

# Characterizing generic global rigidity

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<http://www.math.columbia.edu/~dpt/speaking>

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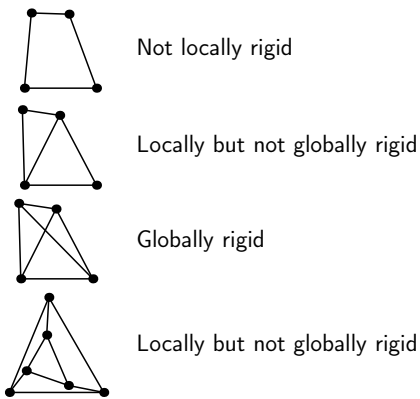
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## Flavors of rigidity

- ▶ A *framework* in  $\mathbb{E}^d$  is a graph and a map from its vertices to  $\mathbb{E}^d$ .
- ▶ A framework is *locally rigid* in  $\mathbb{E}^d$  if every other framework in a small neighborhood with same edge lengths is related to it by an isometry of  $\mathbb{E}^d$ .
- ▶ A framework is *globally rigid* in  $\mathbb{E}^d$  if every other framework in  $\mathbb{E}^d$  with same edge lengths is related to it by an isometry of  $\mathbb{E}^d$ .

## 2D Examples



## Aside: Simplices

### Theorem (Asimow-Roth '78)

Any framework whose graph is a complete graph is globally rigid.

A framework with  $d + 1$  or fewer vertices in  $d$  dimensions is locally rigid iff graph is complete.

Thus no interesting questions for graphs with few vertices.  
Will assume graphs have at least  $d + 2$  vertices.

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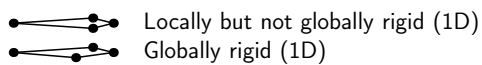
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## Rigidity is NP-hard...

### Theorem (Saxe '79)

Checking whether a framework with integer coordinates is globally rigid is NP-hard.

Idea: In 1D, need to solve a partition problem.



So problem seems hopeless!

## ... Generic rigidity is easy

### Definition

A framework is *generic* if its coordinates do not satisfy any polynomial equation.



### Definition

A graph is *generically globally rigid* if a generic framework of it is globally rigid.

We

- ▶ characterize generically globally rigid graphs;
- ▶ show global rigidity is independent of the generic framework; and
- ▶ give an efficient randomized algorithm for checking the condition.

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## History and applications

2D case understood completely (Laman '70, Lovász-Yemini '82, Jackson-Jordán '05).

Hendrickson '92 gave simple necessary conditions for global rigidity; Connelly showed these were not sufficient in 3D.

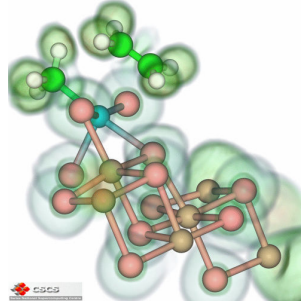
People care!

Reconstruction: given some distances between nodes, reconstruct framework.

Global rigidity necessary to be well-posed.

Applications:

- ▶ molecular chemistry
- ▶ sensor networks



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## Geometry of maps

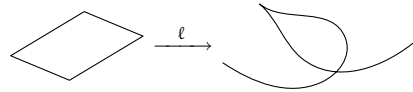
### Definition

The *length-squared function*  $\ell$  is the map from frameworks of a graph to its edge lengths, squared.

### Definition

The *rigidity matrix* of a framework is the Jacobian  $\ell^*$  of  $\ell$ .

The *rank* of an algebraic map is the rank of its linearization at generic points (= dimension of the image).



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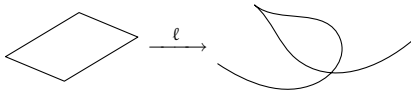
## Generic local rigidity

### Theorem (Asimow-Roth '78)

A graph is generically locally rigid  $\Leftrightarrow$  rank of  $\ell^*$  is generically  $vd - \frac{d(d+1)}{2}$ .

Interpretation:

- ▶ Group  $\text{Eucl}(d)$  of isometries has dimension  $\frac{d(d+1)}{2}$ .
- ▶ Kernel of  $\ell^*$  always contains tangent to  $\text{Eucl}(d)$ .
- ▶ Graph is generically locally rigid iff  $\ker \ell^*$  no bigger.



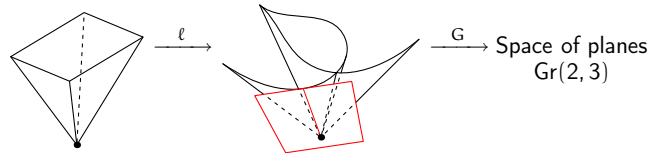
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## The Gauss map

### Definition

The *measurement set*  $M$  is the image of  $\ell$ .

The *Gauss map*  $G$  of a homogeneous semi-algebraic set of dimension  $t$  in  $\mathbb{R}^n$  takes each smooth point to its tangent space, considered as a point in the Grassmannian  $\text{Gr}(t, n)$ .



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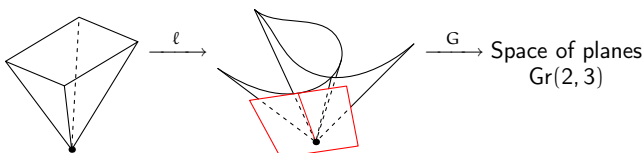
## Generic global rigidity, version 1

### Theorem (Connelly $\Rightarrow$ '95-05, Gortler-Healy-T $\Leftarrow$ )

Rank of Gauss map on  $M$  is  $vd - d(d+1)$   
 $\Leftrightarrow$  graph is generically globally rigid

Interpretation:

- ▶ Group  $\text{Aff}(d)$  of affine transformations has dimension  $d(d+1)$
- ▶ We will see kernel of  $(G \circ \ell)^*$  contains tangent to  $\text{Aff}(d)$
- ▶ Graph is generically globally rigid iff kernel is no bigger



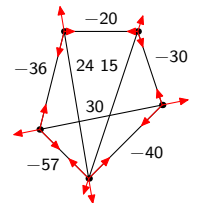
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## Stress vectors

### Definition

A *stress vector* of a framework  $\rho$  is a function  $\omega$  on the edges of  $\rho$  so that:

- ▶  $\forall u : \rho(u) = \frac{\sum_w \omega(u, w) \rho(w)}{\sum_w \omega(u, w)}$   
 (Each vertex is weighted avg of neighbors)
- ▶  $\forall u : \sum_w \omega(u, w) (\rho(u) - \rho(w)) = 0$
- ▶ "Spring weights" balance out to leave framework in equilibrium
- ▶ Vector is perpendicular to the span of  $\ell^*$   
 (All conditions equivalent.)



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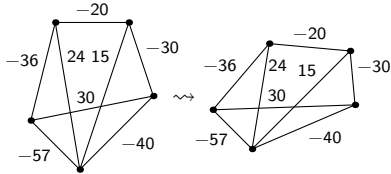
## Stresses: Easy facts

Stress vector:  $\forall u : \sum_w \omega(u, w)(\rho(u) - \rho(w)) = 0$

Condition is linear in  $\omega$  with  $\rho$  fixed  
 $\Rightarrow$  Set of stresses for a given  $\rho$  is vector space

Condition is linear in  $\rho$  with  $\omega$  fixed  
 $\Rightarrow$  If  $\rho$  satisfies  $\omega$ , so does any affine transform of  $\rho$   
 $\Rightarrow$  Whether  $\rho$  satisfies  $\omega$  only depends on coord projections

Let  $K(\rho)$  be 1D frameworks that satisfy all stresses that  $\rho$  satisfies.  
 $\dim K(\rho) \geq d + 1$  for non-flat  $\rho$ , but may be larger



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## Equivalence of statements

### Theorem

Rank of Gauss map on measurement set  $M$  is  $vd - d(d + 1)$   
 $\Leftrightarrow$  graph is generically globally rigid

### Theorem

$\dim K(\rho) = d + 1$  for generic  $\rho$   
 $\Leftrightarrow$  graph is generically globally rigid

### Lemma

Rank of Gauss map on  $M$  is  $vd - d(\dim K(\rho))$ .

### Proof.

$A(\rho) := d$ -dim frameworks that satisfy all stresses  $\rho$  satisfies  $= K(\rho)^d$ .  
 Fiber of  $G \circ \ell$  is contained in  $A(\rho)$  as an open subset.  $\square$

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## Degrees

### Theorem

Given  $f : X \rightarrow Y$ , where

- ▶  $X, Y$  manifolds of same dimension,
- ▶  ~~$X$  compact~~,  $f$  proper,
- ▶  $Y$  connected.

Then there is a mod-two  $\deg f$ .  $|f^{-1}(y)| \equiv \deg f$  when  $y$  is regular value.

Can allow  $X$  to have singularities of codimension at least 2:

- ▶ remove image of singularities from  $Y$
- ▶ remove preimage of image from  $X$
- ▶  $f$  is still proper,  $Y$  is still connected

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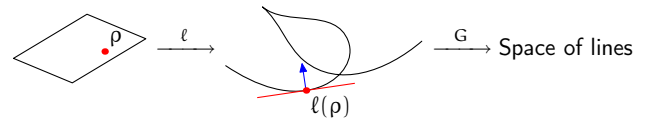
## Generic global rigidity, version 2

Theorem (Connelly  $\Rightarrow$  '95-05, Gortler-Healy-T  $\Leftarrow$ )

$\dim K(\rho) = d + 1$  for a generic  $\rho$   
 $\Leftrightarrow$  graph is generically globally rigid

Proof ( $\Rightarrow$ , sketch).

$\dim K(\rho) = d + 1$   
 $\Leftrightarrow$  only affine images of  $\rho$  have all the same stresses as  $\rho$   
 $\Rightarrow$  generically, only affine images can have same tangent space in  $M$   
 $\Rightarrow$  generically, only affine images can map to same point in  $M$   
 $\dots$  only isometric images can map to same point.  $\square$



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## Proof idea

### Theorem

$\dim K(\rho) > d + 1$  for generic  $\rho$   
 $\Rightarrow$  graph is not generically globally rigid

### Proof idea.

Given generic framework  $\rho$ ,  $\dim K(\rho) > d + 1$ :

- ▶ construct version of  $\ell$  between two spaces:  $f : X \rightarrow Y$ ;
- ▶ degree (mod two) is defined;
- ▶ degree is zero;
- ▶ alternate preimage of  $\rho$  is global flex.  $\square$

$\square$

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## The domain

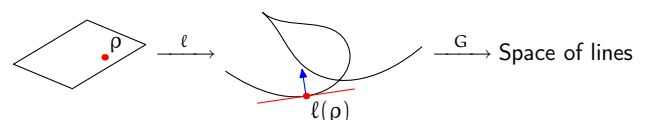
Recall/define:

- ▶  $K(\rho) = 1$ -dim frameworks satisfying all stresses that  $\rho$  satisfies
- ▶  $A(\rho) = d$ -dim frameworks satisfying all stresses that  $\rho$  satisfies  
 $= K(\rho)^d \supset G^{-1}(\ell^{-1}(G(\ell(\rho))))$

Domain  $X$  is  $A(\rho) / \text{Eucl}(d)$ .

### Lemma

If  $\dim K(\rho) > d + 1$ , singularities of  $A(\rho) / \text{Eucl}(d)$  have codim at least 2.



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## The range

Image of  $A(\rho) \approx$  fiber of  $G$  through  $\ell(\rho)$

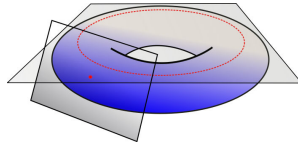
Gauss map is not arbitrary!

### Theorem

For an irreducible projective variety, generic fibers of Gauss map are linear.

Our measurement set  $M$  is semi-algebraic set  $\Rightarrow$  fibers of Gauss map are generically open subsets of linear spaces.

Range  $Y$  is linear space  $L(\rho)$  containing fiber of Gauss map through  $\ell(\rho)$ .



## The map

Recall: wanted  $f : X \rightarrow Y$ , with  $\dim X = \dim Y$ ,  $f$  proper,  $Y$  connected, singularities of  $X$  of codimension 2.

Assume graph is generically locally rigid.

Map  $f$  is restriction of  $\ell$  to  $A(\rho)/\text{Eucl}(d) \rightarrow L(\rho)$ .

Properties:

- ▶  $f$  is proper
- ▶  $A(\rho)/\text{Eucl}(d)$  and  $L(\rho)$  have same dimension (local rigidity)
- ▶  $A(\rho)/\text{Eucl}(d)$  has singularities of codim at least 2
- ▶  $f$  is not onto (edge length<sup>2</sup> is positive)
- ▶  $\rho$  is a regular point of  $f$  (local rigidity or genericity)

Thus  $\deg f = 0$  and there is an alternate framework with same edge lengths as  $\rho$ . □

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## More algebraic?

Let  $M_k =$  image of  $\ell$  on  $k$ -dim frameworks

$$M_d = \underbrace{M_1 + \dots + M_1}_{d \text{ copies}} = \text{sec}^d(M_1)$$

Can we prove a similar theorem with

- ▶ more general quadratic map  $\ell$ ?
- ▶ over a field other than  $\mathbb{R}$ ?
- ▶ with a different signature of metric on  $\mathbb{R}^d$ ?

Proof does not generalize: used the fact that edge lengths are positive

## More combinatorial?

The condition is efficiently checkable, but with a probabilistic algorithm.

Can we find

- ▶ a deterministic, polynomial-time algorithm?
- ▶ a more combinatorial description? (Yes in 2D)
- ▶ more examples?  
Hendrickson found easier necessary conditions (HC) for global rigidity. Only one known family of examples where HC not sufficient.

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## Changing dimension

### Theorem (Gortler-Healy-T)

Let  $\rho$  be generic, locally but not globally rigid framework in  $\mathbb{E}^d$ .  
Then  $\exists \rho'$  so  $\rho$  can be connected to  $\rho'$  by path in  $\mathbb{E}^{d+1}$ .

### Definition

A framework is *universally rigid* if every other framework with same edge lengths in any dimension is related by an isometry.

The vertex positions of a universally rigid framework can be found with semi-definite programming. (Good for applications.)

For which graphs is every generic framework in  $\mathbb{E}^d$  universally rigid?

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