\textbf{\textmu -CONSTANCY DOES NOT IMPLY CONSTANT BI-LIPSCHITZ TYPE}

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\textsc{Abstract.} We show that a family of isolated complex hypersurface singularities with constant Milnor number may fail, in the strongest sense, to have constant bi-Lipschitz type. Our example is the Briançon–Speder family

\[ X_t := \{(x,y,z) \in \mathbb{C}^3 \mid x^5 + z^{15} + y^7z + tx^6 = 0\} \]

of normal complex surface germs; we show the germ \((X_0,0)\) is not bi-Lipschitz homeomorphic with respect to the inner metric to the germ \((X_t,0)\) for \(t \neq 0\).

\section{Introduction}

Given a germ \((X,p)\) of a point of a complex analytic set, a choice of generators \(x_1, \ldots, x_N\) of its local ring gives an embedding of \((X,p)\) into \((\mathbb{C}^N,0)\). It then carries two induced metric space structures: the “outer metric” induced from distance in \(\mathbb{C}^N\) and the “inner metric” induced by arc-length of curves on \(X\). In the Lipschitz category each of these metrics is independent of choice of embedding: different choices give metrics for which the identity map is a bi-Lipschitz homeomorphism. These metric structures have so far seen much more study in real algebraic geometry than in the complex algebraic world. The inner metric, which is given by a Riemannian metric off the singular set, is the one that interests us most here. It is determined by the outer metric, so germs that are distinguished by their inner metrics are certainly distinguished by their outer ones.

It is easy to see that two complex curve germs with the same number of components are bi-Lipschitz equivalent (inner metric). So for curve germs bi-Lipschitz geometry is equivalent to topology. This is even so for outer bi-Lipschitz geometry of plane curves: two germs of algebraic curves in \(\mathbb{C}^2\) are bi-Lipschitz homeomorphic for the outer metric if and only if they are topologically equivalent as embedded germs (Teissier–Pham [11], Fernandes [9])

We show here that for complex surface germs the picture is very different. Our main result (announced in [3]) is that in the Briançon–Speder family

\[ X_t := \{(x,y,z) \in \mathbb{C}^3 \mid x^5 + z^{15} + y^7z + tx^6 = 0\} \]

of germs of algebraic complex surfaces in \(\mathbb{C}^3\), which are of constant embedded topological type, \(X_0\) and \(X_t\) for \(t \neq 0\) are not bi-Lipschitz homeomorphic, even for the inner metric. In particular, \(\mu\)-constancy does not imply bi-Lipschitz equisingularity.

The criterion we use is the existence of so-called \textit{separating sets}. A separating set of a complex surface germ \((X,p)\) is a “thin” 3-dimensional subset \(Y\) through \(p\) which separates \(X\) into two “fat” subsets (precise definitions are below). The

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existence or non-existence of separating sets is a bi-Lipschitz invariant, and it turns out that the existence of a separating set is a fairly common thing in the geometry of complex algebraic surfaces. For example, $A_k$-singularities were shown to have separating sets for $k > 1$ and odd in [1] (Theorem 3.1 below is more general). We prove that in the Briançon-Speder family $X_t$ has a separating set when $t \neq 0$ and does not have a separating set when $t = 0$.

2. Separating sets

Let $V \subset \mathbb{R}^n$ be a $k$-dimensional rectifiable subset. Recall that the inferior and superior $k$–densities of $V$ at the point $x_0 \in \mathbb{R}^n$ are defined by:

$$\Theta_k^k(V,x_0) = \lim_{\epsilon \to 0^+} \inf \frac{\mathcal{H}^k(V \cap \epsilon B(x_0))}{\eta \epsilon^k}$$
and

$$\Theta_k^\ast(V,x_0) = \lim_{\epsilon \to 0^+} \sup \frac{\mathcal{H}^k(V \cap \epsilon B(x_0))}{\eta \epsilon^k},$$

where $\epsilon B(x_0)$ is the $n$–dimensional ball of radius $\epsilon$ centered at $x_0$, $\eta$ is the volume of the $k$–dimensional unit ball and $\mathcal{H}^k$ is $k$–dimensional Hausdorff measure in $\mathbb{R}^n$. If

$$\Theta_k^k(V,x_0) = \theta = \Theta_k^\ast(V,x_0),$$

then $\theta$ is called the $k$–dimensional density of $V$ at $x_0$ (or simply $k$–density at $x_0$).

Remark 2.1. Recall [8, 10] that if $V \subset \mathbb{R}^n$ is a semialgebraic subset, then the above two limits are equal and the $k$–density of $V$ is well defined for any point of $\mathbb{R}^n$.

Definition 2.2. Let $X \subset \mathbb{R}^n$ be a $k$-dimensional semialgebraic set and let $x_0 \in X$ be a point such that the $k$–density of $X$ at $x_0$ is positive. A $(k-1)$–dimensional rectifiable subset $Y \subset X$ such that $x_0 \in Y$ is called a separating set of $X$ at $x_0$ if (see Fig. 1)

- for some small $\epsilon > 0$ the subset $(\epsilon B(x_0) \cap X) \setminus Y$ has at least two connected components $A$ and $B$,
- the $(k-1)$–density of $Y$ at $x_0$ is zero,
- the inferior $k$–densities of $A$ and $B$ at $x_0$ are nonzero.

![Figure 1. Separating set](image-url)
Proposition 2.3 (Lipschitz invariance of separating sets). Let $X$ and $Z$ be two real semialgebraic sets. If there exists a bi-Lipschitz homeomorphism of germs $F: (X, x_0) \to (Z, z_0)$ with respect to the inner metric, then $X$ has a separating set at $x_0 \in X$ if and only if $Z$ has a separating set at $z_0 \in Z$.

Proof. The result would be immediate if separating sets were defined in terms of the inner metrics on $X$ and $Z$. So we must show that separating sets can be defined this way.

Let $X \subset \mathbb{R}^n$ be a connected semialgebraic subset. Consider the set $X$ equipped with the inner metric and with the Hausdorff measure $\mathcal{H}_k^X$ associated to this metric. Let $V \subset X$ be a $k$-dimensional rectifiable subset. We define the inner inferior and superior densities of $V$ at $x_0 \in X$ with respect to inner metric on $X$ as follows:

$$\Theta^k(X, V, x_0) = \liminf_{\epsilon \to 0^+} \frac{\mathcal{H}_k^X(V \cap \epsilon B_X(x_0))}{\eta \epsilon^k}$$

and

$$\overline{\Theta}^k(X, V, x_0) = \limsup_{\epsilon \to 0^+} \frac{\mathcal{H}_k^X(V \cap \epsilon B_X(x_0))}{\eta \epsilon^k},$$

where $\epsilon B_X(x_0)$ denotes the closed ball in $X$ (with respect to the inner metric) of radius $\epsilon$ centered at $x_0$. The fact that separating sets can be defined using the inner metric now follows from the following proposition, completing the proof. □

Proposition 2.4. Let $X \subset \mathbb{R}^n$ be a semialgebraic connected subset. Let $V \subset X$ be a $k$-dimensional rectifiable subset and $x_0 \in X$. Then, there exist two positive constants $\kappa_1$ and $\kappa_2$ such that:

$$\kappa_1 \Theta^k(X, V, x_0) \leq \Theta^k(V, x_0) \leq \kappa_2 \Theta^k(X, V, x_0)$$

and

$$\kappa_1 \overline{\Theta}^k(X, V, x_0) \leq \overline{\Theta}^k(V, x_0) \leq \kappa_2 \overline{\Theta}^k(X, V, x_0).$$

Proof. This follows immediately from the Kurdyka’s “Pancake Theorem” ([6], [5]) which says that if $X \subset \mathbb{R}^n$ is a semialgebraic subset then there exists a finite semialgebraic partition $X = \bigcup_{i=1}^l X_i$ such that each $X_i$ is a semialgebraic connected set whose inner metric and Euclidean metric are bi-Lipschitz equivalent. □

The following proposition shows, among other things, that the germ of an isolated complex singularity which has a separating set cannot be metrically conical, i.e., bi-Lipschitz homeomorphic to the metric cone on its link.

Proposition 2.5. Let $(X, x_0)$ be a $(n+1)$-dimensional metric cone whose base is a compact connected Lipschitz manifold (possibly with boundary). Then, $X$ does not have a separating set at $x_0$.

Proof. Let $M$ be an $n$-dimensional compact connected Lipschitz manifold with boundary. For convenience of exposition we will suppose that $M$ is a subset of the Euclidean sphere $S^{k-1} \subset \mathbb{R}^k$ centered at $0$ and with radius $1$ and $X$ the cone over $M$ with vertex at the origin $0 \in \mathbb{R}^k$. Suppose that $Y \subset X$ is a separating set, so $X \setminus Y = A \cup B$ with $A$ and $B$ open in $X \setminus Y$; the $n$–density of $Y$ at $0$ is equal to zero and the inferior $(n+1)$–densities of $A$ and $B$ at $0$ are unequal to zero. In particular, there exists $\xi > 0$ such that these inferior densities of $A$ and $B$ at $0$ are bigger than $\xi$. For each $t > 0$, let $\rho_t: X \cap tD^k \to X$ be the map $\rho_t(x) = \frac{1}{t}x$, where $tD^k$ is the
ball about $0 \in \mathbb{R}^k$ of radius $t$. Denote $Y_i = \rho_t(Y \cap tD^k)$, $A_i = \rho_t(A \cap tD^k)$ and $B_i = \rho_t(B \cap tD^k)$. Since the $n$-density of $Y$ at $0$ is equal to zero, we have:

$$\lim_{t \to 0^+} \mathcal{H}^n(Y_i) = 0.$$ 

Also, since the inferior densities of $A$ and $B$ at $0$ are bigger than $\xi$, we have that $\mathcal{H}^{n+1}(A_i) > \xi$ and $\mathcal{H}^{n+1}(B_i) > \xi$ for all sufficiently small $t > 0$.

Let $r$ be a radius such that $X \cap rD^k$ has volume $\leq \xi/2$ and denote by $X', A'_i, B'_i$, $Y'_i$ the result of removing from $X$, $A_i$, $B_i$, $Y_i$ the intersection with the interior of the ball $rB^k$. Then $X'$ is a Lipschitz $(n+1)$-manifold (with boundary), $A'_i$ and $B'_i$ subsets of $(n+1)$-measure $> \xi/2$ separated by $Y'_i$ of arbitrarily small $n$-measure.

The following lemma then gives the contradiction to complete the proof. □

**Lemma 2.6.** Let $X'$ be a $(n+1)$-dimensional compact connected Lipschitz manifold with boundary. Then, for any $\xi > 0$ there exists $\epsilon > 0$ such that if $Y' \subset X'$ is a $n$-dimensional rectifiable subset with $\mathcal{H}^n(Y') < \epsilon$, then $X' \setminus Y'$ has a connected component $A$ of $(n+1)$-measure exceeding $\mathcal{H}^{n+1}(X') - \xi/2$ (so any remaining components have total measure $< \xi/2$).

**Proof.** If $X'$ is bi-Lipschitz homeomorphic to a cube then this follows from standard isoperimetric inequalities (see, e.g., [4]), but this is a heavier tool than necessary (and we could not find the exact statement we need in the literature), so we give an elementary proof in section 5. In any case, we assume this case for now.

Let $\{T_i\}_{i=1}^m$ be a cover of $X'$ by subsets which are bi-Lipschitz homeomorphic to cubes and such that

$$T_i \cap T_j \neq \emptyset \Rightarrow \mathcal{H}^n(T_i \cap T_j) > 0.$$ 

Without loss of generality we may assume

$$\frac{\xi}{m} < \min\{\mathcal{H}^n(T_i \cap T_j) \mid T_i \cap T_j \neq \emptyset\}.$$ 

Since $T_i$ is bi-Lipschitz homeomorphic to a cube there exists $\epsilon_i$ satisfying the conclusion of this lemma for $\xi/m$. Let $\epsilon = \min(\epsilon_1, \ldots, \epsilon_m)$. So if $Y' \subset X'$ is a $n$-dimensional rectifiable subset such that $\mathcal{H}^{n+1}(Y') < \epsilon$, then for each $i$ the largest component $A_i$ of $T_i \setminus Y'$ has complement $B_i$ of measure $< \xi/2m$.

We claim $\bigcup_{i=1}^m A_i$ is connected. It suffices to show that

$$T_i \cap T_j \neq \emptyset \Rightarrow A_i \cap A_j \neq \emptyset.$$ 

So suppose $T_i \cap T_j \neq \emptyset$. Then $B_i \cup B_j$ has measure less than $\xi$, which is less than $\mathcal{H}^n(T_i \cap T_j)$, so $T_i \cap T_j \not\subseteq B_i \cup B_j$. This is equivalent to $A_i \cap A_j \neq \emptyset$.

Thus there exists a connected component $A$ of $X' \setminus Y'$ which contains $\bigcup_{i=1}^m A_i$. Its complement $B$ is a subset of $\bigcup_{i=1}^m B_i$ and thus has measure less than $\xi/2$. □

### 3. Separating sets in normal surface singularities

**Theorem 3.1.** Let $X \subset \mathbb{C}^3$ be a weighted homogeneous algebraic surface with respect to the weights $w_1 \geq w_2 > w_3$ and with an isolated singularity at $0$. If $(X \setminus \{0\}) \cap \{z = 0\}$ is not connected, then $X$ has a separating set at $0$.

**Example 3.2.** This theorem applies to the Brieskorn singularity

$$X(p,q,r) := \{(x,y,z) \in \mathbb{C}^3 \mid x^p + y^q + z^r = 0\}$$

if $p \leq q < r$ and $\gcd(p,q) > 1$. In particular it is not metrically conical. This was known for a different reason by [2]: a weighted homogeneous surface singularity (not
necessarily hypersurface) whose two lowest weights are distinct is not metrically conical.

**Proof of Theorem 3.1.** Take $\epsilon$ small enough that the intersection $V \cap \epsilon S^5$ is transverse and gives the singularity link. Let $\hat{A}, \hat{B} \subset (V \cap \epsilon S^5) \cap \{z = 0\}$ be two semialgebraic closed subsets such that $\hat{A} \cap \hat{B} = \emptyset$. Let $\hat{M}$ be the conflict set of $\hat{A}$ and $\hat{B}$ on $\epsilon S^5$, i.e.,

$$\hat{M} = \{p \in \epsilon S^5 \mid d(p, \hat{A}) = d(p, \hat{B})\},$$

where $d(\cdot, \cdot)$ is the standard metric on $\epsilon S^5$ (euclidean metric in $\mathbb{C}^3$ gives the same set). Clearly, $\hat{M}$ is a compact semialgebraic subset and there exists $\delta > 0$ such that $d(\hat{M}, \{z = 0\}) > \delta$. Let $M = \mathbb{C}^* \hat{M} \cup \{0\}$ (the closure of the union of $\mathbb{C}^*$-orbits through $\hat{M}$). Note that the $\mathbb{C}^*$-action restricts to a unitary action of $S^1$, so the construction of $\hat{M}$ is invariant under the $S^1$-action, so $M = \mathbb{R}^* \hat{M}$, and is therefore 3-dimensional. It is semi-algebraic by the Tarski-Seidenberg theorem. We will use the weighted homogeneous property of $M$ to show $\dim(T_0 M) \leq 2$, where $T_0 M$ denotes the tangent cone of $M$ at 0, from which will follow that $M$ has zero 3-density. In fact, we will show that $T_0 M \subset \{x = 0, y = 0\}$.

Let $T: \hat{M} \times [0, +\infty) \to M$ be defined by:

$$T((x, y, z), t) = (t^{\frac{w_1}{w_3}} x, t^{\frac{w_2}{w_3}} y, t z).$$

Clearly, the restriction $T|_{\hat{M} \times (0, +\infty)}: \hat{M} \times (0, +\infty) \to M \setminus \{0\}$ is a bijective semi-algebraic map. Let $\gamma: [0, \epsilon) \to M$ be a semianalytic arc; $\gamma(0) = 0$ and $\gamma'(0) \neq 0$. We consider $\phi(s) = T^{-1}(\gamma(s))$ for all $s \neq 0$. Since $\phi$ is a semialgebraic map and $M$ is compact, $\lim_{s \to 0} \phi(s)$ exists and belongs to $M \times \{0\}$. For the same reason, $\lim_{s \to 0} \phi'(s)$ also exists and is nonzero. Therefore, the arc $\phi$ can be extended to $\phi: [0, \epsilon) \to \hat{M} \times [0, +\infty)$ such that $\phi(0) \in \hat{M} \times \{0\}$ and $\phi'(0)$ exists and is nonzero. We can take the $[0, \infty)$ component of $\phi$ as parameter and write $\phi(t) = ((x(t), y(t), z(t), t))$. Then $\gamma(t) = (t^{w_1/w_3} x(t), t^{w_2/w_3} y(t), t z(t))$, so

$$\lim_{t \to 0^+} \frac{\gamma(t)}{t} = \left(\lim_{t \to 0} t^{\frac{w_1}{w_3}} x(t), \lim_{t \to 0} t^{\frac{w_2}{w_3}} y(t), \lim_{t \to 0} z(t)\right) = (0, 0, z(0)).$$

This is a nonzero vector in the set $\{x = 0, y = 0\}$, so we obtain that $T_0 \subset \{x = 0, y = 0\}$.

Since $M$ is a 3-dimensional semialgebraic set and $\dim(T_0 M) \leq 2$, we obtain that the 3-dimensional density of $M$ at 0 is equal to zero ([8, 10]).

Now, we have the following decomposition:

$$V \setminus M = A \cup B,$$

where $\hat{A} \subset A$, $\hat{B} \subset B$, $A$ and $B$ are $\mathbb{C}^*$-invariant and $A \cap B = \emptyset$. Since $A$ and $B$ are semialgebraic sets, the 4-densities $\text{density}_4(A, 0)$ and $\text{density}_4(B, 0)$ are defined. We will show that these densities are nonzero. It is enough to prove that $\dim_2(T_0 A) = 4$ and $\dim_2(T_0 B) = 4$. Let $\Gamma \subset A$ be a connected component of $A \cap \{z = 0\}$. Note that $\bar{\Gamma} = \Gamma \cup \{0\}$ is a complex algebraic curve. We will show that $T_0 A$ contains the set $\{(x, y, v) \mid (x, y, 0) \in \bar{\Gamma}, v \in \mathbb{C}\}$ if $w_1 = w_2$ (note that $\bar{\Gamma}$ is the line through $(x, y, 0)$ in this case) or either the $y$–$z$ plane or the $x$–$z$ plane if $w_1 < w_2$. 
Given a smooth point \((x, y, 0) \in \Gamma\) and \(v \in \mathbb{C}\), we may choose a smooth arc \(\gamma: [0, \epsilon) \to A\) of the form \(\gamma(t) = (\gamma_1(t), \gamma_2(t), t^{\mu_3}(t))\) with \((\gamma_1(0), \gamma_2(0)) = (x, y)\) and \(\gamma_3(0) = v\). Then, using the \(\mathbb{R}^*\)-action, we transform this arc to the arc \(\phi(t) = t^j \gamma(t)\) with \(j\) chosen so \(jw_3 + m = jw_2\). Now \(\phi(t) = (t^{w_1} \gamma_1(t), t^{w_2} \gamma_2(t), t^{w_2} \gamma_3(t))\) is a path in \(A\) starting at the origin. Its tangent vector \(\rho\) at \(t = 0\),

\[
\rho = \lim_{t \to 0^+} \frac{\phi(t)}{t^{w_2}},
\]

is \(\rho = (x, y, v)\) if \(w_1 = w_2\) and \(\rho = (0, y, v)\) if \(w_1 > w_2\). If \(w_1 > w_2\) and \(y = 0\) then the same argument, but with \(j\) chosen with \(jw_3 + m = jw_1\), gives \(\rho = (x, 0, v)\). This proves our claim and completes the proof that \(T_0A\) has real dimension 4. The proof for \(T_0B\) is the same. \(\square\)

4. The Briançon-Speder example

For each \(t \in \mathbb{C}\), let \(X_t = \{(x, y, z) \in \mathbb{C}^3 \mid x^5 + z^{15} + y^7z + txy^6 = 0\}\). This \(X_t\) is weighted homogeneous with respect to weights \((3, 2, 1)\) and has an isolated singularity at \(0 \in \mathbb{C}^3\).

**Theorem 4.1.** \(X_t\) has a separating set at 0 if \(t \neq 0\) but does not have a separating set at 0 if \(t = 0\).

**Proof.** Note that for \(t \neq 0\) Theorem 3.1 applies, so \(X_t\) has a separating set. So from now on we take \(t = 0\). Denote \(X := X_0\). In the following, for each sufficiently small \(\epsilon > 0\), we use the notation

\[
X^\epsilon = \{(x, y, z) \in X \mid |y| \leq |z| \leq \frac{1}{\epsilon} |y|\}.
\]

We need a lemma.

**Lemma 4.2.** \(X^\epsilon\) is metrically conical at the origin with connected link.

**Proof.** Note that the lemma makes a statement about the germ of \(X^\epsilon\) at the origin. We will restrict to the part of \(X^\epsilon\) that lies in a suitable closed neighborhood of the origin.

Let \(P: \mathbb{C}^3 \to \mathbb{C}^2\) be the orthogonal projection \(P(x, y, z) = (y, z)\). The restriction \(P_X\) of \(P\) to \(X\) is a 5-fold cyclic branched covering map branched along \(\{(y, z) \mid z^{15} + y^7z = 0\}\). This is the union of the \(y\)-axis in \(\mathbb{C}^2\) and the seven curves \(y = \zeta z^2\) for \(\zeta\) a 7-th root of unity. These seven curves are tangent to the \(z\)-axis.

Let

\[
C^\epsilon = \{(y, z) \in \mathbb{C}^2 \mid |y| \leq |z| \leq \frac{1}{\epsilon} |y|\}.
\]

Notice that no part of the branch locus of \(P_X\) with \(|z| < \epsilon\) is in \(C^\epsilon\). In particular, if \(D\) is a disk in \(\mathbb{C}^2\) of radius \(\epsilon\) around 0, then the map \(P_X\) restricted to \(C^\epsilon\) has no branching over this disk. We choose the radius of \(D\) to be \(\epsilon/2\) and denote by \(Y\) the part of \(C^\epsilon\) whose image lies inside this disk. Then \(Y\) is a covering of \(C^\epsilon \cap D\), and to complete the proof of the lemma we must show it is a connected covering space and that the covering map is bi-Lipschitz.

Since it is a Galois covering with group \(\mathbb{Z}/5\), to show it is a connected cover it suffices to show that there is a closed curve in \(C^\epsilon \cap D\) which does not lift to a closed curve in \(Y\). Choose a small constant \(c \leq \epsilon/4\) and consider the curve \(\gamma: [0, 1] \to C^\epsilon \cap D\) given by \(\gamma(t) = (c e^{2\pi it}, c)\). A lift to \(Y\) has \(x\)-coordinate \((c^{15} + c^8 e^{14\pi it})^{1/5}\),
which starts close to $c^{8/5}$ (at $t = 0$) and ends close to $c^{8/5}e^{(14/5)\pi i}$ (at $t = 1$), so it is not a closed curve.

To show that the covering map is bi-Lipschitz, we note that locally $Y$ is the graph of the implicit function $(y, z) \mapsto x$ given by the equation $x^5 + z^{15} + y^7 z = 0$, so it suffices to show that the derivatives of this implicit function are bounded. Implicit differentiation gives

$$\frac{\partial x}{\partial y} = -\frac{7y^6 z}{5x^4}, \quad \frac{\partial x}{\partial z} = -\frac{15z^{14} + y^7}{5x^4}.$$ 

It is easy to see that there exists $\lambda > 0$ such that

$$|15z^{14} + y^7| \leq \lambda |z|^{14}, \quad |y^7| \leq \lambda |z|^{14} + y^7| \text{ and } |15z^{14} + y^7| \leq \lambda |z|^{14} + y^7|,$$

for all $(y, z) \in C^\epsilon \cap D$. We then get

$$\left| \frac{\partial x}{\partial y} \right|^5 \leq \frac{7^5 |y^{30} z^5|}{5^5 |z^{14} + y^7|^4 |z|^4} \leq \frac{7^5 \lambda^4 A^4 |y^2 z|}{5^5 2^3} < \frac{7^5 \lambda^4 A}{5^5 2^3},$$

and

$$\left| \frac{\partial x}{\partial z} \right|^5 = \frac{|15z^{14} + y^7|^5}{5^5 |z^{14} + y^7|^4 |z|^4} \leq \frac{\lambda^5}{5^5},$$

completing the proof. □

We now complete the proof of Theorem 4.1. Let us suppose that $X$ has a separating set. Let $A, B, Y \subset X$ be subsets satisfying:

- for some small $\epsilon > 0$ the subset $[\epsilon B(x_0) \cap X] \setminus Y$ is the union of relatively open subsets $A$ and $B$,
- the 3-dimensional density of $Y$ at 0 is equal to zero,
- the 4-dimensional inferior densities of $A$ and $B$ at 0 are unequal to zero.

Set 

$$N^\epsilon = \{(x, y, z) \in C^3 \mid |z| \leq \epsilon |y| \text{ or } |y| \leq \epsilon |z|\}.$$ 

For each subset $H \subset C^3$ we denote 

$$H^\epsilon = H \cap [C^3 \setminus N^\epsilon].$$

In this step, it is valuable to observe that there exists a positive constant $K$ (independent of $\epsilon$) such that

$$H^4(X \cap N^\epsilon \cap B(0, r)) \leq Kr^4$$

for all $0 < r \leq 1$ (see, e.g., Comte-Yomdin [7], chapter 5). By definition, the 4-dimensional inferior density of $A$ at 0 is equal to

$$\lim \inf_{r \to 0^+} \left( \frac{H^4(A^\epsilon \cap B(0, r))}{r^4} + \frac{H^4(A \cap N^\epsilon \cap B(0, r))}{r^4} \right)$$

Then, if $\epsilon > 0$ is sufficiently small, we can use inequality (1) in order to show that the 4-dimensional inferior density of $A^\epsilon$ is a positive number. In a similar way, we can show that if $\epsilon > 0$ is sufficiently small, then the 4-dimensional inferior density of $B^\epsilon$ at 0 is a positive number. These facts are enough to conclude that $Y^\epsilon$ is a separating set of $X^\epsilon$. But in view of Lemma 4.2 this contradicts Proposition 2.5. □
Lemma 5.1. Let \( n \) be the unit square and \( Y \subset K \) a 1-dimensional rectifiable subset such that \( K \setminus Y \) is not connected. Suppose \( \delta := \mathcal{H}^1(Y) \) is < 1. Then a component of \( K \setminus Y \) has area \( \geq 1 - \frac{\delta^2}{\pi} \) and this bound is optimal.

Proof. \( Y \) cannot meet two opposite sides of \( K \) since its length is < 1. Without loss of generality suppose that \( Y \) does not meet the sides \( x = 1 \) and \( y = 1 \). Then a component of \( K \setminus Y \) meets these two sides. Let \( A \) be the complement of this component; we must show \( \mathcal{H}^2(A) \leq \frac{\delta^2}{\pi} \). Reflect in the other two sides of \( K \) to obtain \( \hat{A} := \{ (x, y) : (|x|, |y|) \in A \} \subset \mathbb{R}^2 \). Then \( \hat{A} \) is a union of bounded components of \( \mathbb{R}^2 \setminus \hat{Y} \) where \( \hat{Y} := \{ (x, y) : (|x|, |y|) \in Y \} \) has length \( 4\delta \). By the plane isoperimetric theorem, the area \( \mathcal{H}^2(A) \) is maximized if \( X \) is a disk of radius \( \frac{2\delta}{\pi} \) and area \( \frac{4\delta^2}{\pi} \). Thus \( A \) has area at most \( \frac{\delta^2}{\pi} \).

(A more elementary argument gives the non-optimal bound \( 1 - \delta^2 \): If \( X_x \) and \( Y_y \) are the projections of \( Y \) to the \( x \) and \( y \)-axes, then \( [0, 1]^2 \setminus (X_x \times Y_y) \) is a connected set that does not meet \( Y \) and the area of \( X_x \times Y_y \) is at most \( 1 - \delta^2 \).)

There does not seem to be a similar proof in higher dimension since, for \( n \geq 3 \), an \((n - 1)\)-dimensional set \( Y \subset K := [0, 1]^n \) can have arbitrarily small measure while still meeting every face of \( K \). Moreover the boundary of component of \( K \setminus Y \) may involve parts of faces of \( K \) that do not lie in the projection of \( Y \) to that face, and are therefore hard to bound in terms of the measure of \( Y \).

We present an elementary version in higher dimensions, but with non-optimal bounds. Define \( P_n(z) = 1 - z^2 \), and for \( n \geq 3 \) define

\[
P_n(z) := (1 - \sqrt{z})P_{n-1}(\sqrt{z}).
\]

Then \( P_n(z) \) decreases monotonically from 1 to 0 as \( z \) goes from 0 to 1.

For \( n \geq 2 \) let \( \epsilon_n \) be the real number in \([0, 1]\) such that \( P_n(\epsilon_n) = \frac{3}{4} \). Since \( P_n(\epsilon_n^2) = (1 - \epsilon_{n-1})P_{n-1}(\epsilon_{n-1}) < \frac{3}{4} = P_n(\epsilon_n) \), we have that

\[
\epsilon_n < \epsilon_{n-1}^2 \quad \text{for } n \geq 3.
\]

If we define \( \epsilon_1 = 1 \) this holds also for \( n = 2 \).

Proposition 5.2. Let \( K = [0, 1]^n \subset \mathbb{R}^n \) be the unit cube. Given an \((n - 1)\)-dimensional rectifiable closed subset \( Y \subset K \) such that \( \mathcal{H}^{n-1}(Y) \leq \epsilon_{n-1} \), there exists a connected component \( Z \) of \( K \setminus Y \) with \( \mathcal{H}^n(Z) \geq P_n(\mathcal{H}^{n-1}(Y)) \).

Proof. We proceed by induction on \( n \). The case \( n = 2 \) is Lemma 5.1. We assume the proposition proven for \( n \) and consider the case \( n + 1 \). So let \( K = [0, 1]^{n+1} \) and \( Y \subset K \) be a \( n \)-dimensional rectifiable closed subset such that \( \mathcal{H}^n(Y) < \epsilon_n \). We consider coordinates \((x_1, \ldots, x_{n+1}) \in [0, 1]^{n+1}\). Denote \( y := \mathcal{H}^n(Y) \) and for any subset \( C \subset [0, 1]^{n+1} \), denote \( C_t := C \cap \{ x_{n+1} = t \} \). We define

\[
A = \{ t \in [0, 1] \mid \mathcal{H}^{n-1}(Y_t) \leq y \}.
\]
Clearly, $\mu(A) \geq 1 - \sqrt{y}$, where $\mu$ denotes the Lebesgue measure on $[0, 1]$. For $t \in A$, $Y_t$ is an $(n - 1)$-dimensional rectifiable closed subset of the unit $n$-cube $K_t$ such that

$$
\mathcal{H}^{n-1}(Y_t) \leq \sqrt{y} \\
\leq \sqrt{\epsilon_n} \\
< \epsilon_{n-1}
$$

By induction hypothesis, there exists a connected component $Z_t$ of $K_t \setminus Y_t$ such that

$$
(2) \quad \mathcal{H}^{n}(Z_t) \geq n(\mathcal{H}^{n-1}(Y_t)) \geq P_n(\sqrt{y})
$$

We will show that there exists a connected component $B$ of $K \setminus Y$ containing the set $Z = \bigcup_{t \in A} Z_t$. In fact, given $t_1, t_2 \in A$, since $\mathcal{H}^{n}(Z_{t_1})$ and $\mathcal{H}^{n}(Z_{t_2})$ are $\geq \frac{2}{n}$ (here we use our particular choice of $\epsilon_n$) and $\mathcal{H}^{n}(Y) < \frac{1}{2}$, there exists a vertical segment $\{(x_1, \ldots, x_n, t) \in K \mid t \in [0, 1]\}$ which does not intersect $Y$, for which $(x_1, \ldots, x_n, t_1) \in Z_{t_1}$ and $(x_1, \ldots, x_n, t_2) \in Z_{t_2}$. Since $Z_t$ is a connected subset of $K_t \setminus Y_t$, there exists a connected component $B$ of $K \setminus Y$ containing the set $Z = \bigcup_{t \in A} Z_t$.

Using inequality (2) we obtain

$$
\mathcal{H}^{n+1}(Z) \geq \mathcal{H}^{n+1}(\bigcup_{t \in A} Z_t) = \int_{t \in A} \mathcal{H}^{n}(Z_t) dt \\
\geq \mu(A)P_n(\sqrt{y}) \\
\geq (1 - \sqrt{y})P_n(\sqrt{y}) = P_{n+1}(y),
$$

completing the proof.

References


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