

# Iwasawa Main Conjecture over $\mathbb{Q}$

## Selmer groups (Motivation?)

$\mathbb{Q}_\infty = \mathbb{Q}(\mu_{p^\infty})^{\text{tr}}$  is cyclotomic  $\mathbb{Z}_p$ -extension of  $\mathbb{Q}$ ,  $F/\mathbb{Q}$  finite, denote  $F_\infty = F \cdot \mathbb{Q}_\infty$ , cyclotomic  $\mathbb{Z}_p$ -ext of  $F$   
 $F_n =$  the fixed field corresponding to  $p^n \mathbb{Z}_p$ ,  $\text{Gal}(F_n/F) \cong \mathbb{Z}/p^n \mathbb{Z}$

$A_n :=$  class group of  $F_n$ , there is a natural action  $\text{Gal}(F_n/F) \curvearrowright A_n$

we have maps  $N_n: A_{n+1} \rightarrow A_n$  which is compatible with Galois action, then let

$$X_\infty = \varprojlim_n A_n, \quad X_\infty \text{ is } \Gamma := \text{Gal}(F_\infty/F) \cong \mathbb{Z}_p\text{-module}$$

Note:  $X_\infty$  is a f.g. torsion  $\Lambda = \mathbb{Z}_p[[\Gamma]] \cong \mathbb{Z}_p[[T]]$ -module

Recall: structure theorem of f.g. torsion  $\Lambda$ -module

$$M \sim \bigoplus_{i=1}^s \Lambda / f_i(T)^{k_i} \Lambda \oplus \bigoplus_{j=1}^t \Lambda / p^{l_j} \Lambda, \quad k_i, l_j \geq 1, \quad f_i \text{ distinguished}$$

$$\lambda(M) = \sum_{i=1}^s s_i \deg f_i, \quad \mu(M) = \sum_{j=1}^t l_j \text{ are invariants of } M$$

$$\text{Ch}_\Lambda(M) := (p^{\mu(M)} \cdot \prod_{i=1}^s f_i(T)^{k_i}) \Lambda \subset \Lambda$$

relating to Selmer groups:  
 ponsyagin dual

$$X_\infty^* \cong \ker \left( H^1(G_{F_\infty, \Sigma}, \mathbb{Q}_p/\mathbb{Z}_p) \rightarrow \bigoplus_{v \in \Sigma} H^1(I_v, \mathbb{Q}_p/\mathbb{Z}_p) \right)$$

$\Sigma$ : primes lying over  $p$

CONJECTURE 6.1.1 (The Iwasawa Main Conjecture). Let  $p$  be an odd prime. Let  $\chi$  be a nontrivial, even finite order  $p$ -adic character of  $G_{\mathbb{Q}}$  of conductor not divisible by  $p^2$ , and let  $F$  be the fixed field of the kernel of  $\chi$ . For the cyclotomic  $\mathbb{Z}_p$ -extension  $F_\infty$  of  $F$ , we have

$$\text{char}_{\Lambda_\chi} X_\infty^{(\omega\chi^{-1})} = (f_\chi),$$

where  $f_\chi \in \Lambda_\chi$  satisfies

$$f_\chi((1+p)^s - 1) = L_p(\chi, s)$$

for all  $s \in \mathbb{Z}_p$ .

## Generalization

$\chi: \text{Gal}(\mathbb{Q}(\mu_{p^n})/\mathbb{Q}) \simeq \mathbb{Z}_p^*$ ,  $\Delta = \text{Gal}(\mathbb{Q}(\mu_p)/\mathbb{Q}) \simeq \mu_{p-1}$ ,  $\Gamma = \text{Gal}(\mathbb{Q}_\infty/\mathbb{Q})$ ,  $\gamma \in \Gamma$  generator (topologically)

we consider the following character,  $k \geq 0$ ,  $\zeta$  is a  $p$ -th power root of unity

$$\begin{aligned} \phi_{k,\zeta}: \Gamma &\longrightarrow \mathbb{Z}_p[\zeta]^* \\ \gamma &\longmapsto \zeta \cdot \chi^k(\gamma) \end{aligned} \quad \text{it extends to homo: } \Lambda \xrightarrow{\phi_{k,\zeta}} \mathbb{Z}_p[\zeta]$$

we also consider  $\bar{\Psi}: G_{\mathbb{Q}} \longrightarrow \Lambda^*$  be

$$G_{\mathbb{Q}} \rightarrow \text{Gal}(\mathbb{Q}_\infty/\mathbb{Q}) = \Gamma \hookrightarrow \Lambda^*$$

define  $\bar{\Psi}_{k,\zeta} = \phi_{k,\zeta} \circ \bar{\Psi}: G_{\mathbb{Q}} \longrightarrow \mathbb{Z}_p[\zeta]^*$ , then  $\boxed{\bar{\Psi}_{k,\zeta} = \psi_\zeta \cdot \omega^{-k} \cdot \chi^k}$

where  $\omega: G_{\mathbb{Q}} \rightarrow \mu_{p-1} \subset \mathbb{Z}_p^*$  is the Teichmüller char

because  $\bar{\Psi}_{k,\zeta}(g) = \zeta^s \cdot \chi(\gamma)^{ks}$

$$g|_{\mathbb{Q}(\mu_{p^n})} = \omega(g) \cdot \gamma^s, \quad s \in 1+p\mathbb{Z}_p$$

$$\Rightarrow g|_{\mathbb{Q}_\infty} = \gamma^s$$

$$\text{and } \psi_\zeta \cdot \omega^{-k} \cdot \chi^k(g) = \zeta^s \cdot \omega^{-k}(g) \cdot \omega^k(g) \cdot \chi(\gamma)^{ks} = \bar{\Psi}_{k,\zeta}(g)$$

$\psi$ : primitive odd Dirichlet character,  $\mathcal{O}_\psi = \mathbb{Z}_p[\psi]$ ,  $F_\psi = \mathbb{Q}_p[\psi]$ ,  $\Lambda_\psi = \Lambda \otimes_{\mathbb{Z}_p} \mathcal{O}_\psi$

$G_{\mathbb{Q}} \rightarrow \mathbb{C}^*$

$\psi \bar{\Psi}: G_{\mathbb{Q}} \rightarrow \Lambda_\psi^*$

if  $\Sigma$  is a finite set of primes not including  $p$ , we define

$$\text{Sel}^\Sigma(\psi \chi^{-k}) = \ker \left( H^1(G_{\mathbb{Q}}, F_\psi/\mathcal{O}_\psi(\psi \chi^{-k})) \longrightarrow \bigoplus_{\ell \notin \Sigma} H^1(I_\ell, F_\psi/\mathcal{O}_\psi(\psi \chi^{-k})) \right)$$

and also

$$\text{Sel}_\infty^\Sigma(\psi) = \ker \left( H^1(G_{\mathbb{Q}}, \Lambda_\psi^*(\psi \bar{\Psi}^{-1})) \longrightarrow \bigoplus_{\ell \notin \Sigma} H^1(I_\ell, \Lambda_\psi^*(\psi \bar{\Psi}^{-1})) \right)$$

also

$$X^\Sigma(\psi \chi^{-k}) = \text{Sel}^\Sigma(\psi \chi^{-k})^*, \quad X_\infty^\Sigma(\psi) = \text{Sel}_\infty^\Sigma(\psi)^*$$

the relation between these two groups are

Prop: Let  $k \geq 0$ , suppose  $\forall l \neq p, l \notin \Sigma, p^2 \nmid \text{order of } \psi|_{I_l}$ , then

$$\text{Sel}^\Sigma(\psi\psi_\Sigma^{-1}\omega^k\chi^{-k}) \simeq \left( \text{Sel}_\infty^\Sigma(\psi) \otimes_{\mathcal{O}_\psi} \mathcal{O}_\psi[\Sigma] \right) [Y - \zeta\chi^k(\gamma)]$$

and

$$\left( X_\infty^\Sigma(\psi) \otimes_{\mathcal{O}_\psi} \mathcal{O}_\psi[\Sigma] \right) / (Y - \zeta\chi^k(\gamma)) \xrightarrow{\sim} X^\Sigma(\psi\psi_\Sigma^{-1}\omega^k\chi^{-k})$$

Let  $L = F_\psi(\mathcal{M}_p)$ ,  $L_\infty = F_\psi(\mathcal{M}_{p^\infty})$ ,  $M_\infty^\Sigma = \text{maximal abelian pro-}p \text{ extension unramified outside } \Sigma$

We have a natural action of  $\text{Gal}(L/\mathbb{Q})$  on  $\text{Gal}(M_\infty^\Sigma/L_\infty)$  given by the following:

$$\begin{array}{ccc} \text{Gal}(L/\mathbb{Q}) & \xrightarrow{\text{lift}} & \text{Gal}(L_\infty/\mathbb{Q}_\infty), \text{ since } L_\infty = L \cdot \mathbb{Q}_\infty \\ & \xrightarrow{\text{lift}} & \text{Aut}(M_\infty^\Sigma/\mathbb{Q}_\infty) \\ \begin{array}{ccc} & L_\infty & \\ & \swarrow \quad \searrow & \\ L & & \mathbb{Q}_\infty \\ & \nwarrow \quad \nearrow & \\ & \mathbb{Q} & \end{array} & & \text{Gal}(L_\infty/\mathbb{Q}_\infty) \xrightarrow{\sim} \text{Gal}(L/\mathbb{Q}) \quad (F_\psi \cap \mathbb{Q}_\infty = \mathbb{Q}) \end{array}$$

$\sigma \rightsquigarrow \tilde{\sigma} \in \text{Aut}(M_\infty^\Sigma/\mathbb{Q}_\infty)$ , define  $\sigma \cdot g = \tilde{\sigma} \cdot g \cdot \tilde{\sigma}^{-1} \in \text{Gal}(M_\infty^\Sigma/L_\infty)$  since  $g$  acts trivially on  $L_\infty$   
 $\downarrow$   
 $g \in \text{Gal}(M_\infty^\Sigma/L_\infty)$

independence of lifting  $\tilde{\sigma}$ : another lift  $\tilde{\sigma}'$  will differ with  $\tilde{\sigma}$  by  $\tilde{\sigma}' = \tilde{\sigma} \cdot \tau, \tau \in \text{Gal}(M_\infty^\Sigma/L_\infty)$   
 then  $\tau g \tau^{-1} = g$  since  $\text{Gal}(M_\infty^\Sigma/L_\infty)$  is abelian, the

Prop: if  $\psi$  is odd &  $F_\psi \cap \mathbb{Q}_\infty = \mathbb{Q}$ , then

$$X_\infty^\Sigma(\psi) \simeq \text{Gal}(M_\infty^\Sigma/L_\infty)^{\psi^{-1}} \text{ as } \Lambda\text{-mod}$$

Prop:  $X_\infty^\Sigma(\psi)$  is a finite torsion  $\Lambda_\psi\text{-mod}$ ,  $\text{Ch}_\infty^\Sigma(\psi)$  be its characteristic ideal

## Review: p-adic L-functions

For any odd Dirichlet character  $\psi$ , we know

$$L(s, \psi) = \prod_{\ell} (1 - \psi(\text{Frob}_{\ell}) \ell^{-s})^{-1} \quad \text{has nice analytic properties}$$

well-defined except possibly pole at  $s=1$  when  $\psi$  is trivial

$$L^{(p)}(s, \psi) = \prod_{\ell \neq p} (1 - \psi(\text{Frob}_{\ell}) \ell^{-s})^{-1} \sim \text{remove the } p\text{-part}$$

we associate to  $\psi \bar{\psi}_{k,s}$  the following L-function

$$L(s, \psi \bar{\psi}_{k,s}) = L(s-k, \underbrace{\psi \psi_s \omega^{-k}}_{\text{Dirichlet char (finite order)}}) \quad (\omega^{-1} \chi)^{n_s} \cdot \psi_s$$

"  $\psi \psi_s \omega^{-k} \chi^k$  "  $\psi$  finite  $\bar{\psi}$

$$L^{(p)}(s, \psi \bar{\psi}_{k,s}) = L^{(p)}(s-k, \psi \psi_s \omega^{-k}) \quad \chi_{\Lambda} = \chi \cdot \varepsilon_{\Lambda}^{\downarrow}$$

$\exists g_{\psi} \in \Lambda_{\psi}$  such that

$$\mathcal{L}_{\psi} = \frac{g_{\psi}}{h_{\psi}} \quad \text{with} \quad h_{\psi} = \begin{cases} \sum \chi(p)^i - 1 & \text{if } \psi = \omega^{-1} \psi_s^{-1} \\ 1 & \text{otherwise} \end{cases}$$

then 
$$\phi_{k,s}(\mathcal{L}_{\psi}) := \frac{\phi_{k,s}(g_{\psi})}{\phi_{k,s}(h_{\psi})} = L^{(p)}(0, \psi \bar{\psi}_{k,s}) \quad \text{for } k > 0$$

we also consider the following

$\Sigma$ : finite set of primes doesn't contain  $p$ , modified L-function is

$$\mathcal{L}_{\psi}^{\Sigma} = \frac{g_{\psi}^{\Sigma}}{h_{\psi}} \quad , \quad g_{\psi}^{\Sigma} = g_{\psi} \times \prod_{\ell \in \Sigma} (1 - \ell^{-1} \psi^{-1} \bar{\psi}^{-1}(\text{Frob}_{\ell}))$$

then 
$$\phi_{k,s}(\mathcal{L}_{\psi}^{\Sigma}) = L^{(p)}(-k, \psi \psi_s \omega^{-k}) \prod_{\ell \in \Sigma} (1 - \psi^{-1} \bar{\psi}_s^{-1} \omega^k(\ell) \ell^{-1-k})$$

**Iwasawa's Main Conjecture for  $\mathbb{Q}$ :** Let  $\psi$  be an odd primitive Dirichlet character. Then

$$Ch_{\infty}(\psi) = (g_{\psi} \circledast) \subseteq \Lambda_{\psi} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$$

and even in  $\Lambda_{\psi}$  if  $p$  does not divide the order of  $\psi$ .

This can be modified to include a finite set  $\Sigma$  of primes different from  $p$ :

**Iwasawa's Main Conjecture for  $\mathbb{Q}$  (general form):** Let  $\psi$  be an odd primitive Dirichlet character. Then

$$Ch_{\infty}^{\Sigma}(\psi) = (g_{\psi}^{\Sigma} \circledast) \subseteq \text{subsetseq} \Lambda_{\psi} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$$

and even in  $\Lambda_{\psi}$  if  $p$  does not divide the order of  $\psi$ .

Prop.:  $X_\infty^\Sigma(\psi)$  is a f.g.  $\Lambda_\psi$ -module

pf. Let  $\Sigma' = \Sigma \cup \{p\}$ ,  $G_{\mathbb{Q}, \Sigma'}$ : Galois group /  $\mathbb{Q}$  of maximal extension of  $\mathbb{Q}$  unramified outside  $\Sigma'$

$\lambda :=$  uniformizer of  $\mathcal{O}_\psi$ ,  $\mathfrak{m} = (\lambda, \gamma-1) \subset \Lambda_\psi$  is the maximal ideal

Let  $M = \Lambda_\psi^*(\psi \bar{\Psi}^{-1})$ , then we have the following exact sequences

$$0 \rightarrow M[\gamma-1] \rightarrow M \xrightarrow{\times(\gamma-1)} M \rightarrow 0$$

$$0 \rightarrow M[\mathfrak{m}] \rightarrow M[\gamma-1] \xrightarrow{\times\lambda} M[\gamma-1] \rightarrow 0 \rightsquigarrow$$

$$\begin{array}{ccccccc} \Gamma \times \dots & & & & & & \\ 0 & \rightarrow & M[\lambda] & \rightarrow & M \xrightarrow{\lambda} & M & \rightarrow 0 \\ & & \downarrow \gamma^{-1} & & \downarrow \gamma^{-1} & \downarrow \gamma^{-1} & \\ 0 & \rightarrow & M[\lambda] & \rightarrow & M \xrightarrow{\lambda} & M & \rightarrow 0 \end{array}$$

Question: Why is  $M \xrightarrow{\gamma^{-1}} M$  surjective?

follows by:  $0 \rightarrow \Lambda_\psi(\psi \bar{\Psi}) \xrightarrow{\times(\gamma^{-1})} \Lambda_\psi(\psi \bar{\Psi}) \rightarrow \Lambda_\psi(\psi \bar{\Psi}) / (\gamma-1) \rightarrow 0$

taking Pontryagin dual, we get exact sequence

$$0 \rightarrow M[\gamma-1] \rightarrow M \xrightarrow{\gamma^{-1}} M \rightarrow 0$$

Question: Is  $M$  still f.g.  $\Lambda_\psi$ -mod?

consider  $\text{Hom}_{\text{cont}}(\mathbb{Z}_p, \mathbb{Q}_p/\mathbb{Z}_p) = \varprojlim_n \text{Hom}(\mathbb{Z}_p, \mathbb{P}^n \mathbb{Z}_p / \mathbb{Z}_p) \simeq \varprojlim_n \mathbb{P}^n \mathbb{Z}_p / \mathbb{Z}_p \simeq \mathbb{Q}_p / \mathbb{Z}_p$   
 is no longer a f.g.  $\mathbb{Z}_p$ -mod!

We get exact sequences by taking Galois cohomology

$$0 \rightarrow M^{G_{\mathbb{Q}, \Sigma'} / \gamma^{-1}} \rightarrow H^1(G_{\mathbb{Q}, \Sigma'}, M[\gamma-1]) \rightarrow H^1(G_{\mathbb{Q}, \Sigma'}, M)[\gamma-1] \rightarrow 0$$

$$0 \rightarrow M[\gamma-1]^{G_{\mathbb{Q}, \Sigma'} / \lambda} \rightarrow H^1(G_{\mathbb{Q}, \Sigma'}, M[\mathfrak{m}]) \rightarrow H^1(G_{\mathbb{Q}, \Sigma'}, M[\gamma-1][\lambda]) \rightarrow 0$$

Note that

$$0 \rightarrow M^{G_{\mathbb{Q}, \Sigma'} / \gamma^{-1}}[\lambda] \rightarrow H^1(G_{\mathbb{Q}, \Sigma'}, M[\gamma-1][\lambda]) \rightarrow H^1(G_{\mathbb{Q}, \Sigma'}, M)[\mathfrak{m}]$$

$$0 \rightarrow M^{G_{\mathbb{Q}, \Sigma'} / \gamma^{-1}} \rightarrow H^1(G_{\mathbb{Q}, \Sigma'}, M[\gamma-1]) \rightarrow H^1(G_{\mathbb{Q}, \Sigma'}, M)[\gamma-1] \rightarrow 0$$

$$\begin{array}{ccccccc} \downarrow \times \lambda & & \downarrow \times \lambda & & \downarrow \times \lambda & & \\ 0 & \rightarrow & M^{G_{\mathbb{Q}, \Sigma'} / \gamma^{-1}} & \rightarrow & H^1(G_{\mathbb{Q}, \Sigma'}, M[\gamma-1]) & \rightarrow & H^1(G_{\mathbb{Q}, \Sigma'}, M)[\gamma-1] \rightarrow 0 \end{array}$$

$$\hookrightarrow M^{G_{\mathbb{Q}, \Sigma'} / \mathfrak{m}} \rightarrow$$

we obtain morphism:  $H^1(G_{\mathbb{Q}, \Sigma'}, M[\mathfrak{m}]) \rightarrow H^1(G_{\mathbb{Q}, \Sigma'}, M)[\mathfrak{m}]$

kernel of  $H^1(G_{\alpha, \Sigma'}, M[m]) \rightarrow H^1(G_{\alpha, \Sigma'}, M)[m]$  is controlled by

$$M[\Gamma^{-1}]^{G_{\alpha, \Sigma'}} / \lambda \quad \& \quad M^{G_{\alpha, \Sigma'}} / \Gamma^{-1}[\lambda] \quad \} \Rightarrow \text{all are finite order } \Lambda_{\psi}\text{-modules}$$
 why??

kernel is controlled by  $M^{G_{\alpha, \Sigma'}} / m$

Question:  $\text{Hom}_{\text{cont}}(\mathbb{Z}_p^{\pi_1 \Sigma}, \mathbb{Q}_p / \mathbb{Z}_p)$ ?

$$\text{Hom}_{\text{cont}}(\mathbb{Z}_p^{\pi_1 \Sigma}, \mathbb{Q}_p / \mathbb{Z}_p) = \text{Hom}_{\text{cont}}\left(\prod \mathbb{Z}_p, \mathbb{Q}_p / \mathbb{Z}_p\right)$$

$M[m]$  finite order  $\Rightarrow H^1(G_{\alpha, \Sigma'}, M[m])$  has finite order

$\Rightarrow H^1(G_{\alpha, \Sigma'}, M)[m]$  has finite order

since  $\text{Sel}_{\infty}^{\Sigma}(\psi)[m]$  is sub-module of  $H^1(G_{\alpha, \Sigma'}, M)[m] \Rightarrow \text{Sel}_{\infty}^{\Sigma}(\psi)[m]$  has finite order

hence  $X_{\infty}^{\Sigma}(\psi) / m X_{\infty}^{\Sigma}(\psi)$  is the dual of  $\text{Sel}_{\infty}^{\Sigma}(\psi)[m] \Rightarrow X_{\infty}^{\Sigma}(\psi) / m X_{\infty}^{\Sigma}(\psi)$  has finite order

therefore by Nakayama,  $X_{\infty}^{\Sigma}(\psi)$  is f.g.  $\Lambda_{\psi}$ -module

Prop:  $X_\infty^\Sigma(\psi)$  is a torsion  $\Lambda_\psi$ -module

pf: 'Structure theorem' of f.g.  $\Lambda_\psi$ -mod gives the following map

$$X_\infty^\Sigma(\psi) \rightarrow \prod_{i=1}^{n^\Sigma(\psi)} \Lambda_\psi / (f_{i,\psi}^\Sigma) \quad \text{with finite kernel \& cokernel}$$

Note:  $f_{i,\psi}^\Sigma$  &  $n^\Sigma(\psi)$  are not uniquely determined,  $f_{i,\psi}$  may be 0, but

$$f_\psi^\Sigma = \prod_{i=1}^{n^\Sigma(\psi)} f_{i,\psi}^\Sigma \quad \text{is uniquely determined up to some units}$$

$$\text{Ch}_\infty^\Sigma(\psi) = (f_\psi^\Sigma) \subset \Lambda_\psi$$

$$X_\infty^\Sigma(\psi) \text{ is torsion} \Leftrightarrow f_\psi^\Sigma \neq 0$$

Next we prove  $f_\psi^\Sigma \neq 0$  by comparing it with another polynomial

Let  $K = \mathbb{Q}(\mu_N)$  containing  $F_\psi$ , or  $\psi \in \widehat{\text{Gal}}(K/\mathbb{Q})$ ,  $\mathcal{O}$  be an integer ring contained  $\mathbb{Z}_p[\psi]$

Shapiro's lemma  $\Rightarrow$

$$H_\Sigma^1(K, \Lambda_\mathcal{O}^*(\Psi^{-1})) \cong H_\Sigma^1(\mathbb{Q}, \text{Hom}_\mathcal{O}(\mathcal{O}[\widehat{\text{Gal}}(K/\mathbb{Q})], \Lambda_\mathcal{O}^*(\Psi^{-1})))$$

$\int \longrightarrow$  has finite-order ker & Coker annihilated by a power of  $p$  trivial if  $p \nmid \psi(N)$

$$\prod_{\psi \in \widehat{\text{Gal}}(K/\mathbb{Q})} H_\Sigma^1(\mathbb{Q}, \Lambda_\mathcal{O}^*(\psi^{-1}\Psi^{-1}))$$

$$\text{then } \prod_{\substack{\psi \in \widehat{\text{Gal}}(K/\mathbb{Q}) \\ \psi \text{ odd}}} H_\Sigma^1(\mathbb{Q}, \Lambda_\mathcal{O}^*(\psi^{-1}\Psi^{-1})) \sim H_\Sigma^1(K, \Lambda_\mathcal{O}^*(\Psi^{-1}))^{-}$$

$\swarrow$   $\text{Gal}(K/K^+)$ -action

$$\prod_{\substack{\psi \in \widehat{\text{Gal}}(K/\mathbb{Q}) \\ \psi \text{ odd}}} \text{Sel}_\infty^\Sigma(\psi) \otimes_{\mathcal{O}_\psi} \mathcal{O}$$

taking Pontryagin dual, we get:

$$\underbrace{X_\infty^\Sigma(K)^-}_{\substack{\downarrow \\ \text{Pontryagin dual} \\ \text{of the RHS}}} \longrightarrow \prod_{\substack{\psi \in \widehat{\text{Gal}}(K/\mathbb{Q}) \\ \psi \text{ odd}}} X_\infty^\Sigma(\psi) \otimes_{\mathcal{O}_\psi} \mathcal{O}$$

ker & cokernel finite annihilated by a power of  $p$

then we get

$$\text{Ch}_\infty^\Sigma(K)^- = \prod_{\substack{\psi \in \text{Gal}(K/\mathbb{Q}) \\ \psi \text{ odd}}} (f_\psi^\Sigma) \subset \Lambda_\mathbb{Q} \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \quad \text{inclusion holds in } \Lambda_\mathbb{Q} \text{ is } \psi \notin \Psi(N)$$

we will show,  $\text{Ch}_\infty^\Sigma(K)^- \neq 0$   
(because both sides up to  $p^m$  for some  $m$ )

By definition

$$H_\Sigma^1(K, \Lambda_\mathbb{Q}^*(\Psi^{-1})) = \ker \left( H^1(G_K, \Lambda_\mathbb{Q}^*(\Psi^{-1})) \rightarrow \bigoplus_{I \notin \Sigma} H^1(I, \Lambda_\mathbb{Q}^*(\Psi^{-1})) \right)$$

$$\simeq \text{Hom}_\Gamma \left( X_\infty^\Sigma, \Lambda_\mathbb{Q}^*(\Psi^{-1}) \right)$$

$\Gamma = \text{Gal}(K_\infty/K)$   $\hookrightarrow \text{Gal}(M_\infty^\Sigma/K_\infty)$ ,  $M_\infty^\Sigma$ : maximal abelian pro- $p$  extension of  $K_\infty$  unramified outside  $\Sigma$

taking Pontryagin dual, we get

$$X_\infty^{\Sigma, -1} \otimes_{\mathbb{Z}_p[[\Gamma]]} \Lambda_\mathbb{Q} \simeq X_\infty^\Sigma(K)^-$$

Iwasawa: let  $n_0 > 0$  be such that  $\gamma^{p^{n_0}} \in \Gamma_K$  & a  $\mathbb{Z}_p[[\Gamma_K]]$ -submodule  $Y \subset X_\infty^{\Sigma, -1}$  such that if  $n \geq n_0$ , then

$$X_\infty^{\Sigma, -1} / v_n Y \xrightarrow{\sim} \text{Gal}(M_n^\Sigma/K_n)^-, \quad v_n = \gamma^{p^n - 1} / \gamma^{p^{n_0} - 1}$$

$M_n^\Sigma$ : maximal abelian pro- $p$  extension of  $K_n$  unramified outside  $\Sigma$

$\Rightarrow Y$  has finite index in  $X_\infty^{\Sigma, -1}$ , hence characteristic ideal of  $Y \otimes_{\mathbb{Z}_p[[\Gamma]]} \Lambda_\mathbb{Q}$  is  $\text{Ch}_\infty^\Sigma(K)^-$

then  $\# \Lambda_\mathbb{Q} / (\text{Ch}_\infty^\Sigma(K)^-, v_n) = \# Y \otimes_{\mathbb{Z}_p[[\Gamma]]} \Lambda_\mathbb{Q} / v_n (Y \otimes_{\mathbb{Z}_p[[\Gamma]]} \Lambda_\mathbb{Q})$

$= \left( \# Y / v_n Y \right)^{[K':\mathbb{Q}][\mathbb{Q}:\mathbb{Z}_p]}$   $K' = K_\infty \cap \mathbb{Q}$

$= \# \left( X_\infty^{\Sigma, -1} / v_n Y \right)^{[K':\mathbb{Q}][\mathbb{Q}:\mathbb{Z}_p]} / \# \left( X_\infty^{\Sigma, -1} / Y \right)^{[K':\mathbb{Q}][\mathbb{Q}:\mathbb{Z}_p]}$   $[K':\mathbb{Q}] = \frac{[K:\mathbb{Q}]}{[K_n:\mathbb{Q}_p]}$

$= \left( h_n^\Sigma / h_{n_0}^\Sigma \right)^{[K':\mathbb{Q}][\mathbb{Q}:\mathbb{Z}_p]}$  is finite!  $\Rightarrow \text{Ch}_\infty^\Sigma(K)^- \neq 0$

Explain.

• first equality

$$\# \Lambda_{\mathcal{O}} / (\text{Ch}_{\infty}^{\Sigma}(K)^{-}, v_n) \leq \# Y^{\otimes_{\mathbb{Z}_p} \Gamma} \Lambda_{\mathcal{O}} / v_n(Y^{\otimes_{\mathbb{Z}_p} \Gamma} \Lambda_{\mathcal{O}})$$

We have the following more general result

Lemma: Suppose  $M$  is a f.g.  $\Lambda$ -module, with  $\text{Ch}_{\infty}(M) \subset \Lambda$ , such that

there is no non-zero finite order submodule of  $M$

let  $0 \neq r \in \Lambda$ , then the following holds if any side is finite

$$\# M/rM = \# \Lambda / (\text{Ch}_{\infty}(M), r) \Lambda, \text{ in this case, } r \text{ \& } \text{Ch}_{\infty}(M) \text{ are coprime to each other}$$

pf: we have the following homo with finite ker & coker

$$M \xrightarrow{\varphi} \prod_{i=1}^n \Lambda / f_i$$

$$\begin{array}{ccc} \Lambda / (r_1 t, r_2 t) & \rightarrow & \Lambda / (t) \\ & & \uparrow \\ & & \text{infinite} \end{array}$$

then we get

$$0 \rightarrow M \rightarrow \prod_{i=1}^n \Lambda / f_i \rightarrow \text{Coker} \rightarrow 0$$

$$0 \rightarrow M \rightarrow \prod_{i=1}^n \Lambda / f_i \rightarrow \text{Coker} \rightarrow 0$$

$$\downarrow \times r \quad \downarrow \times r \quad \downarrow \times r$$

$$0 \rightarrow M \rightarrow \prod_{i=1}^n \Lambda / f_i \rightarrow \text{Coker} \rightarrow 0$$

$$\dots \rightarrow \text{Coker}[1] \rightarrow M/rM \rightarrow \prod_{i=1}^n \Lambda / (r, f_i) \rightarrow \text{Coker}/r\text{Coker} \rightarrow 0$$

then since both  $\text{Coker}[1]$  &  $\text{Coker}/r\text{Coker}$  are finite, then  $M/rM$  finite  $\Leftrightarrow \prod_{i=1}^n \Lambda / (r, f_i)$  finite

$$\# M/rM \leq \# \prod_{i=1}^n \Lambda / (r, f_i) = \# \Lambda / (r, \text{Ch}_{\infty}(M))$$

$$\Leftrightarrow \Lambda / (r, \text{Ch}_{\infty}(M)) \text{ is finite}$$

this is actually an equality since  $r$  &  $\text{Ch}_{\infty}(M)$  are coprime to each other  $\square$

Rmk: Iwasawa shows  $X_{\infty}^{\Sigma, -}$  has no non-zero finite order submodules

$\Rightarrow X_{\infty}^{\Sigma}(K)^{-}$  has no non-zero finite order submodules

if  $p \nmid \varphi(N) \Rightarrow X_{\infty}^{\Sigma}(\psi) \otimes_{\mathcal{O}_{\psi}} \mathcal{O}$  has no non-zero finite order submodules

• By  $\text{Ch}_\infty^\Sigma(K) \neq 0$ , we obtain the following

**Theorem 4.9** (Iwasawa). *Suppose  $K = \mathbf{Q}(\mu_N)$ . Let  $\mathcal{O}$  be the integer ring of any finite extension of  $\mathbf{Q}_p$  containing  $\mathbf{Z}_p[\psi]$  for all odd characters  $\psi \in \widehat{\text{Gal}(K/\mathbf{Q})}$ . For any finite set  $\Sigma$  of primes different from  $p$ :*

$$\sum_{\substack{\psi \in \widehat{\text{Gal}(K/\mathbf{Q})} \\ \psi \text{ odd}}} \lambda(f_\psi^\Sigma) = \sum_{\substack{\psi \in \widehat{\text{Gal}(K/\mathbf{Q})} \\ \psi \text{ odd}}} \lambda(g_\psi^\Sigma),$$

and if  $p \nmid [K : \mathbf{Q}]$ :

$$\sum_{\substack{\psi \in \widehat{\text{Gal}(K/\mathbf{Q})} \\ \psi \text{ odd}}} \mu(f_\psi^\Sigma) = \sum_{\substack{\psi \in \widehat{\text{Gal}(K/\mathbf{Q})} \\ \psi \text{ odd}}} \mu(g_\psi^\Sigma)$$

pf:

Multiplying the short exact sequence

$$0 \rightarrow \Lambda_{\mathcal{O}[\mu_{p^n}]}(\nu_n) \rightarrow \prod_{\zeta^{p^n}=1, \zeta^{p^{n_0}} \neq 1} \Lambda_{\mathcal{O}[\mu_{p^n}]}(\gamma - \zeta) \rightarrow K_n \rightarrow 0$$

by  $g_K^\Sigma := \prod_{\substack{\psi \in \widehat{\text{Gal}(K/\mathbf{Q})} \\ \psi \text{ odd}}} g_\psi^\Sigma$  and appealing to the snake lemma (noting that  $K_n$  has finite order) yields the first equality in the following chain:

$$\begin{aligned} \#\Lambda_{\mathcal{O}[\mu_{p^n}]}(g_K^\Sigma, \nu_n) &= \prod_{\zeta^{p^n}=1, \zeta^{p^{n_0}} \neq 1} \#\Lambda_{\mathcal{O}[\mu_{p^n}]}(g_K^\Sigma, \gamma - \zeta) \\ &= \prod_{\zeta^{p^n}=1, \zeta^{p^{n_0}} \neq 1} \#\mathcal{O}[\mu_{p^n}]/(\phi_{0,\zeta}(g_K^\Sigma)) \\ &= \#\mathcal{O}[\mu_{p^n}]/\left(\prod_{\zeta^{p^n}=1, \zeta^{p^{n_0}} \neq 1} \phi_{0,\zeta}(g_K^\Sigma)\right) \\ &= \#\mathcal{O}[\mu_{p^n}]/((h_n^\Sigma/h_{n_0}^\Sigma)^{[K':\mathbf{Q}]}) \end{aligned}$$

$$\begin{aligned} \phi_{0,s}(g_K^\Sigma) &= \prod_{\substack{\psi \in \widehat{\text{Gal}(K/\mathbf{Q})} \\ \psi \text{ odd}}} \phi_{0,s}(g_\psi^\Sigma) = \prod_{\substack{\psi \in \widehat{\text{Gal}(K/\mathbf{Q})} \\ \psi \text{ odd}}} L^{(p)}(\circ, \psi \bar{\psi}_{0,s}) \\ &= \prod_{\substack{\psi \in \widehat{\text{Gal}(K/\mathbf{Q})} \\ \psi \text{ odd}}} L^{(p)}(\circ, \psi \psi_s) \end{aligned}$$

then

Let  $f$  and  $e$  be the residue class degree and ramification degree of  $\mathcal{O}$  over  $\mathbf{Z}_p$ . Let  $f_n, g_n \in \mathbf{Z}$  be defined by  $p^{f_n} = \#\Lambda_{\mathcal{O}}/(Ch_{\infty}^{\Sigma}(K)^-, \nu_n)$  and  $p^{g_n} = \#\Lambda_{\mathcal{O}}/(\prod_{\substack{\psi \in \widehat{\text{Gal}}(K/\mathbf{Q}) \\ \psi \text{ odd}}} g_{\psi}^{\Sigma}, \nu_n)$ .

A calculation similar to those done to prove (4.6) and (4.7) shows that for  $n \gg n_0$

$$f_n = \mu(Ch_{\infty}^{\Sigma}(K)^-)fp^{n-n_0} + \lambda(Ch_{\infty}^{\Sigma}(K)^-)ef(n-n_0) + e_n$$

and

$$g_n = \mu\left(\prod_{\substack{\psi \in \widehat{\text{Gal}}(K/\mathbf{Q}) \\ \psi \text{ odd}}} g_{\psi}^{\Sigma}\right)fp^{n-n_0} + \lambda\left(\prod_{\substack{\psi \in \widehat{\text{Gal}}(K/\mathbf{Q}) \\ \psi \text{ odd}}} g_{\psi}^{\Sigma}\right)ef(n-n_0) + e'_n,$$

with  $e_n$  and  $e'_n$  bounded independently of  $n$ . From this together with (4.6) and (4.7) (which imply that  $f_n = g_n$  for  $n \gg n_0$ ) it is easy to deduce that

$$\mu(Ch_{\infty}^{\Sigma}(K)^-) = \mu\left(\prod_{\substack{\psi \in \widehat{\text{Gal}}(K/\mathbf{Q}) \\ \psi \text{ odd}}} g_{\psi}^{\Sigma}\right) \text{ and } \lambda(Ch_{\infty}^{\Sigma}(K)^-) = \lambda\left(\prod_{\substack{\psi \in \widehat{\text{Gal}}(K/\mathbf{Q}) \\ \psi \text{ odd}}} g_{\psi}^{\Sigma}\right).$$

As  $\lambda(Ch_{\infty}^{\Sigma}(K)^-) = \lambda(\prod_{\substack{\psi \in \widehat{\text{Gal}}(K/\mathbf{Q}) \\ \psi \text{ odd}}} f_{\psi}^{\Sigma})$  by (4.4) and even  $\mu(Ch_{\infty}^{\Sigma}(K)^-) = \mu(\prod_{\substack{\psi \in \widehat{\text{Gal}}(K/\mathbf{Q}) \\ \psi \text{ odd}}} f_{\psi}^{\Sigma})$  if  $p \nmid [K : \mathbf{Q}]$ , this proves Theorem 4.9.

# Statement of IMC

**Iwasawa's Main Conjecture for Q:** Let  $\psi$  be an odd primitive Dirichlet character. Then

$$Ch_\infty(\psi^{-1}) = (g_\psi) \subseteq \Lambda_\psi \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$$

and even in  $\Lambda_\psi$  if  $p$  does not divide the order of  $\psi$ .

This can be modified to include a finite set  $\Sigma$  of primes different from  $p$ :

**Iwasawa's Main Conjecture for Q (general form):** Let  $\psi$  be an odd primitive Dirichlet character. Then

$$Ch_\infty^\Sigma(\psi^{-1}) = (g_\psi^\Sigma) \subseteq \Lambda_\psi \otimes_{\mathbb{Z}_p} \mathbb{Q}_p$$

and even in  $\Lambda_\psi$  if  $p$  does not divide the order of  $\psi$ .

Sketch of the proof: By the previous results, we know that we only need to show

$$g_\psi^\Sigma \mid Ch_\infty^\Sigma(\psi^{-1}) \quad \left( \text{same } \lambda \text{ \& } \mu\text{-invariants of sum of both sides} \right)$$

we will introduce an intermediate ideal  $Eis_\psi$ , and then prove:

$$g_\psi^\Sigma \mid Eis_\psi, \quad Eis_\psi \mid Ch_\infty^\Sigma(\psi^{-1})$$

## $\Lambda$ -adic forms

$M_k(N, \psi, \mathcal{O}_\psi) =$  ( $q$ -expansion of)  $p$ -ordinary modular forms of wt  $k$ , level  $\Gamma_1(N)$ , nebentype  $\psi \hookrightarrow \mathbb{Q}_\psi[[q]]$

for any subring  $A$  of  $\mathbb{C}_p/\mathbb{C}$  containing  $\mathcal{O}_\psi$ , define  $M_k(N, \psi, A) = M_k(N, \psi, \mathcal{O}_\psi) \otimes_{\mathcal{O}_\psi} A$

$$\begin{aligned} \text{recall } \psi \bar{\chi}_{k,S} : G_{\mathbb{Q}} &\longrightarrow \Gamma \longrightarrow \Lambda^\times \longrightarrow \mathbb{C}_p^\times \\ \gamma &\longrightarrow [\gamma] \longmapsto \xi \cdot \chi^k(\gamma) \cdot \psi(\gamma) \end{aligned}$$

for a character  $\epsilon : \text{Gal}(\mathbb{Q}(\mu_{p^n})/\mathbb{Q}) \cong \text{Gal}(\mathbb{Q}(\mu_p)/\mathbb{Q}) \times \text{Gal}(\mathbb{Q}_n/\mathbb{Q}) \rightarrow \mu_{p^n}$  of the form  $\bar{\chi}_{k,S}$

$M_\Lambda(N, \psi) = \{ F \in \Lambda_\psi[[q]] \mid \psi \bar{\chi}_{k,S}(F) \in \bigoplus_{i=0}^{p-1} M_{k+i}^{\text{ord}}(Np^n, \psi \psi_S \omega^{i+k}, \mathcal{O}_\psi[S]) \text{ for all but finitely many } k \geq 2, S \in \mu_{p^n} \}$   
ordinary  $\Lambda$ -adic form of level  $N$ , character  $\bar{\chi}$  odd primitive

we can similarly define  $S_k(N, \psi, A)$  &  $S_\Lambda(N, \psi)$  of ordinary (usp  $\Lambda$ -adic) forms

Example: Eisenstein family: 
$$E_\psi = g_\psi + h_\psi \sum_{n=1}^{\infty} \left( \sum_{\substack{d|n \\ (d, pN_\psi)=1}} \prod_{\ell|d} \psi \bar{\chi}(\text{Frob}_\ell^e) \right) q^n$$
  
$$= \psi \bar{\chi}_{k,S}(\Sigma_\psi) = \phi_{k,S}(h_\psi) \cdot E_{k, \psi \psi_S \omega^{-k}}^{\text{ord}}$$

Fundamental exact sequence

$$0 \rightarrow S_\lambda(N, \psi) \rightarrow M_\lambda(N, \psi) \xrightarrow{\Phi_\lambda} C_\lambda(N) \rightarrow 0$$

$\downarrow$   
 $\bigoplus_{g \in \tilde{C}_N} \Lambda_\psi[g_\alpha]$   
 $\downarrow$

$C_N: B(\mathbb{Q}) \setminus SL_2(\mathbb{A}_f) / \widehat{\Gamma_0(N)}$

satisfying the following commutative diagrams

$$\begin{array}{ccc}
 M_\lambda(N, \psi) & \xrightarrow{\Phi_\lambda} & C_\lambda(N) \\
 \downarrow \psi \bar{\Psi}_{k,S} & & \downarrow \psi \bar{\Psi}_{k,S} \\
 \bigoplus_{i=0}^{p-1} M_{k+1}^{ord}(Np^n, \psi \psi_S \cdot \omega^{i-k}, \mathcal{O}_\psi[S]) & \xrightarrow{\quad} & \bigoplus_{i=0}^{p-1} \bigoplus_{g_\alpha \in \tilde{C}_N} \mathcal{O}_\psi[S][g_\alpha]_{Np^n} \\
 & \downarrow & \\
 & & \text{usual map: the constant term at the cusp } [g_\alpha]_{Np^n}
 \end{array}$$

Eisenstein congruence

$S_\lambda(N, \psi)$  &  $M_\lambda(N, \psi)$  are equipped with actions of Hecke operators  $T_\ell$ ,  $\ell \nmid Np$ ,  $U_\ell$  for  $\ell \mid Np$

$\mathfrak{h}_\lambda(N, \psi) :=$  Hecke algebra generated by  $T_\ell$  ( $\ell \nmid Np$ ) &  $U_\ell \in \text{End}_{\Lambda_\psi} S_\lambda(N, \psi)$

$I_\psi =$  the ideal generated by  $T_\ell - (1 + \psi \bar{\Psi}(\text{Frob}_\ell))$ ,  $\ell \nmid Np$ ,  $U_\ell - 1$ ,  $\ell \mid Np$

$i: \Lambda_\psi \rightarrow \mathfrak{h}_\lambda(N, \psi)$  be the structure map, we define

$$\text{Eis}_\psi := i^{-1}(I_\psi)$$

Proof of  $g_\psi \mid \text{Eis}_\psi$

Prop:  $\exists$  a formal power series  $\varepsilon_\psi \in \Lambda_\psi \langle\langle q \rangle\rangle$ , s.t.

①  $\varepsilon_\psi \in \mathcal{M}_\lambda(N, \psi)$  &  $T_c \varepsilon_\psi = (1 + \psi \bar{\psi}(Frob_c)) \varepsilon_\psi$

②  $g_\psi \mid \Phi_\lambda(\varepsilon_\psi)$  (constant term)

③  $a(1, \varepsilon_\psi) \in \Lambda_\psi^\times$

the fundamental exact sequence tells us,  $\exists \varepsilon' \in \mathcal{M}_\lambda(N, \psi)$ , s.t.

$$F := \varepsilon_\psi - g_\psi \cdot \varepsilon' \in S_\lambda(N, \psi)$$

$$T_c F = T_c \varepsilon_\psi - g_\psi \cdot T_c \varepsilon' \Rightarrow T_c F = (1 + \psi \bar{\psi}(Frob_c)) F \pmod{g_\psi}$$

if  $g_\psi \in \Lambda_\psi^\times$ , nothing to be proved

so we assume  $g_\psi \in \mathfrak{m}_{\Lambda_\psi}$ , then  $a(1, F) = a(1, \varepsilon_\psi) - g_\psi \cdot a(1, \varepsilon') \in \Lambda_\psi^\times$

then we get a  $\Lambda_\psi$ -alg homo

$$\lambda_F : h_\lambda(N, \psi) \longrightarrow \Lambda / (g_\psi)$$

$$T_c \longmapsto \frac{a(1, T_c F)}{a(1, F)}$$

$$\ker(\lambda_F) \supset I_\psi \Rightarrow h_\lambda(N, \psi) / I_\psi \longrightarrow \Lambda / g_\psi \Rightarrow g_\psi \mid \text{Eis}_\psi$$

$$\uparrow$$

$$\Lambda / \text{Eis}_\psi$$