

# Symmary for Symmetric Functions and Hall Polynomials

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## 1 CHAPTER I: SYMMETRIC FUNCTIONS

### 1.1 Partitions

A **partition** is any (finite or infinite sequence

$$\lambda = (\lambda_1, \lambda_2, \dots, \lambda_r, \dots) \tag{1.1}$$

of non-negative integers in decreasing order:

$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_r \geq \dots$$

and containing only finitely many nonzero terms. We don't distinguish two such sequences which differ only by a string of zeros at the end.

The nonzero  $\lambda_i$  are called the **parts** of  $\lambda$ . The number of parts is the **length** of  $\lambda$ , denoted by  $l(\lambda)$ ; And the sum of the parts is the **weight** of  $\lambda$ , denote by  $|\lambda| = \lambda_1 + \lambda_2 + \dots$

If  $|\lambda| = n$  we say that  $\lambda$  is a **partition of  $n$** . The set of all partitions of  $n$  is denoted by  $\mathcal{P}_n$ , and the set of all partitions by  $\mathcal{P}$ . In particular,  $\mathcal{P}_0$  consists of a single element, the unique partition of zero, which we denote by  $0$ .

Sometimes it is convenient to use a notation that indicates the number of times each integer occurs as a part:

$$\lambda = (1^{m_1} 2^{m_2} \dots r^{m_r} \dots)$$

means that exactly  $m_i$  parts of  $\lambda$  are equal to  $i$ . The number

$$m_i = m_i(\lambda) = \#\{j : \lambda_j = i\} \tag{1.2}$$

is called the **multiplicity** of  $i$  in  $\lambda$ .

The diagram of a partition  $\lambda$  may be formally defined as the set of points  $(i, j) \in \mathbb{Z}^2$  such that  $1 \leq j \leq \lambda_i$ . For example, the diagram of the partition (5441) consists of 5 points or

nodes in the top row, 4 in the second row, 4 in the third row, and 1 in the fourth row. We shall usually denote the diagram of a partition  $\lambda$  by the same symbol  $\lambda$ .

The **conjugate** of a partition  $\lambda$  is the partition  $\lambda'$  whose diagram is the transpose of the diagram  $\lambda$ , i.e., the diagram obtained by the reflection in the main diagonal. Hence  $\lambda'_i$  is the number of nodes in the  $i$ th column of  $\lambda$ , or equivalently

$$\lambda'_i = \#\{j : \lambda_j \geq i\} \quad (1.3)$$

In particular,  $\lambda'_1 = l(\lambda)$  and  $\lambda_1 = l(\lambda')$ . Obviously  $\lambda'' = \lambda$ . For example, the conjugate of (5441) is (43331).

From (1.2) and (1.3) we have

$$m_i(\lambda) = \lambda'_i - \lambda'_{i+1} \quad (1.4)$$

For each partition  $\lambda$  we define

$$n(\lambda) = \sum_{i \geq 1} (i-1)\lambda_i = \sum_{i \geq 1} \binom{\lambda'_i}{2} \quad (1.5)$$

To be the sum of the numbers obtained by attaching a zero to each node in the top row of the diagram of  $\lambda$ , a 1 to each node in the second row, and so on.

Let  $\lambda$  be a partition and let  $m \geq \lambda_1$ ,  $n \geq \lambda'_1$ . Then the  $m+n$  numbers

$$\lambda_i + n - i (1 \leq i \leq n), \quad n - 1 + j - \lambda'_j (1 \leq j \leq m)$$

are a permutation of  $\{0, 1, 2, \dots, m+n-1\}$ .

If  $\lambda, \mu$  are partitions, we shall write  $\lambda \supset \mu$  to mean that the diagram of  $\lambda$  contains the diagram of  $\mu$ , i.e.,  $\lambda_i \geq \mu_i$  for all  $i \geq 1$ . The set-theoretic difference  $\theta = \lambda - \mu$  is called a **skew diagram**.

A **path** in a skew diagram  $\theta$  is a sequence  $x_0, x_1, \dots, x_m$  of squares in  $\theta$  such that  $x_{i-1}$  and  $x_i$  have a common side, for  $1 \leq i \leq m$ . A subset  $\varphi$  of  $\theta$  is said to be **connected** if any two squares in  $\varphi$  can be connected by a path in  $\varphi$ . The maximal connected subsets of  $\theta$  are themselves skew diagrams, called the **connected components** of  $\theta$ . In the example that  $\lambda = (5441)$  and  $\mu = (432)$ , we have three connected components.

The **conjugate** of a skew diagram  $\theta = \lambda - \mu$  is  $\theta' = \lambda' - \mu'$ . Let  $\theta_i = \lambda_i - \mu_i$ ,  $\theta'_i = \lambda'_i - \mu'_i$ , and

$$|\theta| = \sum_i \theta_i = |\lambda| - |\mu|$$

A skew diagram  $\theta$  is a **horizontal  $m$ -strip** (resp. a **vertical  $m$ -strip**) if  $|\theta| = m$  and  $\theta'_i \leq 1$  (resp.  $\theta_i \leq 1$ ) for each  $i \geq 1$ . In other words, a horizontal (resp. vertical) strip has at most one square in each column (resp. row).

If  $\theta = \lambda - \mu$ , a necessary and sufficient condition for  $\theta$  to be a horizontal (resp. vertical) strip is that the sequence  $\lambda$  and  $\mu$  are interlaced, in the sense that  $\lambda_1 \geq \mu_1 \geq \lambda_2 \geq \mu_2 \geq \dots$

A skew diagram  $\theta$  is a **border strip** (also called a skew hook by some authors, and ribbon by others) if  $\theta$  is a connected and contains no  $2 \times 2$  block of squares so that the successive rows (or columns) of  $\theta$  overlap by exactly one square. The **length** of a border strip  $\theta$  is the total number  $|\theta|$  of square it contains, and its height is defined to be one less than the number of rows it occupies. If we think of a border strip  $\theta$  as a set of nodes rather than squares, then by joining contiguous nodes by horizontal or vertical line segments of unit length, we obtain a sort of staircase, and the height of  $\theta$  is the number of vertical line segments or "risers" in the staircase.

A (**column-strict**) **tableau**  $T$  is a sequence of partitions

$$\mu = \lambda^{(0)} \subset \lambda^{(1)} \subset \dots \subset \lambda^{(r)} = \lambda$$

such that each skew diagram  $\theta^{(i)} = \lambda^{(i)} - \lambda^{(i-1)}$  ( $1 \leq i \leq r$ ) is a horizontal strip. Graphically,  $T$  may be described by numbering each square of the skew diagram  $\theta^{(i)}$  with the number  $i$ , for  $1 \leq i \leq r$ , and we shall often think of a tableau as a numbered skew diagram in this way. The numbers inserted in  $\lambda - \mu$  must increase strictly down each column (which explains the adjective "column-strict") and weakly from left to right along each row. The skew diagram  $\lambda - \mu$  is called the **shape** of the tableau  $T$  and the sequence  $(|\theta^{(1)}|, |\theta^{(2)}|, \dots, |\theta^{(r)}|)$  is the **weight** of  $T$ . Throughout the book, the work **tableau** (unqualified) will mean a column-strict tableau, as defined above.

Let  $L_n$  denote the reverse lexicographic ordering on the set  $\mathcal{P}_n$  of partitions of  $n$ : that is to say,  $L_n$  is the subset of  $\mathcal{P}_n \times \mathcal{P}_n$  consisting of all  $(\lambda, \mu)$  such that either  $\lambda = \mu$  or the first non-vanishing difference  $\lambda_i - \mu_i$  is positive.  $L_n$  is a total ordering. For example, when  $n = 5$ ,  $L_5$  arranges  $\mathcal{P}_5$  in the sequence

$$(5), (41), (32), (31^2), (2^21), (21^3), (1^5)$$

Another total ordering on  $\mathcal{P}_n$  is  $L'_n$ , the set of all  $(\lambda, \mu)$  such that either  $\lambda = \mu$  or else the first non-vanishing difference  $\lambda_i^* - \mu_i^*$  is negative, where  $\lambda_i^* = \lambda_{n+1-i}$ . The orderings  $L_n, L'_n$  are distinct as soon as  $n \geq 6$ . In fact, we have for every  $\lambda, \mu \in \mathcal{P}_n$ ,

$$(\lambda, \mu) \in L'_n \Leftrightarrow (\mu', \lambda') \in L_n$$

An ordering which is more important than either  $L_n$  or  $L'_n$  is the **natural** (partial) ordering  $N_n$  on  $\mathcal{P}_n$  (also called the **dominance** partial ordering by some authors), which is defined as follows:

$$(\lambda, \mu) \in N_n \Leftrightarrow \lambda_1 + \dots + \lambda_i \geq \mu_1 + \dots + \mu_i, \forall i \geq 1$$

As soon as  $n \geq 6$ ,  $N_n$  is not a total ordering. We shall write  $\lambda \geq \mu$  in place of  $(\lambda, \mu) \in N_n$ .

Let  $\lambda, \mu \in \mathcal{P}_n$ . Then  $\lambda \geq \mu \Leftrightarrow (\lambda, \mu) \in L_n \cap L'_n \Leftrightarrow \mu' \geq \lambda'$ .

Now, let us consider the integer vectors  $a = (a_1, \dots, a_n) \in \mathbf{Z}^n$ . The symmetric group  $S_n$  acts on  $\mathbf{Z}^n$  by permuting the coordinates, and the set

$$P_n = \{b \in \mathbf{Z}^n : b_1 \geq b_2 \geq \dots \geq b_n\}$$

is a fundamental domain for this action, i.e., the  $S_n$ -orbit of each  $a \in \mathbf{Z}^n$  meets  $P_n$  in exactly one point, which we denote by  $a^+$ . Thus  $a^+$  is obtained by rearranging  $a_1, \dots, a_n$  in descending order of magnitude.

For  $a, b \in \mathbf{Z}^n$  we define  $a \geq b$  as before to mean  $a_1 + \dots + a_i \geq b_1 + \dots + b_i, \forall 1 \leq i \leq n$ . Let  $a \in \mathbf{Z}^n$ . Then  $a \in P_n \Leftrightarrow a \geq wa, \forall w \in S_n$ .

For each pair of integers  $i, j, 1 \leq i < j \leq n$  define  $R_{ij} : \mathbf{Z}^n \rightarrow \mathbf{Z}^n$  by

$$R_{ij}(a_1, \dots, a_n) = (a_1, \dots, a_i + 1, \dots, a_j - 1, \dots, a_n)$$

Any product  $R = \prod_{i < j} R_{ij}^{r_{ij}}$  is called a **raising operator**. The order of the terms in the product is immaterial since they commute with each other.

Let  $a \in \mathbf{Z}^n$  and let  $R$  be a raising operator. Then  $Ra \geq a$ . Conversely, let  $a, b \in \mathbf{Z}^n$  be such that  $a \leq b$  and  $a_1 + \dots + a_n = b_1 + \dots + b_n$ . Then there exists a raising operator  $R$  such that  $b = Ra$ .

## 1.2 The ring of symmetric functions

Consider the ring  $\mathbf{Z}[x_1, \dots, x_n]$  of polynomials in  $n$  independent variables  $x_1, \dots, x_n$  with rational integer coefficients. The symmetric group  $S_n$  acts on this ring by permuting the variables, and a polynomial is symmetric if it is invariant under this action. The symmetric polynomials form a subring

$$\Lambda_n = \mathbf{Z}[x_1, \dots, x_n]^{S_n}$$

$\Lambda_n$  is a graded ring: We have

$$\Lambda_n = \bigoplus_{k \geq 0} \Lambda_n^k$$

Where  $\Lambda_n^k$  consists of the homogenous symmetric polynomials of degree  $k$ , together with the zero polynomial.

For each  $\alpha = (\alpha_1, \dots, \alpha_n)$  we denote by  $x^\alpha$  the polynomial

$$x^\alpha = x_1^{\alpha_1} \dots x_n^{\alpha_n}$$

Let  $\lambda$  be any partition. The polynomial

$$m_\lambda(x_1, \dots, x_n) = \sum x^\alpha \tag{1.6}$$

summed over all distinct permutations  $\alpha$  of  $\lambda = (\lambda_1, \dots, \lambda_n)$ , is clearly symmetric, and the  $m_\lambda$  (as  $\lambda$  run through all the partitions of length  $\leq n$ ) form a  $\mathbf{Z}$ -basis of  $\Lambda_n$ . Hence the  $m_\lambda$

such that  $l(\lambda) \leq n$  and  $|\lambda| = k$  form a  $\mathbf{Z}$ -basis of  $\Lambda_n^k$ . In particular, as soon as  $n \geq k$ , the  $m_\lambda$  such that  $|\lambda| = k$  form a  $\mathbf{Z}$ -basis of  $\Lambda_n^k$ .

Now, let us generate the theory above in the case of countably many independent variables. Let  $m \geq n$  and consider the homomorphism

$$\mathbf{Z}[x_1, \dots, x_m] \rightarrow \mathbf{Z}[x_1, \dots, x_n]$$

which send each of  $x_{n+1}, \dots, x_m$  to zero and the other  $x_i$  to themselves. On restriction to  $\Lambda_m$  this gives a homomorphism

$$\rho_{m,n} : \Lambda_m \rightarrow \Lambda_n$$

It follows that  $\rho_{m,n}$  is surjective, and on restriction to  $\Lambda_m^k$  we have homomorphism

$$\rho_{m,n}^k : \Lambda_m^k \rightarrow \Lambda_n^k$$

for all  $k \geq 0$  and  $m \geq n$ , which are always surjective, and are bijective for  $m \geq n \geq k$ .

We now form the inverse limit

$$\Lambda^k = \varprojlim \Lambda_n^k$$

of the  $\mathbf{Z}$ -modules  $\Lambda_n^k$  relative to the homomorphism  $\rho_{m,n}^k$ . This module has a  $\mathbf{Z}$ -basis consisting of the monomial symmetric functions  $m_\lambda$  (for all partitions  $\lambda$  of  $k$ ). Therefore,  $\Lambda^k$  is a free  $\mathbf{Z}$ -module of rank  $p(k)$ , the number of partitions of  $k$ . Now let

$$\Lambda = \bigoplus_{k \geq 0} \Lambda^k$$

so that  $\Lambda$  is the free  $\mathbf{Z}$ -module generated by the  $m_\lambda$  for all partitions  $\lambda$ . We have surjective homomorphisms

$$\rho_n = \bigoplus_{k \geq 0} \rho_n^k : \Lambda \rightarrow \Lambda_n$$

Which give  $\Lambda$  a graded ring structure.

For each integer  $r$ , the  $r$ th **elementary symmetric function**  $e_r$  is the sum of all products of  $r$  distinct variables  $x_i$ , so that  $e_0 = 1$  and

$$e_r = \sum_{i_1 < i_2 < \dots < i_r} x_{i_1} x_{i_2} \dots x_{i_r} = m_{(1^r)}$$

for  $r \geq 1$ . The generating function for the  $e_r$  is

$$E(t) = \sum_{r \geq 0} e_r t^r = \prod_{i \geq 1} (1 + x_i t) \tag{1.7}$$

For each partition  $\lambda = (\lambda_1, \lambda_2, \dots)$ , define

$$e_\lambda = e_{\lambda_1} e_{\lambda_2} \dots$$

Let  $\lambda$  be a partition,  $\lambda'$  its conjugate. Then

$$e'_\lambda = m_\lambda + \sum_{\mu} a_{\lambda\mu} m_\mu$$

where the  $a_{\lambda\mu}$  are non-negative integers, and the sum is over partitions  $\mu < \lambda$  in the natural ordering. Therefore, we have

$$\Lambda = \mathbf{Z}[e_1, e_2, \dots]$$

and the  $e_r$  are algebraically independent over  $\mathbf{Z}$ .

For each integer  $r$ , the  $r$ th **complete symmetric function**  $h_r$  is the sum of all monomials of total degree  $r$  in the variables  $x_i$ , so that

$$h_r = \sum_{|\lambda|=r} m_\lambda$$

In particular,  $h_0 = 1$  and  $h_1 = e_1$ . The generating function for the  $h_r$  is

$$H(t) = \sum_{r \geq 0} h_r t^r = \prod_{i \geq 1} (1 - x_i t)^{-1} \quad (1.8)$$

From (1.7) and (1.8) we have  $H(t)E(-t) = 1$ , are equivalently

$$\sum_{r=0}^n e_r h_{n-r} = 0 \quad (1.9)$$

for all  $n \geq 1$ . Since the  $e_r$  are algebraically independent, we may define a homomorphism of graded rings

$$\omega : \Lambda \rightarrow \Lambda$$

such that  $\omega(e_r) = h_r$  for all  $r \geq 0$ . The symmetry of the relations (1.9) between the  $e$ 's and the  $h$ 's shows that  $\omega$  is an involution, i.e.,  $\omega^2$  is the identity map. Also, we have

$$\Lambda = \mathbf{Z}[h_1, h_2, \dots]$$

and the  $h_r$  are algebraically independent over  $\mathbf{Z}$ .

For each partition  $\lambda = (\lambda_1, \lambda_2, \dots)$ , define

$$h_\lambda = h_{\lambda_1} h_{\lambda_2} \dots$$

Then the  $h_\lambda$  form a  $\mathbf{Z}$ -basis of  $\Lambda$ . Finally, if we define

$$f_\lambda = \omega(m_\lambda)$$

for each partition  $\lambda$ , then the  $f_\lambda$ , called the "forgotten" symmetric functions, together with  $m_\lambda, e_\lambda, h_\lambda$ , form four  $\mathbf{Z}$ -bases of  $\Lambda$ .

Let  $N$  be a positive integer and consider the matrices of  $N + 1$  rows and columns

$$H = (h_{i-j})_{0 \leq i, j \leq N}, \quad E = ((-1)^{i-j} e_{i-j})$$

Where  $e_r = h_r = 0$  whenever  $r$  is negative. Both  $H$  and  $E$  are strictly lower triangular, and  $HE = EH = I_{N+1}$ . Let  $\lambda, \mu$  be two partitions of length  $\leq p$ , such that  $\lambda'$  and  $\mu'$  have length  $\leq q$ , where  $p + q = N + 1$ . Consider the minor of  $H$  with row indices  $\lambda_i + p - i$  ( $1 \leq i \leq p$ ) and column indices  $\mu_i + p - i$  ( $1 \leq i \leq p$ ). The complementary cofactor of  $E'$  has row indices  $p - 1 + j - \lambda'_j$  ( $1 \leq j \leq q$ ) and column indices  $p - 1 + j - \mu'_j$  ( $1 \leq j \leq q$ ). Hence, we have

$$\det(h_{\lambda_i - \mu_j - i + j})_{1 \leq i, j \leq p} = (-1)^{|\lambda| + |\mu|} \det((-1)^{\lambda'_i - \mu'_j - i + j} e_{\lambda'_i - \mu'_j - i + j})_{1 \leq i, j \leq q}$$

The minus signs cancel out, and therefore we have

$$\det(h_{\lambda_i - \mu_j - i + j})_{1 \leq i, j \leq p} = \det(e_{\lambda'_i - \mu'_j - i + j})_{1 \leq i, j \leq q}$$

(Later, we will see that this could also be written as  $s_{\lambda/\mu}$ .) In particular, taking  $\mu = 0$  we have

$$\det(h_{\lambda_i - i + j}) = \det(e_{\lambda'_i - i + j})$$

And later, we will see that both sides are equal to the Schur function  $s_\lambda$ .

For each  $r \geq 1$  the **power sum** is

$$p_r = \sum_i x_i^r = m_{(r)}$$

The generating function for the  $p_i$  is

$$P(t) = \sum_{r \geq 1} t^{r-1} = \sum_{i \geq 1} \frac{x_i}{1 - x_i t} = \sum_{i \geq 1} \frac{d}{dt} \log \frac{1}{1 - x_i t}$$

so that

$$P(t) = \frac{d}{dt} \log H(t) = H'(t)/H(t) \tag{1.10}$$

Likewise, we have

$$P(-t) = \frac{d}{dt} \log E(t) = E'(t)/E(t) \tag{1.11}$$

From (1.10) and (1.11) we obtain

$$nh_n = \sum_{r \geq 1}^n p_r h_{n-r}, \quad ne_n = \sum_{r=1}^n (-1)^{r-1} p_r e_{n-r} \tag{1.12}$$

This would imply that

$$\Lambda_{\mathbf{Q}} = \Lambda \otimes_{\mathbf{Z}} \mathbf{Q} = \mathbf{Q}[p_1, p_2, \dots]$$

And the  $p_r$  are algebraically independent over  $\mathbf{Q}$ . Hence, if we define

$$p_\lambda = p_{\lambda_1} p_{\lambda_2}$$

for each partition  $\lambda = (\lambda_1, \lambda_2, \dots)$ , then the  $p_\lambda$  form a  $\mathbf{Q}$ -basis of  $\Lambda_{\mathbf{Q}}$ . But they do NOT form a  $\mathbf{Z}$ -basis of  $\Lambda$ .

Since the involution  $\omega$  interchanges  $E(t)$  and  $H(t)$ , it follows from (1.10) and (1.11) that

$$\omega(p_n) = (-1)^{n-1} p_n$$

for all  $n \geq 1$ , and hence that for any partition  $\lambda$  we have

$$\omega(p_\lambda) = \epsilon_\lambda p_\lambda \tag{1.13}$$

Where  $\epsilon_\lambda = (-1)^{|\lambda| - l(\lambda)}$ .

Finally, we shall express  $h_n$  and  $e_n$  as linear combinations of the  $p_\lambda$ . For any partition  $\lambda$ , define

$$z_\lambda = \prod_{i \geq 1} i^{m_i} \cdot m_i!$$

Where  $m_i = m_i(\lambda)$  is the number of parts of  $\lambda$  equal to  $i$ . Then we have

$$H(t) = \sum_{\lambda} z_\lambda^{-1} p_\lambda t^{|\lambda|}, \quad E(t) = \sum_{\lambda} \epsilon_\lambda z_\lambda^{-1} p_\lambda t^{|\lambda|} \tag{1.14}$$

or equivalently,  $h_n = \sum_{|\lambda|=n} z_\lambda^{-1} p_\lambda$ ,  $e_n = \sum_{|\lambda|=n} \epsilon_\lambda z_\lambda^{-1} p_\lambda$ .

### 1.3 Schur functions

Let  $x^\alpha = x_1^{\alpha_1} \dots x_n^{\alpha_n}$  be a monomial, and consider the polynomial  $a_\alpha$  obtained by antisymmetrizing  $x^\alpha$ : that is to say,

$$a_\alpha = a_\alpha(x_1, \dots, x_n) = \sum_{\omega \in S_n} \epsilon(\omega) \cdot \omega(x^\alpha)$$

Where  $\epsilon(\omega)$  is the sign of the permutation  $\omega$ . This polynomial  $a_\alpha$  is skew-symmetric, i.e., we have  $\omega(a_\alpha) = \epsilon(\omega) a_\alpha$  for every  $\omega \in S_n$ ; In particular, therefore,  $a_\alpha$  vanished unless  $\alpha_1, \dots, \alpha_n$  are all distinct. Hence we may as well assume that that  $\alpha_1 > \alpha_2 > \dots > \alpha_n \geq 0$ , and therefore we may write  $\alpha = \lambda + \delta$ , where  $\lambda$  is a partition of length  $\leq n$ , and  $\delta = (n-1, n-2, \dots, 1, 0)$ . Then

$$a_\alpha = a_{\lambda+\delta} = \sum_{\omega} \epsilon(\omega) \cdot \omega(x^{\lambda+\delta})$$

Which can be written as a determinant:

$$a_{\lambda+\delta} = \det(x_i^{\lambda_j + n - j})_{1 \leq i, j \leq n}$$

This determinant is divisible in  $\mathbf{Z}[x_1, \dots, x_n]$  by each of the differences  $x_i - x_j$  ( $1 \leq i < j \leq n$ ), and hence by their product, which is the **Vandermonde determinant**

$$\prod_{1 \leq i < j \leq n} (x_i - x_j) = \det(x_i^{n-j}) = a_\delta$$



So  $a_{\lambda+\delta}$  is divisible by  $a_\delta$  in  $\mathbf{Z}[x_1, \dots, x_n]$ , and the quotient

$$s_\lambda = s_\lambda(x_1, \dots, x_n) = a_{\lambda+\delta}/a_\delta$$

is symmetric, i.e., is in  $\Lambda_n$ . It is called the **Schur function** in the variables  $x_1, \dots, x_n$ , corresponding to the partition  $\lambda$  (where  $l(\lambda) \leq n$ ), and is homogenous of degree  $|\lambda|$ .

The Schur functions  $s_\lambda$ , where  $l(\lambda) \leq n$ , form a  $\mathbf{Z}$ -basis of  $\Lambda_n$ ; The  $s_\lambda$  for a  $\mathbf{Z}$ -basis of  $\Lambda$ , and for each  $k \geq 0$ , the  $s_\lambda$  such that  $|\lambda| = k$  form a  $\mathbf{Z}$ -basis of  $\Lambda^k$ .

In fact, we can write each Schur function  $s_\lambda$  as a polynomial in the elementary symmetric functions  $e_r$ , and as a polynomial in the complete symmetric functions  $h_r$ . The formulas are

$$s_\lambda = \det(h_{\lambda_i - i + j})_{1 \leq i, j \leq n} = \det(e_{\lambda'_i - i + j})_{1 \leq i, j \leq m} \quad (1.15)$$

Where  $n \geq l(\lambda), m \geq l(\lambda')$ . It follows that for all partitions  $\lambda$ , we have

$$\omega(s_\lambda) = s_{\lambda'}$$

Also in particular,  $s_{(n)} = h_n$ , and  $s_{(1^n)} = e_n$ .

## 1.4 Orthogonality

Let  $x = (x_1, x_2, \dots)$  and  $y = (y_1, y_2, \dots)$  be two finite or infinite sequences of independent variables. We shall denote the symmetric functions of the  $x$ 's by  $s_\lambda(x), p_\lambda(x)$ , etc., and the symmetric functions of the  $y$ 's by  $s_\lambda(y), p_\lambda(y)$ , etc.

We shall give three series expansions for the product

$$\prod_{i,j} (1 - x_i y_j)^{-1}$$

The first of these is

$$\prod_{i,j} (1 - x_i y_j)^{-1} = \sum_{\lambda} z_\lambda^{-1} p_\lambda(x) p_\lambda(y)$$

Next, we have

$$\prod_{i,j} (1 - x_i y_j)^{-1} = \sum_{\lambda} h_\lambda(x) m_\lambda(y) = \sum_{\lambda} h_\lambda(y) m_\lambda(x)$$

And the third identity is

$$\prod_{i,j} (1 - x_i y_j)^{-1} = \sum_{\lambda} s_\lambda(x) s_\lambda(y)$$

The three identities are summed over all partitions  $\lambda$ . We now define a scalar product on  $\Lambda$ , i.e., a  $\mathbf{Z}$ -valued bilinear form  $\langle u, v \rangle$ , by requiring that the bases  $(h_\lambda)$  and  $(m_\lambda)$  should be dual to each other:

$$\langle h_\lambda, m_\mu \rangle = \delta_{\lambda\mu}$$

for all partitions  $\lambda, \mu$ , where  $\delta_{\lambda\mu}$  is the Kronecker delta. For each  $n \geq 0$ , let  $u_\lambda, v_\lambda$  be the  $\mathbf{Q}$ -basis of  $\Lambda_{\mathbf{Q}}^n$ , indexed by the partitions of  $n$ . Then the following conditions are equivalent:

- (a)  $\langle u_\lambda, v_\mu \rangle = \delta_{\lambda\mu}$  for all  $\lambda, \mu$ ;
- (b)  $\sum_\lambda u_\lambda(x)v_\lambda(y) = \prod_{i,j}(1 - x_i y_j)^{-1}$ .

It follows that  $\langle p_\lambda, p_\mu \rangle = \delta_{\lambda\mu} z_\lambda$ , so that the  $p_\lambda$  form an orthogonal basis of  $\Lambda_{\mathbf{Q}}$ . Likewise, we have  $\langle s_\lambda, s_\mu \rangle = \delta_{\lambda\mu}$ . Also, we see that the bilinear form  $\langle u, v \rangle$  is symmetric and positive definite, and the involution  $\omega$  is an isometry, i.e.,  $\langle \omega u, \omega v \rangle = \langle u, v \rangle$ . Finally, we have  $\langle e_\lambda, f_\mu \rangle = \delta_{\lambda\mu}$  (here  $f_\mu = \omega(m_\mu)$ ), i.e.,  $(e_\lambda)$  and  $(f_\lambda)$  are dual bases of  $\Lambda$ .

## 1.5 Skew Schur functions

Any symmetric functions  $f \in \Lambda$  is uniquely determined by its scalar products with the  $s_\lambda$ ; namely

$$f = \sum_\lambda \langle f, s_\lambda \rangle s_\lambda$$

since the  $s_\lambda$  form an orthogonal basis of  $\Lambda$ .

Let  $\lambda, \mu$  be partitions, and define a symmetric functions  $s_{\lambda/\mu}$  by the relations

$$\langle s_{\lambda/\mu}, s_\nu \rangle = \langle s_\lambda, s_\mu s_\nu \rangle \quad (1.16)$$

for all partitions  $\nu$ . The  $s_{\lambda/\mu}$  are called the **skew Schur functions**, Equivalently, if  $c_{\mu\nu}^\lambda$  are the integers defined by

$$s_\mu s_\nu = \sum_\lambda c_{\mu\nu}^\lambda s_\lambda \quad (1.17)$$

then we have  $s_{\lambda/\mu} = c_{\mu\nu}^\lambda s_\nu$ . In particular, it is clear that  $s_{\lambda/0} = s_\lambda$ , where 0 denotes the zero partition. Also  $c_{\mu\nu}^\lambda = 0$  unless  $|\lambda| = |\mu| + |\nu|$ , so that  $s_{\lambda/\mu}$  is homogenous of degree  $|\lambda| - |\mu|$ , and is zero if  $|\lambda| < |\mu|$ . Later we will see that  $s_{\lambda/\mu} = 0$  unless  $\lambda \supset \mu$ .

Now let  $x = (x_1, x_2, \dots)$  and  $y = (y_1, y_2, \dots)$  be two sets of variables. Then

$$\sum_\lambda s_{\lambda/\mu}(x)s_\lambda(y) = \sum_{\lambda,\nu} c_{\mu,\nu}^\lambda s_\nu(x)s_\lambda(y) = \sum_\nu s_\nu(x)s_\mu(y)s_\nu(y) = s_\mu(y) \sum_\nu h_\nu(x)m_\nu(y)$$

Now let us consider the case when  $y = (y_1, \dots, y_n)$ , so that the sums above are restricted to partitions of length  $\leq n$ . Recall the definition of Schur polynomials, multiplying  $a_\delta$  on both sides, we have

$$\sum_\lambda s_{\lambda/\mu}(x)a_{\lambda+\delta}(y) = \sum_\nu h_\nu(x)m_\nu(y)a_{\mu+\delta}(y)$$

Compare the coefficient of  $y^{\lambda+\delta}$  on both sides, we have

$$s_{\lambda/\mu}(x) = \det(h_{\lambda_i - \mu_j - i + j})_{1 \leq i, j \leq n} = \det(e_{\lambda'_i - \mu'_j - i + j})_{1 \leq i, j \leq m}$$

Where  $n \geq l(\lambda), m \geq l(\lambda')$ , and therefore  $\omega(s_{\lambda/\mu}) = s_{\lambda'/\mu'}$ . Also, the skew Schur function  $s_{\lambda/\mu}$  is zero unless  $\lambda \supset \mu$ , i.e., if  $\lambda_i \geq \mu_i$  for every  $i$ , in which it depends on the on the skew diagram  $\lambda - \mu$ . If  $\theta_i = \lambda_i - \mu_i$  are the components of  $\lambda - \mu$ , we have  $s_{\lambda/\mu} = \prod s_{(\theta_i)}$ .

If the number of variables  $x_i$  is finite, we can say more: In fact, we have  $s_{\lambda/\mu}(x_1, \dots, x_n) = 0$  unless  $0 \leq \lambda'_i - \mu'_i \leq n$  for all  $i \geq 1$ .

Now let  $x = (x_1, x_2, \dots)$  and  $y = (y_1, y_2, \dots), z = (z_1, z_2, \dots)$  be three sets of independent variables. Then we have

$$\sum_{\lambda, \mu} s_{\lambda/\mu}(x) s_{\lambda}(z) s_{\mu}(y) = \sum_{\mu} s_{\mu}(y) s_{\mu}(z) \prod_{i, k} (1 - x_i z_k)^{-1}$$

which is furthermore equal to

$$\prod_{i, k} (1 - x_i z_k)^{-1} \prod_{j, k} (1 - y_j z_k)^{-1} = \sum_{\lambda} s_{\lambda}(x, y) s_{\lambda}(z)$$

Therefore we conclude that  $s_{\lambda}(x, y) = \sum_{\mu} s_{\lambda/\mu}(x) s_{\mu}(y) = \sum_{\mu, \nu} c_{\mu, \nu}^{\lambda} s_{\mu}(y) s_{\nu}(x)$ . More generally, we have  $s_{\lambda/\mu}(x, y) = \sum_{\nu} s_{\lambda/\nu}(x) s_{\nu/\mu}(y)$ , summed over partitions  $\nu$  such that  $\lambda \supset \nu \supset \mu$ .

We can furthermore generate this formula as follows. Let  $x^{(1)}, \dots, x^{(n)}$  be  $n$  sets of variables, and let  $\lambda, \mu$  be partitions. Then

$$s_{\lambda/\mu}(x_1, \dots, x_n) = \sum_{(\nu)} \prod_{i=1}^n s_{\nu^{(i)}/\nu^{(i-1)}}(x^{(i)}) \quad (1.18)$$

summed over all sequences  $(\nu) = (\nu^{(0)}, \dots, \nu^{(n)})$  of partitions, such that  $\nu^{(0)} = \mu, \nu^{(n)} = \lambda$ , and  $\nu^{(0)} \subset \nu^{(1)} \subset \dots \subset \nu^{(n)}$ .

We shall apply the above formula to the case that each of  $x^{(1)}, \dots, x^{(n)}$  consists of a single variable  $x_i$ . For a single  $x$ , it follows that  $s_{\lambda/\mu}(x) = 0$  unless  $\lambda - \mu$  is a horizontal strip, in which case  $s_{\lambda/\mu}(x) = x^{|\lambda| - |\mu|}$ . Hence each of the products in the sum on the right-hand side of (1.18) is a monomial  $x_1^{\alpha_1} \dots x_n^{\alpha_n}$ , where  $\alpha_i = |\nu^{(i)} - \nu^{(i-1)}|$ , and hence we have  $s_{\lambda/\mu}(x_1, \dots, x_n)$  expressed as a sum of monomials  $x^{\alpha}$ , one for each tableau  $T$  of shape  $\lambda - \mu$ . If the weight of  $T$  is  $\alpha = (\alpha_1, \dots, \alpha_n)$ , we shall write  $x^T$  for  $x^{\alpha}$ . Then:

$$s_{\lambda/\mu} = \sum_T x^T \quad (1.19)$$

summed over all tableau  $T$  of shape  $\lambda - \mu$ .

For each partition  $\nu$  such that  $|\nu| = |\lambda| - |\mu|$ , let  $K_{\lambda - \mu, \nu}$  denote the number of tableaux of shape  $\lambda - \mu$  and weight  $\nu$ . From (1.19) we have

$$s_{\lambda/\mu} = \sum_v K_{\lambda-\mu, \nu} m_\nu \quad (1.20)$$

and therefore

$$K_{\lambda-\mu, \nu} = \langle s_{\lambda/\mu}, h_\nu \rangle = \langle s_\lambda, s_\mu h_\nu \rangle \quad (1.21)$$

so that

$$s_\mu h_\nu = \sum_\lambda K_{\lambda-\mu, \nu} s_\lambda \quad (1.22)$$

In particular, suppose that  $\mu = (r)$ , a partition with only one non-zero part. Then  $K_{\lambda-\mu, (r)}$  is 1 or 0 according to as  $\lambda - \mu$  is or is not a horizontal  $r$ -strip, and therefore from (1.22) we have Pieri's formula

$$s_\mu h_r = \sum_\lambda s_\lambda \quad (1.23)$$

summed over all partitions  $\lambda$  such that  $\lambda - \mu$  is a horizontal  $r$ -strip. Applying the involution  $\omega$  to the equation above, we obtain

$$s_\mu e_r = \sum_\lambda s_\lambda \quad (1.24)$$

summed over all partitions  $\lambda$  such that  $\lambda - \mu$  is a vertical  $r$ -strip.

## 2 CHAPTER II: HALL POLYNOMIALS

### 2.1 Finite $\mathfrak{o}$ -modules

First, let us introduce the term "discrete valuation ring". A **discrete valuation ring (DVR)**, denoted as  $\mathfrak{o}$ , is a principal ideal domain (PID) with exactly one non-zero maximal ideal, denoted as  $\mathfrak{p}$ . This would indicate that  $\mathfrak{o}$  is an integral domain, and its field of fractions  $K$  is equipped with a valuation  $v : K \rightarrow \mathbb{Z} \cup \{\infty\}$  such that for all  $x, y \in K$ ,

- (i)  $v(xy) = v(x)v(y)$
  - (ii)  $v(x + y) \geq \min\{v(x), v(y)\}$
  - (iii)  $v(x) = \infty \Leftrightarrow x = 0$
- and also  $\mathfrak{o} = \{x \mid v(x) \geq 0\}$ ,  $\mathfrak{p} = \{x \mid v(x) \geq 1\}$

The most important example of DVR is the ring  $p$ -adic integers  $\mathbf{Z}_p$  consisting of elements 0 and

$$s = \sum_{i=k}^{\infty} a_i p^i = a_k p^k + a_{k+1} p^{k+1} + \dots$$

where  $v(s) = k \geq 0$ ,  $a_k \in \{1, 2, \dots, p-1\}$ ,  $a_{k+1}, a_{k+2}, \dots \in \{0, 1, 2, \dots, p-1\}$ . Its field of fractions is the  $p$ -adic field  $\mathbf{Q}_p$ , where we would allow  $k$  to be negative.

Now for the term **finite  $\mathfrak{o}$ -module**, we mean a module  $M$  with a direct sum decomposition of the form

$$M \cong \bigoplus_{i=1}^r \mathfrak{o}/\mathfrak{p}^{\lambda_i} \tag{2.1}$$

where the  $\lambda_i$  are positive integers, which we may assume are arranged in descending order:  $\lambda = \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_r > 0$ . In other words,  $\lambda = (\lambda_1, \dots, \lambda_r)$  is a partition. On the other hand, given a finite  $\mathfrak{o}$ -module  $M$ , let  $\mu_i = \dim_k(\mathfrak{p}^{i-1}M/\mathfrak{p}^iM)$ . Then  $\mu = (\mu_1, \mu_2, \dots)$  is the conjugate of partition  $\lambda$ . Therefore, the partition  $\lambda$  is uniquely determined by the module  $M$ , and we call  $\lambda$  the **type**  $\lambda$  of  $M$ . Clearly two finite  $\mathfrak{o}$ -modules are isomorphic if and only if they have the same type, and every partition  $\lambda$  occurs as a type. If  $\lambda$  is the type of  $M$ , then  $|\lambda| = \sum_i \lambda_i$  is the length  $l(M)$  of  $M$ , i.e., the length of a composition series of  $M$ . The length is an additive function of  $M$ , this means that if

$$0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$$

is a short exact sequence of finite  $\mathfrak{o}$ -modules, then

$$l(M') - l(M) + l(M'') = 0$$

If  $N$  is a submodule of  $M$ , then the cotype of  $N$  in  $M$  is defined to be the type of  $M/N$ .

A finite  $\mathfrak{o}$ -module  $M$  is cyclic, i.e., generated by one element, if and only if its type is a partition  $(r)$  consisting of a single part  $r = l(M)$ , and  $M$  is elementary, i.e.,  $\mathfrak{p}M = 0$  if and only if the type of  $M$  is  $(1^r)$ . If  $M$  is elementary of type  $(1^r)$ , then  $M$  is a vector space over  $k$ , and  $l(M) = \dim_k M = r$ .

Let  $M$  be a finite  $\mathfrak{o}$ -module. The **dual** of  $M$  is defined to be

$$\hat{M} = \text{Hom}_{\mathfrak{o}}(M, E)$$

Where  $E = \varinjlim \mathfrak{o}/\mathfrak{p}^n$  is the "injective envelope" of  $k$ , i.e., the smallest injective  $\mathfrak{o}$ -module which contains  $k$  as a submodule.  $\hat{M}$  and  $M$  are isomorphism and have the same type. Since  $E$  is injective, an exact sequence

$$0 \rightarrow N \rightarrow M \rightarrow M/N \rightarrow 0 \quad (2.2)$$

gives rise to an exact sequence

$$0 \leftarrow \hat{N} \leftarrow \hat{M} \leftarrow \hat{M}/N \leftarrow 0 \quad (2.3)$$

$N \leftrightarrow N^0 = \hat{M}/N$  is a one-to-one correspondence between the submodules of  $M$ ,  $\hat{M}$  respectively, which maps the set of all  $N \subset M$  of type  $\nu$  and cotype  $\mu$  onto the set of all  $N^0 \subset \hat{M}$  of type  $\mu$  and cotype  $\mu$ .

From now on, we suppose the residue field  $k = \mathfrak{o}/\mathfrak{p}$  is FINITE with order  $q < \infty$ . If  $M$  is a finite  $\mathfrak{o}$ -module and  $x$  is a non-zero element of  $M$ , we shall say that  $x$  has height  $r$  if  $\mathfrak{p}^r x = 0$  and  $\mathfrak{p}^{r-1} x \neq 0$ . The zero element of  $M$  is assigned height zero. We denote by  $M_r$  the submodule of  $M$  consisting of elements of height  $\leq r$ , so that  $M_r = \ker(\mathfrak{p}^r)$ .

The number of automorphisms of a finite  $\mathfrak{o}$ -module  $M$  of type  $\lambda$  is

$$a_{\lambda}(q) = q^{|\lambda|+2n(\lambda)} \prod_{i \geq 1} \varphi_{m_i}(\lambda)(q^{-1}) = q^{\sum_{i \geq 1} \lambda_i^2} \prod_{i \geq 1} \varphi_{m_i}(\lambda)(q^{-1}) \quad (2.4)$$

where  $\varphi_m(t) = (1-t)(1-t^2)\dots(1-t^m)$ . In fact, the number of automorphisms of  $M$  is equal to the number of sequences  $(x_1, \dots, x_r)$  such that  $x_i$  has height  $\lambda_i$  ( $1 \leq i \leq r$ ) and  $M = \bigoplus_i \mathfrak{o}x_i$ .

## 2.2 The Hall algebra

Let  $\lambda_1, \mu^{(1)}, \dots, \mu^{(r)}$  be partitions, and let  $M$  be a finite  $\mathfrak{o}$ -module of type  $\lambda$ . We define

$$G_{\mu^{(1)}, \dots, \mu^{(r)}}^{\lambda}(\mathfrak{o})$$

to be the number of chains of submodules of  $M$ :

$$M = M_0 \supset M_1 \supset M_r = 0$$

such that  $M_{i-1}/M_i$  has type  $\mu^{(i)}$ , for  $1 \leq i \leq r$ . In particular,  $G_{\mu\nu}^{\lambda}(\mathfrak{o})$  is the number of submodules  $N$  of  $M$  which have type  $\nu$  and cotype  $\mu$ . Since  $l(M) = l(M/N) + l(N)$ , it is clear that  $G_{\mu\nu}^{\lambda}(\mathfrak{o}) = 0$  unless  $|\lambda| = |\mu| + |\nu|$ .

Let  $H = H(\mathfrak{o})$  be a free  $\mathbf{Z}$ -module on a basis  $u_{\lambda}$  indexed by all partitions  $\lambda$ . Define a product in  $H$  by the rule

$$u_\mu u_\nu = \sum_\lambda g_{\mu\nu}^\lambda(\mathfrak{o}) u_\lambda$$

The sum on the right has only finitely many non-zero terms, which makes  $H(\mathfrak{o})$  a commutative and associative ring with identity element  $u_0$ . We call  $H(\mathfrak{o})$  the **Hall algebra** of  $\mathfrak{o}$ . The ring  $H(\mathfrak{o})$  is generated by (as a  $\mathbf{Z}$ -algebra) by the elements  $u_{(1^r)}$  ( $r \geq 1$ ), and they are algebraically independent over  $\mathbf{Z}$ .

### 2.3 The LR-sequence of a submodule

Let  $T$  be a tableau of shape  $\lambda - \mu$  and weight  $\nu = (\nu_1, \dots, \nu_r)$ . Then  $T$  determines (and is determined by) a sequence of partitions

$$S = (\lambda^{(0)}, \dots, \lambda^{(r)})$$

such that  $\lambda^{(0)} = \mu$ ,  $\lambda^{(r)} = \lambda$ , and  $\lambda^{(i)} \supset \lambda^{(i-1)}$  for  $1 \leq i \leq r$ , by the condition that  $\lambda^{(i)} - \lambda^{(i-1)}$  is skew diagram consisting of the square occupied by the symbol  $i$  in  $T$  (and hence is a horizontal strip, because  $T$  is a tableau).

A sequence of partitions  $S$  as above will be called a *LR-sequence* of type  $(\mu, \nu; \lambda)$  if

(LR1)  $\lambda^{(0)} = \mu$ ,  $\lambda^{(r)} = \lambda$ , and  $\lambda^{(i)} \supset \lambda^{(i-1)}$  for  $1 \leq i \leq r$ ;

(LR2)  $\lambda^{(i)} - \lambda^{(i-1)}$  is a horizontal strip of length  $\nu_i$ , for  $1 \leq i \leq r$ . (These two conditions ensure that  $S$  determines a tableau  $T$ .)

(LR3) The word  $w(T)$  obtained by reading  $T$  from right to left in successive rows, starting at the top, is a lattice permutation.

For (LR3) to be satisfied, it is necessary and sufficient that, for  $i \geq 1$  and  $k \geq 0$ , the number of symbols  $i$  in the first  $k$  rows of  $T$  should not be less than the number of symbols  $i + 1$  in the first  $k + 1$  rows of  $T$ .

Every submodule  $N$  of a finite  $\mathfrak{o}$ -module  $M$  gives rise to a LR-sequence of type  $(\mu', \nu', \lambda')$ , where  $\lambda, \mu, \nu$  are the types of  $M, M/N$ , and  $N$  respectively.

### 2.4 Hall polynomial

Denote  $G_S(\mathfrak{o})$  the number of submodules  $N$  of  $M$  whose associated LR-sequence  $S(N)$  of  $S$ . Each  $N$  has type  $\nu$  and cotype  $\mu$ .

Let  $q$  denote the number of elements in the residue field of  $\mathfrak{o}$ , and recall that  $n(\lambda) = \sum_i (i-1)\lambda_i$ , for any partition  $\lambda$ . Then:

For each LR-sequence  $S$  of type  $(\mu', \nu', \lambda')$ , there exists a monic polynomial  $g_S(t) \in \mathbf{Z}[t]$  of degree  $n(\lambda) - n(\mu) - n(\nu)$ , independent of  $\mathfrak{o}$ , such that

$$g_S(q) = G_S(\mathfrak{o}) \tag{2.5}$$

In other words,  $G_S(\mathfrak{o})$  is a polynomial in  $q$ . Now define, for any three partitions  $\lambda, \mu, \nu$

$$g_{\mu\nu}^\lambda(t) = \sum_S g_S(t)$$

summed over all LR-sequences  $S$  of type  $(\mu', \nu'; \lambda')$ . This polynomial is the **Hall polynomial** corresponding to  $\lambda, \mu, \nu$ . Recall from sections 1.5 and 1.9 that  $c_{\mu\nu}^\lambda$  denotes the coefficient  $s_\lambda$  in the product  $s_\mu s_\nu$ ; That  $c_{\mu\nu}^\lambda = c_{\mu'\nu'}^{\lambda'}$  is the number of LR-sequences of type  $(\mu', \nu'; \lambda')$ . Then it follows that

(i) If  $c_{\mu\nu}^\lambda = 0$ , the Hall polynomial  $g_{\nu\mu}(t)$  is identically zero. In particular,  $g_{\nu\mu}(t) = 0$  unless  $|\lambda| = |\mu| + |\nu|$  and  $\mu, \nu \subset \lambda$ .

(ii) If  $c_{\mu\nu}^\lambda \neq 0$ , then  $g_{\mu\nu}^\lambda(t)$  has degree  $n(\lambda) - n(\mu) - n(\nu)$  and leading coefficient  $c_{\mu\nu}^\lambda$ .

(iii) In either case,  $G_{\mu\nu}^\lambda(\mathfrak{o}) = g_{\mu\nu}^\lambda(q)$ .

(iv)  $g_{\mu\nu}^\lambda(t) = g_{\nu\mu}^\lambda(t)$ .



### 3 CHAPTER V: THE HECKE RING OF $GL_n$ OVER A LOCAL FIELD

#### 3.1 Local fields

In this chapter, we assume  $F$  is a **non-archimedean local field**, i.e.,

- (i)  $F$  is a finite algebraic extension of  $\mathbf{Q}_p$  for some prime  $p$ , or
- (ii)  $F = \mathbf{F}_q(t)$ , where  $\mathbf{F}_q$  is a finite field.

Let  $\mathfrak{o} = \{a \in F : |a| \leq 1\}$  be the **ring of integers**, and  $\mathfrak{p} = \{a \in F : |a| < 1\}$ . Let  $k = \mathfrak{o}/\mathfrak{p}$  be the residue field, and let  $q < \infty$  be its order. Let  $\pi$  be a generator of  $\mathfrak{p}$  with  $|\pi| = q^{-1}$ .

#### 3.2 The Hecke ring $H(G, K)$

Let  $G = GL_n(F)$  be the group of all invertible  $n \times n$  matrix over  $F$ . Also let

$$G^+ = G \cap M_n(\mathfrak{o})$$

be the subsemigroup of  $G$  consisting of all matrices  $x \in G$  with entries  $x_{ij} \in \mathfrak{o}$ , and let

$$K = GK_n(\mathfrak{o}) = G^+ \cap (G^+)^{-1}$$

so that  $K$  consisting of all  $x \in G$  with entries  $x_{ij} \in \mathfrak{o}$  and  $\det(x)$  a unit in  $\mathfrak{o}$ .

Let  $dx$  denote the unique Haar measure on  $G$  for which  $K$  has measure 1 and is both left- and right-invariant under the multiplication of  $K$ . Under this measure, the measure of  $Kx$  and  $xK$  is 1 for all non-zero  $x \in G$ .

Let  $L(G, K)$  denote the space of all complex-valued continuous functions of compact support of  $G$  (resp.  $G^+$ ) which are bi-invariant with respect to  $K$ , i.e., such that

$$f(k_1 x k_2) = f(x)$$

for all  $x \in G$  (resp.  $G^+$ ) and  $k_1, k_2 \in K$ . We may and shall regard  $L(G^+, K)$  as a subspace of  $L(G, K)$ .

We define a multiplication on  $L(G, K)$  as follows: for all  $f, g \in L(G, K)$ ,

$$(f * g)(x) = \int_G f(xy^{-1})g(y)dy$$

(Since  $f$  and  $g$  are compactly supported, the integration is over a compact set.) This product is associative and commutative. Since  $G^+$  is closed under multiplication, it follows immediately from the definition that  $L(G^+, K)$  is a subring of  $L(G, K)$ .

Each function  $f \in L(G, K)$  is constant on each double coset  $KxK$  in  $G$ . These double cosets are compact and mutually disjoint. Since  $f$  has compact support, it follows that  $f$  takes non-zero values on only finitely many double cosets  $KxK$ , and hence can be written as

a finite linear combination of their characteristic functions. Hence the characteristic functions of the double cosets of  $K$  form a  $\mathbf{C}$ -basis on  $L(G, K)$ . The characteristic function of  $K$  is the identity element of  $L(G, K)$ .

If we vary the definition of the algebra  $L(G, K)$  (resp.  $L(G^+, K)$ ) by requiring the functions to take their values in  $\mathbf{Z}$  instead of  $\mathbf{C}$ , the resulting ring is called the **Hecke ring** of  $G$  (resp.  $G^+$ ), and we denote it by  $H(G, K)$  (resp.  $H(G^+, K)$ .) Clearly, we have

$$L(G, K) \cong H(G, K) \otimes_{\mathbf{Z}} \mathbf{C}, L(G^+, K) \cong H(G^+, K) \otimes_{\mathbf{Z}} \mathbf{C}$$

We will soon discover that the Hecke ring  $H(G, K)$  is closely related to the Hall algebra  $H(\mathfrak{o})$  of the discrete evaluating ring  $\mathfrak{o}$ .

Consider a double coset  $KxK$ , where  $x \in G$ . By multiplying  $x$  by a suitable power of  $\pi$  we can bring  $x$  to  $G^+$ . The theory of elementary divisors for matrices over a principal ideal domain now shows that by pre- and post- multiplying  $x$  by suitable elements of  $K$  we can reduce  $x$  to a diagonal matrix. Multiplying further by a diagonal matrix belonging to  $x$  will produce a diagonal matrix whose entries are powers of  $\pi$ , and finally conjugation by a permutation matrix will get the exponents in descending order. Hence, each double coset  $KxK$  has a unique representative of the form

$$\pi^\lambda = (\pi^{\lambda_1}, \dots, \pi^{\lambda_n})$$

where  $\lambda_1 \geq \dots \geq \lambda_n$ . We have  $\lambda_n \geq 0$  (so that  $\lambda$  is a partition) if and only if  $x \in G^+$ .

Let  $c_\lambda$  denote the characteristic function of the double coset  $K\pi^\lambda K$ . Then we have the  $c_\lambda$  (resp. the  $c_\lambda$  such that  $\lambda_n \geq 0$ ) form a  $\mathbf{Z}$ -basis of  $H(G, K)$  (resp.  $H(G^+, K)$ ). The characteristic function  $c_0$  of  $K$  is the identity element of  $H(G, K)$  and  $H(G^+, K)$ . Notice that

$$H(G, K) = H(G^+, K)[c_{(1^n)}^{-1}]$$

This would allow us to concentrate on  $H(G^+, K)$ , which has a  $\mathbf{Z}$ -basis consisting of the characteristic functions  $c_\lambda$ , where  $\lambda$  runs through all partitions  $(\lambda_1, \dots, \lambda_n)$  of length  $\leq n$ .

Let  $\mu, \nu$  be partitions of length  $\leq n$ . The product  $c_\mu * c_\nu$  will be a linear combination of the  $c_\lambda$ . In fact,

$$c_\mu * c_\nu = \sum_{\lambda} g_{\mu\nu}^\lambda(q) c_\lambda \tag{3.1}$$

summed over all partitions  $\lambda$  of length  $\leq n$ , where  $g_{\mu\nu}^\lambda(q)$  is the "Hall polynomial" defined in Chapter II. In fact, if we write  $K\pi^\mu K = \cup_j Kx_j$ ,  $K\pi^\nu K = \cup_j Ky_j$  as disjoint unions of left cosets, then we have

$$(c_\mu * c_\nu)(\pi^\lambda) = \int_G c_\mu(\pi^\lambda y^{-1}) c_\nu(y) dy = \sum_j c_\mu(\pi^\lambda y_j^{-1}) \tag{3.2}$$

since  $K$  has measure 1. This is furthermore equal to the number of parts  $(i, j)$  such that

$$\pi^\lambda = kx_i y_j$$

for some  $k \in K$  depending on  $i, j$ , and thus  $= g_{\mu\nu}^\lambda(q)$ .

From (3.1), it follows that the mapping  $u_\lambda \mapsto c_\lambda$  is a homomorphism of the Hall algebra  $H(\mathfrak{o})$  onto  $H(G^+, K)$  whose kernel is generated by the  $u_\lambda$  such that  $l(\lambda) > n$ . Hence from Chapter III, we obtain a structure theorem for  $H(G^+, K)$  and  $L(G^+, K)$ : Let  $\Lambda_n[q^{-1}]$  denote the ring of symmetric polynomials in  $n$  variables with coefficient in  $\mathbf{Z}[q^{-1}]$  (resp.  $\mathbf{C}$ ). Then the  $\mathbf{Z}$ -linear mapping  $\theta$  of  $H(G^+, K)$  into  $\Lambda_n[q^{-1}]$  (resp.  $\mathbf{C}$ ). Then the  $\mathbf{Z}$ -linear mapping of  $H(G, K)$  into  $\Lambda_n[q^{-1}]$  (resp. the  $\mathbf{C}$ -linear mapping of  $L(G^+, K)$  into  $\Lambda_{n, \mathbf{C}}$ ) defined by

$$\theta(c_\lambda) = q^{-n(\lambda)} P_\lambda(x_1, \dots, x_n; q^{-1}) \quad (3.3)$$

for all partitions  $\lambda$  of length  $\leq n$ , is an injective ring homomorphism (resp. an isomorphism of  $\mathbf{C}$ -algebras).

Finally, let us compute the measure of a double closet  $K\pi^\lambda K$ . For  $f \in L(G^+, K)$ , let

$$\mu(f) = \int_G f(x) dx$$

Then  $\mu : L(G^+, K) \rightarrow \mathbf{C}$  is a  $\mathbf{C}$ -algebra homomorphism, and clearly  $\mu(c_\lambda)$  is the measure of  $K\pi^\lambda K$ . In view of (3.3) we may write  $\mu = \mu' \circ \theta$ , where  $\mu' : \Lambda_{n, \mathbf{C}} \rightarrow \mathbf{C}$  is a  $\mathbf{C}$ -algebra homomorphism, hence is determined by its effect on the generators  $e_r = P_{(1^r)}(x_1, \dots, x_n; q^{-1})$ . On the other hand,  $\mu(c_{(1^r)})$  is the number of subvector spaces in  $k^n$  with dimension  $r$ , which is equal to

$$\mu(c_{(1^r)}) = \begin{bmatrix} n \\ r \end{bmatrix} (q)$$

From (3.3) we have  $\mu'(e_r) = q^{r(r-1)/2} \begin{bmatrix} n \\ r \end{bmatrix} (q) = e_r(q^{n-1}, q^{n-2}, \dots, 1)$ . Hence  $\mu'$  is the mapping which takes  $x_i$  to  $q^{n-i}$  ( $1 \leq i \leq n$ ). It follows that therefore from (3.2) and (3.3) that the measure of  $K\pi^\lambda K$  is  $q^{-n(\lambda)} P_\lambda(q^{n-1}, q^{n-2}, \dots, 1; q^{-1})$ . Hence, we also have the measure of  $K\pi^\lambda K$  is equal to

$$q^{\sum(n-2i+1)\lambda_i} v_n(q^{-1}) / v_\lambda(q^{-1}) = q^{2(\lambda, \rho)} v_n(q^{-1}) / v_\lambda(q^{-1}) \quad (3.4)$$

where  $\rho = \frac{1}{2}(n-1, n-3, \dots, 1-n)$ .