

Arithmetic Siegel-Weil formula on $\mathcal{X}_0(N)$

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Special cycles on the modular curve $X_0(1)$

- Let $Y_0(1) := \mathrm{SL}_2(\mathbb{Z}) \backslash \mathcal{H}$ be the modular curve, it parameterize elliptic curves over \mathbb{C} by

$$\tau \in \mathcal{H} \mapsto E_\tau = \mathbb{C}/\mathbb{Z} + \mathbb{Z}\tau.$$

Let $X_0(1)$ be its compactification.

- Given an integer $m > 0$, we consider the following moduli problem: for a \mathbb{C} -scheme S ,

$$Z(m)(S) = \{(E, \alpha) : E/S \text{ is an elliptic curve,} \\ \alpha \in \mathrm{End}_S(E) \text{ satisfying } \alpha^2 = -m.\}.$$

Counting points on the modular curve $X_0(1)$

- The moduli problem $Z(m)$ parameterise elliptic curves with complex multiplication by the order $\mathcal{O}_m = \mathbb{Z} + \mathbb{Z} \cdot \sqrt{-m}$.
- It can be shown the set $Z(m)(\mathbb{C})$ consists of finitely many points.

A natural question: what's $\#Z(m)(\mathbb{C})$?

Counting points on the modular curve $X_0(1)$

$$\#Z(m)(\mathbb{C}) = \sum_E \#\{\alpha \in \text{End}_{\mathbb{C}}(E) : \alpha^2 = -m.\} / \text{aut}(E).$$

Counting points on the modular curve $X_0(1)$

$$\#Z(m)(\mathbb{C}) = \sum_E \#\{\alpha \in \text{End}_{\mathbb{C}}(E) : \alpha^2 = -m.\} / \text{aut}(E).$$

If $E = E_{\tau}$ appears on the right hand side, then τ satisfies a quadratic equation

$$a\tau^2 + b\tau + c = 0.$$

where $a, b, c \in \mathbb{Z}$ and $\gcd(a, b, c) = 1$, the discriminant of this equation is $b^2 - 4ac$.

Recall that the discriminant of \mathcal{O}_m is $-4m$, then there exists an integer $k > 0$ such that

$$-4m = k^2(b^2 - 4ac).$$

Counting points on the modular curve $X_0(1)$

Then by the theory of complex multiplication, we have

$$\begin{aligned} \#Z(m)(\mathbb{C}) &= \sum_E \#\{\alpha \in \text{End}_{\mathbb{C}}(E) : \alpha^2 = -m.\} / \text{aut}(E). \\ &= \sum_{k>0: k^2|4m} h\left(\frac{4m}{k^2}\right) = H(4m). \end{aligned}$$

Recall that for a positive integer N ,

$H(N) = \#\text{SL}_2(\mathbb{Z})$ -equivalence classes of positive definite binary quadratic form of disc $-N$.

$h(N) = \#\text{SL}_2(\mathbb{Z})$ -equivalence classes of primitive positive definite binary quadratic form of disc $-N$.

Geometric Siegel-Weil formula on $X_0(1)$

- The modular curve $X_0(1)$ is the (compactified) GSpin Shimura variety attached to the rank 3 quadratic lattice $V = M_2(\mathbb{Z})^{\mathrm{tr}=0}$, because

$$\mathrm{GSpin}(V_{\mathbb{Q}}) \simeq \mathrm{GL}_2, \quad \mathrm{GSpin}(V) \simeq \mathrm{GL}_2(\mathbb{Z})$$

- There is an Eisenstein series $E(z, s, 1_{V \otimes \hat{\mathbb{Z}}})$ associated to the lattice V via Weil representation.

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Theorem (Geometric Siegel-Weil formula on $Y_0(1)$)

Let $m > 0$ be an integer, then

$$\#Z(m)(\mathbb{C}) \cdot q^m = \frac{1}{12} \cdot E_m(z, \frac{1}{2}, 1_{V \otimes \hat{\mathbb{Z}}}).$$

Computing the Eisenstein series

We can also compute the Fourier coefficients $E_m(z, \frac{1}{2}, 1_{V \otimes \hat{\mathbb{Z}}})$ in the following way,

$$E_m(z, \frac{1}{2}, 1_{V \otimes \hat{\mathbb{Z}}}) = 4\pi(1+i)\sqrt{m} \cdot q^m \prod_p W_{m,p}(1, \frac{1}{2}, 1_{V \otimes \mathbb{Z}_p}).$$

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Assume $-m < -4$ is a fundamental discriminant, by the works of Kudla, Rapoport and Yang

$$E_m(z, \frac{1}{2}, 1_{V \otimes \hat{\mathbb{Z}}}) = (3 - \chi_m(2)) \cdot \frac{\sqrt{m}}{\pi} L(1, \chi_m) \cdot q^m.$$

Geometric Siegel-Weil v.s. Class number formula

On the other hand,

$$H(4m) = h(m) + h(4m) = (3 - \chi_m(2)) \cdot h(m).$$

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The geometric Siegel-Weil formula on $Y_0(1)$ implies

Theorem (Class number formula)

Let $-m < -4$ be a fundamental discriminant, then

$$h(m) = \frac{\sqrt{m}}{\pi} L(1, \chi_m).$$

The modular curve $Y_0(N)$

- Let $Y_0(N) = \Gamma_0(N) \backslash \mathcal{H}$, and $X_0(N) = Y_0(N) \cup \{\text{cusps}\}$. The modular curve $Y_0(N)$ parameterize cyclic isogenies between elliptic curves over \mathbb{C} by the following

$$\tau \in \mathcal{H} \mapsto (E_\tau = \mathbb{C}/\mathbb{Z} + \mathbb{Z}\tau \rightarrow E_{\frac{\tau}{N}}).$$

- Given an integer $m > 0$, we consider the following moduli problem: for a \mathbb{C} -scheme S ,

$$Z(m)(S) = \{(E \xrightarrow{\pi} E', \alpha) : E \xrightarrow{\pi} E' \text{ is a cyclic isogeny between elliptic curves, } \alpha \in \text{Hom}_S(E, E') \text{ satisfies } \alpha^\vee \circ \pi + \pi^\vee \circ \alpha = 0 \text{ and } \alpha^\vee \circ \alpha = m.\}.$$

Geometric Siegel-Weil formula on $\mathcal{X}_0(N)$

Let $N > 0$ be an integer, and $\Delta(N)$ be the following rank 3 quadratic lattice over \mathbb{Z} ,

$$\Delta(N) = \left\{ x = \begin{pmatrix} -Na & b \\ c & a \end{pmatrix} : a, b, c \in \mathbb{Z} \right\}.$$

Geometric Siegel-Weil formula on $X_0(N)$

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Then the geometric Siegel-Weil formula on $X_0(N)$ is proved by Tuoping and Tonghai [DY19],

Theorem (Geometric Siegel-Weil formula on $X_0(N)$)

For an integer $m > 0$, we have

$$\#Z(m)(\mathbb{C}) \cdot q^m = \frac{\psi(N)}{12} E\left(z, \frac{1}{2}, 1_{\Delta(N)(\hat{\mathbb{Z}})}\right).$$

here $\psi(N) = N \prod_{p|N} (1 + p^{-1})$.

The stack $\mathcal{X}_0(N)$

Let $\mathcal{Y}_0(N)$ be the stack of $\Gamma_0(N)$ -level structures on elliptic curves defined by Katz and Mazur in [KM85]: for a scheme S ,

$$\mathcal{Y}_0(N)(S) = \{E \xrightarrow{\pi} E' : \pi \text{ is a cyclic isogeny and } \pi^\vee \circ \pi = N\}.$$

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here π is cyclic means that the order N group scheme $G := \ker(\pi)$ is a cyclic group scheme in the sense that there exists a section $P \in G(S)$ such that for any $f \in \mathcal{O}_G$,

$$\det(T - f) = \prod_{a=1}^N (T - f(aP)).$$

Let $\mathcal{X}_0(N)$ be its compactification.

Special cycles on $\mathcal{X}_0(N)$

- Given an integer $m > 0$, we consider the following moduli problem: for a scheme S ,

$$Z(m)(S) = \{(E \xrightarrow{\pi} E', \alpha) : \pi \text{ is a cyclic } N\text{-isogeny, } \alpha \text{ is an isogeny from } E \text{ to } E' \text{ satisfying } \alpha^\vee \circ \alpha = m \text{ and } \alpha^\vee \circ \pi + \pi^\vee \circ \alpha = 0.\}.$$

It is a generalized Cartier divisor on $\mathcal{X}_0(N)$ and has no intersections with cusps.

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It is a generalized Cartier divisor on $\mathcal{X}_0(N)$ and has no intersections with cusps.

- Given a 2×2 positive definite symmetric matrix T , we define the moduli problem $\mathcal{Z}(T)$ as follows: for a scheme S ,

$$\mathcal{Z}(T)(S) = \{(E \xrightarrow{\pi} E', \alpha_1, \alpha_2) : \pi \text{ is a cyclic } N\text{-isogeny, } \alpha_i \text{ are isogenies from } E \text{ to } E' \text{ satisfying } \frac{1}{2}(\alpha_i, \alpha_j) = T \text{ and } \alpha_i^\vee \circ \pi + \pi^\vee \circ \alpha_i = 0.\}.$$

The special cycle $\mathcal{Z}(T)$

Let $T \in \text{Sym}_2(\mathbb{Q})$ be a nonsingular matrix, we define the following difference set

$$\text{Diff}(T, \Delta(N)) = \{l \text{ is a finite prime} : T \text{ is not represented} \\ \text{by } \Delta(N) \otimes \mathbb{Q}_l.\}$$

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Lemma

Let $T \in \text{Sym}_2(\mathbb{Q})$ be a nonsingular matrix. If $\mathcal{Z}(T)(\overline{\mathbb{F}}_p) \neq \emptyset$ for some prime p , then T is positive definite, and

$$\text{Diff}(T, \Delta(N)) = \{p\}.$$

Moreover, in this case, the special cycle $\mathcal{Z}(T)$ is supported in the supersingular locus of the special fiber $\mathcal{X}_0(N)_{\mathbb{F}_p}$.

Arithmetic Siegel-Weil formula on $\mathcal{X}_0(N)$

Let T be a 2×2 positive definite symmetric matrix with diagonal elements m_1, m_2 , then we define

$$\deg(\mathcal{Z}(T)) = \chi(\mathcal{Z}(T), \mathcal{O}_{\mathcal{Z}(m_1)} \otimes^{\mathbb{L}} \mathcal{O}_{\mathcal{Z}(m_2)}) \cdot \log p.$$

where $p \in \text{Diff}(T, \Delta(N))$.

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Theorem (Arithmetic Siegel-Weil formula on $\mathcal{X}_0(N)$)

Let $T \in \text{Sym}_2(\mathbb{Q})$ be a positive definite symmetric matrix, then

$$\deg(\mathcal{Z}(T))q^T = \frac{\psi(N)}{24} \cdot E'(z, 0, 1_{(\Delta(N) \otimes \hat{\mathbb{Z}})^2}).$$

where $z = x + iy \in \mathcal{H}_2$ and $q^T = e^{2\pi i \text{tr}(Tz)}$.

Key ingredients

- Formal uniformization of the supersingular locus of $\mathcal{X}_0(N)$.

It connects intersection numbers on $\mathcal{X}_0(N)$ with local arithmetic intersection numbers on the RZ space associated to $\mathcal{X}_0(N)$.

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It connects local arithmetic intersection numbers on RZ space with Whittaker functions.

Both are proved by embedding trick!

RZ space associated to $\mathcal{X}_0(1) \times \mathcal{X}_0(1)$

Let \mathbb{X} be a p -divisible group of dim 1, height 2. Consider the following functor: for every $S \in \text{Nilp}_W$, the set $\mathcal{N}(S)$ consists of $((X, X'), (\rho, \rho'), (\lambda, \lambda'))$, where

(1) X and X' are two p -divisible group over S , ρ and ρ' are two height 0 quasi-isogenies between p -divisible groups

$$\rho : \mathbb{X} \times_{\mathbb{F}} \overline{S} \rightarrow X \times_S \overline{S}, \quad \rho' : \mathbb{X} \times_{\mathbb{F}} \overline{S} \rightarrow X' \times_S \overline{S}.$$

(2) $\lambda : X \rightarrow X^\vee$, $\lambda' : X' \rightarrow X'^\vee$ are two principal polarizations, such that Zariski locally on \overline{S} , we have

$$\rho^\vee \circ \lambda \circ \rho = c(\rho) \cdot \lambda_0, \quad \rho'^\vee \circ \lambda \circ \rho' = c(\rho') \cdot \lambda_0.$$

for some $c(\rho) = c(\rho') \in \mathbb{Z}_p^\times$.

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for some $c(\rho) = c(\rho') \in \mathbb{Z}_p^\times$.

■ $\mathcal{N} \simeq \text{Spf } W[[t_1, t_2]].$

Special cycles on \mathcal{N}

Let \mathbb{B} the unique division quaternion algebra over \mathbb{Q}_p , it is isometric to $\text{End}^0(\mathbb{X})$.

Definition

For any subset $L \subset \mathbb{B}$, define the special cycle $\mathcal{Z}^\sharp(L) \subset \mathcal{N}$ to be the closed formal subscheme cut out by the condition,

$$\rho'^{\text{univ}} \circ x \circ (\rho^{\text{univ}})^{-1} \in \text{Hom}(X^{\text{univ}}, X'^{\text{univ}}).$$

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for all $x \in L$.

Let L be a rank 3 lattice with basis x_1, x_2 and x_3 . Define the local arithmetic intersection number on \mathcal{N} to be

$$\text{Int}^\sharp(L) = \chi(\mathcal{N}, \mathcal{O}_{\mathcal{Z}^\sharp(x_1)} \otimes_{\mathcal{O}_{\mathcal{N}}}^{\mathbb{L}} \mathcal{O}_{\mathcal{Z}^\sharp(x_2)} \otimes_{\mathcal{O}_{\mathcal{N}}}^{\mathbb{L}} \mathcal{O}_{\mathcal{Z}^\sharp(x_3)}).$$

Difference divisor on \mathcal{N}

- For any $x \in \mathbb{B}$, the special cycle $\mathcal{Z}^\sharp(x)$ is cut out by a single equation $f_x \in W[[t_1, t_2]]$, define $d_x = f_x/f_{p^{-1}x} \in W[[t_1, t_2]]$ and the difference divisor $\mathcal{D}(x) = \text{Spf } W[[t_1, t_2]]/(d_x)$

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Theorem

The difference divisor $\mathcal{D}(x)$ is regular.

Recently we have proved that difference divisors on GSpin RZ spaces with hyperspecial level structure are regular, the formal scheme \mathcal{N} is a special example of such RZ spaces.

RZ space associated to $\mathcal{X}_0(N)$

Fix a N -isogeny $x_0 : \mathbb{X} \rightarrow \mathbb{X}$. Consider the following functor: for every $S \in \text{Nilp}_W$, the set $\mathcal{N}_0(N)(S)$ consists of

$(X \xrightarrow{x} X', (\rho, \rho'), (\lambda, \lambda'))$, where

(1) X and X' ...

(2) $\lambda : X \rightarrow X^\vee$, $\lambda' : X' \rightarrow X'^\vee$...

(3) $x : X \rightarrow X'$ is a cyclic isogeny (i.e., $\ker(x)$ is a cyclic group scheme over S) lifting $\rho' \circ x_0 \circ \rho^{-1}$.

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(3) $x : X \rightarrow X'$ is a cyclic isogeny (i.e., $\ker(x)$ is a cyclic group scheme over S) lifting $\rho' \circ x_0 \circ \rho^{-1}$.

Theorem ([KM85])

The natural morphism $\mathcal{N}_0(N) \rightarrow \mathcal{N}$ is a closed immersion, and $\mathcal{N}_0(N)$ is regular.

An isomorphism

Recall that we have fixed a N -isogeny x_0 when we define $\mathcal{N}_0(N)$.

Theorem

There is an isomorphism between formal schemes,

$$\mathcal{D}(x_0) \xrightarrow{\sim} \mathcal{N}_0(N).$$

Remark

- By the isomorphism and Zink's windows theory, we can compute the special fiber $\mathcal{N}_0(p^n)_p$ as follows

$$\mathbb{F}[[t_1, t_2]] / \left((t_1 - t_2^{p^n}) \cdot (t_2 - t_1^{p^n}) \cdot \prod_{\substack{a+b=n \\ a, b \geq 1}} (t_1^{p^{a-1}} - t_2^{p^{b-1}})^{p-1} \right).$$

which coincides with Katz-Mazur's computation.

Special cycles on $\mathcal{N}_0(N)$

Let $\mathbb{W} = \{x_0\}^\perp \subset \mathbb{B}$.

Definition

For any subset $M \subset \mathbb{W}$, define the special cycle $\mathcal{Z}(M) \subset \mathcal{N}_0(N)$ to be the closed formal subscheme cut out by the condition,

$$\rho'^{\text{univ}} \circ x \circ (\rho^{\text{univ}})^{-1} \in \text{Hom}(X^{\text{univ}}, X'^{\text{univ}}).$$

for all $x \in M$.

Let M be a rank 2 lattice with basis x_1 and x_2 . Define the local arithmetic intersection number on $\mathcal{N}_0(N)$ to be

$$\text{Int}(M) = \chi(\mathcal{N}_0(N), \mathcal{O}_{\mathcal{Z}(x_1)} \otimes_{\mathcal{O}_{\mathcal{N}_0(N)}}^{\mathbb{L}} \mathcal{O}_{\mathcal{Z}(x_2)}).$$

Difference formula at the geometric side

By the isomorphism $\mathcal{D}(x_0) \simeq \mathcal{N}_0(N)$, we can prove the following theorem

Theorem

For any rank 2 lattice $M \subset \mathbb{W}$, the following identity holds,

$$\text{Int}(M) = \text{Int}^\sharp(M \oplus \mathbb{Z}_p \cdot x_0) - \text{Int}^\sharp(M \oplus \mathbb{Z}_p \cdot p^{-1}x_0).$$

Local density

- For two quadratic lattice L and M , the local density is defined to be

$$\text{Den}(M, L) = \lim_{d \rightarrow \infty} \frac{\#\text{Rep}_{M,L}(\mathbb{Z}_p/p^d)}{p^{d \cdot \dim(\text{Rep}_{M,L})_{\mathbb{Q}_p}}}.$$

- Let H be a rank 2 quadratic lattice given by $q_H(x, y) = xy$, define the local density polynomial to be (rank $L = 2n - 1$)

$$\text{Den}(X, L) \Big|_{X=p^{-k}} = \frac{\text{Den}(H^{k+n}, L)}{\text{Nor}^+(p^{-k}, 2n - 1)},$$

where $\text{Nor}^\varepsilon(X, m) =$

$$\left(1 - \frac{1+(-1)^{m+1}}{2} \cdot \varepsilon q^{-(m+1)/2} X\right) \prod_{1 \leq i < (m+1)/2} (1 - q^{-2i} X^2).$$

Examples of local density

- When m is squarefree, then

$$\text{Den}(\Delta(1) \otimes \mathbb{Z}_p, \langle m \rangle) = 1 - \chi_m(p)p^{-1}.$$

- When $\nu_p(N) = 0$ or 1 , we have

$$\text{Den}(H^k, \langle N \rangle) = \begin{cases} (1 - p^{-k})(1 + p^{1-k}), & \text{when } p \mid N; \\ 1 - p^{-k}, & \text{when } p \nmid N. \end{cases}$$

Difference formula at the analytic side

Let $\delta_p(N) = \Delta(N) \otimes \mathbb{Z}_p$, define the following local density function with level N ,

$$\text{Den}_{\Delta(N)}(X, M) \Big|_{X=p^{-k}} = \begin{cases} \frac{\text{Den}(\delta_p(N) \oplus H^k, M)}{\text{Nor}^+(p^{-k}, 1)}, & \text{when } p \mid N; \\ \frac{\text{Den}(\delta_p(N) \oplus H^k, M)}{\text{Nor}^{(N,p)}_p(p^{-k}, 2)}, & \text{when } p \nmid N. \end{cases}$$

Theorem

For any rank 2 lattice $M \subset \mathbb{W}$, the following identity holds,

$$\text{Den}_{\Delta(N)}(X, M) = \text{Den}(X, M \oplus \mathbb{Z}_p \cdot x_0) - X^2 \cdot \text{Den}(X, M \oplus \mathbb{Z}_p \cdot p^{-1}x_0).$$

Difference formula at the analytic side

- We also define

$$\partial \text{Den}(L) = - \frac{d}{dX} \Big|_{X=1} \text{Den}(X, L).$$

$$\partial \text{Den}_{\Delta(N)}(M) = - \frac{d}{dX} \Big|_{X=1} \text{Den}_{\Delta(N)}(X, M).$$

Corollary

The lattice $M \oplus \mathbb{Z}_p \cdot x_0$ can't be isometrically embedded into the lattice H^2 , hence $\text{Den}(1, M \oplus \mathbb{Z}_p \cdot x_0) = 0$.

$$\partial \text{Den}_{\Delta(N)}(M) = \partial \text{Den}(M \oplus \mathbb{Z}_p \cdot x_0) - \partial \text{Den}(M \oplus \mathbb{Z}_p \cdot p^{-1}x_0).$$

A theorem of Gross and Keating

Theorem ([GK93],[Rap07],[Wed07])

For any rank 3 lattice $L \subset \mathbb{B}$,

$$\text{Int}^\sharp(L) = \partial\text{Den}(L).$$

A theorem of Gross and Keating

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For any rank 3 lattice $L \subset \mathbb{B}$,

$$\text{Int}^\sharp(L) = \partial\text{Den}(L).$$

Combing this with two difference formulas, we obtain

Theorem (KR conjecture for the RZ space $\mathcal{N}_0(N)$)

For any rank 2 lattice $M \subset \mathbb{W}$,

$$\text{Int}(M) = \partial\text{Den}_{\Delta(N)}(M).$$

Formal uniformization

- There is an isomorphism of formal stacks over W ,

$$\hat{\mathcal{X}}_0(N)/(\mathcal{X}_0(N)_{\mathbb{F}_p}^{ss}) \xrightarrow[\sim]{\Theta_{\mathcal{X}_0(N)}} B^\times(\mathbb{Q})_0 \backslash [\mathcal{N}_0(N) \times \mathrm{GL}_2(\mathbb{A}_f^p) / \Gamma_0(N)(\hat{\mathbb{Z}}^p)]$$

where $B^\times(\mathbb{Q})_0$ is the subgroup of $B^\times(\mathbb{Q})$ consisting of elements whose norm has p -adic valuation 0.

Formal uniformization

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where $B^\times(\mathbb{Q})_0$ is the subgroup of $B^\times(\mathbb{Q})$ consisting of elements whose norm has p -adic valuation 0.

As a corollary, we have the formal uniformization of the special cycles,

$$\begin{aligned} \hat{\mathcal{Z}}^{ss}(T) = & \sum_{\substack{\mathbf{x} \in B^\times(\mathbb{Q})_0 \backslash (\Delta(N)^{(p)})^2 \\ T(\mathbf{x}) = T}} \sum_{g \in B^\times(\mathbb{Q})_0 \backslash \mathrm{GL}_2(\mathbb{A}_f^p)/\Gamma_0(N)(\hat{\mathbb{Z}}^p)} \\ & 1_{\Delta(N) \otimes \hat{\mathbb{Z}}^p}(g^{-1}\mathbf{x}) \cdot \Theta_{\mathcal{X}_0(N)}^{-1}(\mathcal{Z}(\mathbf{x}), g). \end{aligned}$$

Proof strategy

Theorem

For any rank 2 lattice $M \subset \mathbb{W}$, the following identity holds,

$$\text{Den}_{\Delta(N)}(X, M) = \text{Den}(X, M \oplus \mathbb{Z}_p \cdot x_0) - X^2 \cdot \text{Den}(X, M \oplus \mathbb{Z}_p \cdot p^{-1}x_0).$$

Key idea: First embed x_0 to the large self-dual lattice H^k , the depth of the an embedding is defined to be

$$x_0 \in p^t H^k, \text{ but } x_0 \notin p^{t+1} H^k.$$







then embed M into $\{x_0\}^\perp \subset H^k$, which is totally determined by the depth of x_0 !

Lemma (Witt theorem for lattices, [Mor79])

Let H be a self-dual quadratic lattice, if x_1 and x_2 has the same depth and norm, then there exists $g \in O(H)$ such that $g \cdot x_1 = x_2$.

Thank you!

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