 0, \text{ s.t. } Q \text{ flat}/A, \text{ and } S \otimes_A k = S_0 \text{ thru } F_0 \otimes_A k \cong F_0\}$ .  
 which has T-O theory w/  $T_1 = \text{Hom}_{Q_0}(S_0, Q_0)$ ,  $T_2 = \text{Ext}'_X(S_0, Q_0)$ .

Thm If  $Z \subseteq X$ , local complete intersection, then  $H_{Z, X}$  has a T-O theory, w/  $T_i \cong H^{i-1}(Z, N_{Z/X})$  where  $N_{Z/X} \cong (\mathcal{I}_Z/\mathcal{I}_Z^2)^\vee$ .

## Several Picard Functors

$X$  finite type, separated  $\text{Pic}(X) = \{\text{invertible sheaf on } X\}$

$\downarrow$

$S$  Absolute Picard Functor  $\text{Pic}_X: \{S\text{-schemes}\} \rightarrow \text{Ab}$   
 $T \longmapsto \text{Pic}(X_T)$

Thm

(Grothendieck)

Let  $f: X \rightarrow S$  proj., flat, w/ geom. integral fibers. Then sheafified  $\text{Pic}_X$  in the étale topology is representable by a Scheme  $\underline{\text{Pic}}_{X/S}$  which is separated, locally finite type over  $S$ .

Let  $F$  be a presheaf on  $\{S\text{-schemes}\}$ .

Assume  $F(\cup T_i) = \prod F(T_i)$  (e.g.  $\text{Pic}_X$ ).

Let  $T' \rightarrow T$  a covering,  $H^0(T'/T, F) = \{s \in F(T') \mid \text{exists } T'' \xrightarrow{f} T' \times_T T'' \text{ s.t. } p^* p'^* s = p^* p''^* s\}$   
 $F^a(T) = \text{colim}_{T' \rightarrow T} H^0(T'/T, F)$

$\text{Pic}_X$  is not a sheaf under Zariski topology, worse, it's not separated. as  $f^*(\mathcal{L})$  is not trivial but locally trivial.

Defn So we define relative Picard Functor  $\text{Pic}_{X/S}: \{S\text{-schemes}\} \rightarrow \text{Ab}$

Let  $\text{Pic}_{X/S}(\text{zar, ét, fppf})$  be the corresponding sheafified sheaf  $T \longmapsto \text{Pic}(X_T) / f_T^* \text{Pic}(S_T)$

Prop.

They are also sheafification of  $\text{Pic}_X$ .

pf.

because differ by a line bundle downstairs won't matter after pulling back.

If  $A$  is a local ring, w/  $\text{Spec} A \rightarrow S$ .

Then  $\text{Pic}_X(\text{Spec} A) = \text{Pic}_{X/S}(\text{Spec} A)$  as  $\text{Pic}(\text{Spec} A) = 0$ .

and  $\text{Pic}_{X/S}(\text{Spec} A) = \text{Pic}_{X/S(\text{Zar})}(\text{Spec} A)$  as every Zar cover of  $\text{Spec} A$  can be refined by identity.

Example:  $\text{Pic}_{X/S(\text{Zar})} \rightarrow \text{Pic}_{X/S(\text{ét})}$  is not surj. in general  
 $X = \text{Proj } \mathbb{R}[u, v, w]_{(u^2+v^2+w^2)}$   $\text{Pic}_{X/S(\text{ét})}(\text{Spec } \mathbb{R}) = \mathbb{Z}$   
 $S = \text{Spec } \mathbb{R} \leftarrow \text{Spec } \mathbb{C}$   $\mathcal{O}(1)$  is not in the image!

Lemma. assume  $\mathcal{O}_S \cong f_* \mathcal{O}_X$ . then  $f^*$ : {locally free ~~coh~~ sheaves on  $S$ }  
 $\rightarrow$  {locally free coh. sheaves on  $X$ }  $F \mapsto f^* F$  is fully faithful  
 essential image is  $M$ , s.t. ①  $f_* M$  is locally free ~~coh~~ on  $S$   
 ②  $f^* f_* M \rightarrow M$  is an iso.

Thm (Comparison) Assume  $\mathcal{O}_S \xrightarrow{\sim} f_* \mathcal{O}_X$  holds universally:  
 ① Then the natural maps are inj.  
 $\text{Pic}_{X/S} \hookrightarrow \text{Pic}_{X/S(\text{Zar})} \hookrightarrow \text{Pic}_{X/S(\text{ét})} \hookrightarrow \text{Pic}_{X/S(\text{fppf})}$   
 ② all 3 are iso. if  $f$  has a section, latter 2 are iso. if  $f$  has a section Zar. locally, last map are isom if  $f$  has a section fppf locally

## Relative Effective Divisors (RED)

Defn.  $D \subseteq X$  is a closed subscheme,  $X/S$  is a rel. eff. div. if  $\mathcal{I}_D$  is a line bundle +  $\mathcal{O}_X/\mathcal{I}_D$  flat /  $S$ .

Lemma.  $D \subseteq X$  is a closed subscheme,  $x \in D$ ,  $s = f_w \in S$ , TFAE.

- (1)  $D$  is a RED at  $x$ .
- (2)  $X$  and  $D$  are  $S$ -flat at  $x$ , and  $D_s$  is an eff. divisor on  $X_s$  at  $x$ .
- (3)  $X$  is  $S$ -flat at  $x$ ,  $D$  is cut out at  $x$  by a nonzerodivisor on  $X_s$ , i.e.  $(f) \cap (m_{\mathcal{O}_{X,x}}) = 0$  where  $m \in \mathcal{O}_{S,s}$  the max'l ideal.

Defn.  $\text{Div}_{X/S}(T) := \{ \text{RED's } D \subseteq X_T/T \}$ .

Thm.  $X/S$  flat, proj.  
 $\text{Div}_{X/S}$  is represented by an open subscheme of  $\text{Hilb}_{X/S}$ .

Thm.  $f: X \rightarrow S$  proper,  $F \in \text{Coh}(X)$ , flat /  $S$ , then  $\exists Q \in \text{Coh}(S)$ ,  
 $\exists \varphi: \text{Hom}(Q, N) \xrightarrow{\sim} f_*(F \otimes f^* N)$ ,  $(Q, \varphi)$  is unique up to unique isom., commutes w/ base change  $T \rightarrow S$ ,  $s \in S$ , TFAE.

- (1)  $Q$  locally free (equivalently, projective).
- (2)  $N \mapsto f_*(F \otimes f^* N)$  is right exact.
- (3)  $\forall N$ ,  ~~$f_*(F) \otimes N \xrightarrow{\sim} f_*(F) \otimes f^* N$~~
- (4)  $H^0(X, F) \otimes k_s \rightarrow H^0(X_s, F_s)$ .

They are all implied by the following:

- (5)  $H^1(X_s, F_s) = 0$ .

## Constructing Picard Schemes

**Lemma**  $f: X \rightarrow S$  proper flat, geom. fibers are reduced and connected, then  $\mathcal{O}_S \xrightarrow{\sim} f_* \mathcal{O}_X$  holds universally.

**Defn.** Let  $\mathcal{L}$  be invertible on  $X$ ,  $\text{Lim}_{S \rightarrow T} \mathcal{L}/X/S(T) = \{ \text{RED's } D \text{ on } X_T/T \text{ st. } \mathcal{O}_{X_T}(D) \simeq \mathcal{L}_T \otimes f_T^* N \text{ for some invertible } N \text{ on } T \}$ .

**Thm** Assume  $X/S$  proper flat, geom. fibers are integral, let  $\mathcal{L}$  be inv. on  $X$ ,  $\mathcal{Q}$  associated to  $F := \mathcal{L}$ . Then  $\mathbb{P}(\mathcal{Q})$  represents  $\text{Lim}_{S \rightarrow T} \mathcal{L}/X/S$

*sketch pf:*  $D$  is determined by  $\sigma \in H^0(X_T, \mathcal{L}_T \otimes f_T^* N) \simeq \text{Hom}(\mathcal{Q}_T, N)$ .

$D$  is a RED  $\iff$  corresponding  $u: \mathcal{Q}_T \rightarrow N$  is surjective everywhere by a local argument. (and the fact  $\mathcal{Q}$  base changes).

**Thm.**  $S$  locally Noetherian,  $X \rightarrow S$  flat locally proj. (embedded in  $\mathbb{P}(\mathcal{V})$ ),  $f$  has geometrically integral fibers. Then  $\mathbb{P} = \underline{\text{Pic}}_{X/S, \text{ét}}$  is represented by a scheme  $\text{Pic}_{X/S}$  which is separated and locally finite type.

**Strategy:**  $\text{Pic}_{X/S}$  is disjoint union of open subschemes  $\text{Pic}_{X/S}^\phi$ , each  $\text{Pic}_{X/S}^\phi$  will be a union of quasi-proj. open subset  $\underline{\text{Pic}}_{X/S, m}^\phi$ .

**Lemma:** Let  $F: \mathcal{S}\text{-Sch}^{\text{aff}}, \text{ét} \rightarrow \text{Sets}$ ,  $F_i$  be a collection of subfunctors, st. ①  $F$  is a sheaf in Zariski topology

② each  $F_i$  is representable by  $X_i$

③ each  $F_i$  is an open subfunctor of  $F$ .

④  $F_i$ 's cover  $F$ : given  $\beta \in F(T)$ ,  $\exists$  étale refinement  $\coprod V_i \rightarrow T$ , st.  $\beta|_{V_i} \in F_i(V_i)$

Then  $F$  is rep. by  $\mathcal{S}\text{-Sch. } X$ ,  $X_i$ 's form an open cover

**Lemma**  $\mathbb{P}^\phi(T) := \{ \mathcal{L} \text{ on } X_T \mid \chi(\mathcal{L}_t) = \phi, \forall t \in T \} / f_T^* N$  is open in  $\mathbb{P}$ .

**Defn.**  $\mathbb{P}_m^\phi$  is the étale subsheaf associated to presheaf where  $T$  points are  $\mathcal{L} \in \mathbb{P}^\phi(T) \iff R^i f_{T,*} \mathcal{L}(n) = 0, \forall i \geq 1, n \geq m$ .

**Lemma**  $R^i f_{X,*} \mathcal{L}(n) = 0 \forall i \geq 1, n \geq m \iff H^i(X_t, \mathcal{L}_t(n)) = 0 \forall t, i \geq 1, n \geq m$



Lemma Let  $A$  be a noeth. local ring,  $\mathfrak{m}$  be max ideal.  $T: \text{Mod}_A \rightarrow \text{Ab, s.t.}$

1.  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0 \Rightarrow T(M') \rightarrow T(M) \rightarrow T(M'')$  exact
2. commute w/ inductive limit
3.  $T(M)$  finite gen. module  $\rightarrow$  f.g. abel. gp.
4.  $\widehat{T(M)} \cong \varprojlim T(M_n)$   $M_n = M/\mathfrak{m}^n M$ .
5.  $T(k) = 0$

Then  $T(M) = 0 \quad \forall M \in \text{Mod}_A$   
 Now Take  $T = R^i f_* (\mathcal{L})$

Lemma.  $P_m^\phi$  is open in  $P^\phi$  as it's cut out by vanishing of  $R^i f_* (\mathcal{L}(n))$ ,  
 by Serre's vanishing there are only finitely many of them & they are coherent.

$\phi_n(m) = \phi(m+n)$ ,  $P_m^\phi = P_0^{\phi_m}$ . Show  $P_0^\phi$  is representable by realizing it as  $Z \rightarrow P_0^\phi$ .

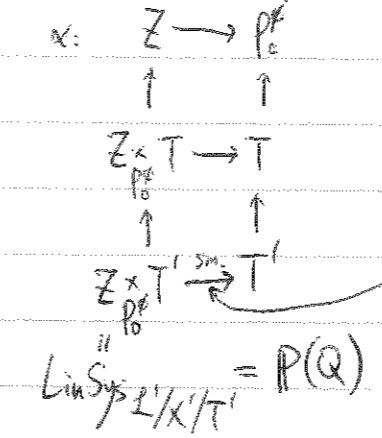
consider Abel map:  $\text{Div}_{X/S} \rightarrow P$ , Take  $Z = P_0^\phi \times_P \text{Div}_{X/S}$ .  
 $\alpha: Z \rightarrow P_0^\phi$  is given by universal  $\mathcal{L}$  on  $X_Z$

- Thm. Let  $Z \rightarrow P$  be a map of étale sheaves, s.t.
- (1)  $\alpha$  is surj. as étale sheaves
  - (2)  $Z$  is represented by  $q$ -proj.  $S$ -scheme
  - (3)  $Z \times_P Z = R$  is represented by  $S$ -scheme
  - (4)  $p: R \rightarrow Z$  is smooth flat.
- Then  $P$  is represented by a  $q$ -proj  $S$ -scheme &  $\alpha$  is smooth.

pf. by (1)  $R = (g \times 1)^* W \rightarrow W \subseteq \text{Hilb}_Z \times Z$   
 $\downarrow \quad \quad \quad \downarrow$   
 $Z \xrightarrow{g} \text{Hilb}_Z \quad \quad \quad \mathbb{P}^1 \subseteq W \subseteq \text{Hilb}_Z \times Z$

$S' \rightarrow S \quad H(S) \rightarrow H(S') \Rightarrow H(S' \otimes S)$   $H(S) = \text{closed subsch. of } \mathbb{P}^1_S$ .  
 let  $S' = W, S = \text{Hilb}$   
 check  $\mathbb{P}^1 \times_{\text{Hilb}} W = W \times_{\text{Hilb}} \mathbb{P}^1$ . So we represent  $P$  by subsch. of  $H$ .

check  $\alpha$  satisfies (1)-(4).



hence étale locally has section,  
 (1)  $\checkmark$   
 (2) is automatic  
 $\oplus$  if  $Z' = T$  we checked  
 (3) & (4).

General Grothendieck topos theory:  
 $F \rightarrow \mathcal{G}$  morphism of sheaves  
 $F \rightarrow \mathcal{G}$  is surj.  $\Leftrightarrow \mathcal{G}$  is a coeq. of  $F \otimes_{\mathcal{G}} F \rightrightarrows F$ .