

# Introduction to $\Sigma'$

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Recall:  $\Sigma(S)$  is the groupoid of pairs  $(M, \xi)$  for  $M$  an invertible  $W_S$ -module and  $\xi : M \rightarrow W_S$  a primitive morphism. (In light of our comparison to  $W\text{Cart}$  from last time, we could think of  $(M, \xi)$  as giving a “generalized ideal” making  $W_S$  a “generalized prism.”) Here an invertible module is one which is locally isomorphic to  $W_S$  (in either the Zariski or fpqc topologies).

We want to define a larger stack, and so we take a generalization: instead of requiring  $M$  to be invertible, we require it only to be *admissible*. This means that there is a short exact sequence

$$0 \rightarrow M_0 \rightarrow M \rightarrow M' \rightarrow 0$$

of  $W_S$ -modules where  $M_0$  is locally isomorphic to  $W_S^{(F)}$  and  $M'$  is locally isomorphic to  $W_S^{(1)}$  as  $W_S$ -modules, where  $W_S^{(1)}$  is  $W_S$  viewed as a  $W_S$ -module via Frobenius  $F$  rather than via the identity, and  $W_S^{(F)}$  is the kernel of the resulting map  $F : W_S \rightarrow W_S^{(1)}$  of  $W_S$ -modules. If  $M$  is locally isomorphic to  $W_S$ , then it is admissible via the short exact sequence

$$0 \rightarrow W_S^{(F)} \rightarrow W_S \rightarrow W_S^{(1)} \rightarrow 0$$

of  $W_S$ -modules, so this is indeed a generalization.

If  $S = \text{Spec } k$  for  $k$  a perfect field of characteristic  $p$ , then  $\Sigma'(S)$  has exactly three isomorphism classes, represented by

$$(W_S, p), \quad (W_S^{(F)} \oplus W_S^{(1)}, 0 + V), \quad (W_S^{(F)} \oplus W_S^{(1)}, 1 + V).$$

Since invertible modules are admissible, we get a natural fully faithful functor  $j_+(S) : \Sigma(S) \rightarrow \Sigma'(S)$ , functorial in  $S$ , and so an embedding  $j_+ : \Sigma \hookrightarrow \Sigma'$ . We call its image  $\Sigma_+$ . It is an open substack affine over  $\Sigma'$ .

As for  $\Sigma$ , there is a natural Frobenius  $F'$  on  $\Sigma'$  given by twisting  $(M, \xi)$  by Frobenius. However, now its image actually lies in  $\Sigma$ , since Frobenius kills  $W_S^{(F)}$ , and so we get a morphism  $F' : \Sigma' \rightarrow \Sigma$ . One can check that  $F' \circ j$  recovers the original Frobenius  $F$  on  $\Sigma$ . It turns out that  $F'$  is algebraic, and composing with the map  $\Sigma \rightarrow \hat{\mathbb{A}}^1/\mathbb{G}_m$  we get that  $\Sigma'$  is algebraic over  $\hat{\mathbb{A}}^1/\mathbb{G}_m$ .

We can define a second map  $j_- : \Sigma \rightarrow \Sigma'$ , by

$$M \mapsto \tilde{M} = M^{(1)} \times_{W_S^{(1)}} W_S$$

via the maps  $\xi : M \rightarrow W_S$  and  $F : W_S \rightarrow W_S^{(1)}$ , and  $\xi \mapsto \tilde{\xi} : \tilde{M} \rightarrow W_S$  given by the projection onto the second factor. Again  $j_-$  is an embedding, with image  $\Sigma_-$  an open substack of  $\Sigma'$ ; restricting  $F' : \Sigma' \rightarrow \Sigma$  to  $\Sigma_-$  gives an isomorphism  $F' : \Sigma_- \xrightarrow{\sim} \Sigma$ . Thus we have

$$F' \circ j_+ = F, \quad F' \circ j_- = \text{id}_\Sigma.$$

One can work out that  $\Sigma_+$  and  $\Sigma_-$  are disjoint in  $\Sigma'$ .

One can also interpret  $j_- : \Sigma \rightarrow \Sigma'$  as right adjoint to  $F' : \Sigma' \rightarrow \Sigma$ .

Recall on  $\Sigma$ , we defined a line bundle  $\mathcal{L}_\Sigma = \mathcal{O}_\Sigma(-\Delta_0)$  and used it to define  $\mathcal{O}_\Sigma\{1\} = (1 - F^*)^{-1}\mathcal{L}_\Sigma$ . We want to do something similar for  $\Sigma'$ . In an analogous way (omitted since we haven't introduced  $\Delta'_0$  yet), we can define a line bundle  $\mathcal{L}_{\Sigma'}$  on  $\Sigma'$  which again comes with a map  $v_- : \mathcal{L}_{\Sigma'} \rightarrow \mathcal{O}_{\Sigma'}$ , and the locus on which  $v_-$  is an isomorphism is precisely  $\Sigma_-$ . Thus  $j_-^*\mathcal{L}_{\Sigma'} \simeq \mathcal{O}_{\Sigma_-} \simeq \mathcal{O}_\Sigma$ , while  $j_+^*\mathcal{L}_{\Sigma'} = \mathcal{L}_\Sigma$ .

To form  $\mathcal{O}_{\Sigma'}\{1\}$ , it was important to fix a trivialization of  $\mathcal{L}_\Sigma$  via pullback along  $p : \mathrm{Spf} \mathbb{Z}_p \rightarrow \Sigma$ . Via  $j_\pm$  this induces trivializations along  $\mathrm{Spf} \mathbb{Z}_p \xrightarrow{p} \Sigma \xrightarrow{j_\pm} \Sigma'$ . We define

$$\mathcal{O}_{\Sigma'}\{1\} = \mathcal{L}_{\Sigma'} \otimes F'^*\mathcal{O}_\Sigma\{1\}.$$

**Proposition.** *We have isomorphisms*

$$j_+^*\mathcal{O}_{\Sigma'}\{1\} \simeq \mathcal{O}_\Sigma\{1\}, \quad j_-^*\mathcal{O}_{\Sigma'}\{1\} \simeq \mathcal{O}_\Sigma\{1\}.$$

*Proof.* By the identities above (and the fact that pullback is symmetric monoidal), we have

$$j_+^*\mathcal{O}_{\Sigma'} = j_+^*\mathcal{L}_{\Sigma'} \otimes j_+^*F'^*\mathcal{O}_\Sigma\{1\} = \mathcal{L}_\Sigma \otimes (F' \circ j_+)^*\mathcal{O}_\Sigma\{1\}$$

and

$$j_-^*\mathcal{O}_{\Sigma'} = j_-^*\mathcal{L}_{\Sigma'} \otimes j_-^*F'^*\mathcal{O}_\Sigma\{1\} = \mathcal{O}_\Sigma \otimes (F' \circ j_-)^*\mathcal{O}_\Sigma\{1\}.$$

Since  $F' \circ j_+ = F$  and  $F' \circ j_- = \mathrm{id}_\Sigma$ , this is

$$j_+^*\mathcal{O}_{\Sigma'} = \mathcal{L}_\Sigma \otimes F^*\mathcal{O}_\Sigma\{1\}, \quad j_-^*\mathcal{O}_{\Sigma'} = \mathcal{O}_\Sigma\{1\}.$$

To finish, we write  $\mathcal{O}_\Sigma\{1\} = (1 - F^*)^{-1}\mathcal{L}_\Sigma$ , so  $F^*\mathcal{O}_\Sigma\{1\} = F^*(1 - F^*)^{-1}\mathcal{L}_\Sigma = ((1 - F^*)^{-1} - 1)\mathcal{L}_\Sigma$ , and so

$$j_+^*\mathcal{O}_{\Sigma'} = (1 + (1 - F^*)^{-1} - 1)\mathcal{L}_\Sigma = (1 - F^*)^{-1}\mathcal{L}_\Sigma = \mathcal{O}_\Sigma\{1\}.$$

□

Thus this is the “right” definition in that it extends  $\mathcal{O}_\Sigma\{1\}$  from both copies of  $\Sigma$  in  $\Sigma'$  to the whole thing. One can then define  $\mathcal{O}_{\Sigma'}\{n\}$  by tensoring as usual.

Like for  $\Sigma$ , we can define a Hodge–Tate divisor  $\Delta'_0 \subset \Sigma'$ , as the zero locus of  $v_- : \mathcal{L}_{\Sigma'} \rightarrow \mathcal{O}_{\Sigma'}$ . This must be disjoint from  $\Sigma_-$ ; its intersection with  $\Sigma_+$  is exactly  $j_+(\Delta_0)$ . Again, we can give an explicit description of  $\Delta'_0$ . Recall that on  $\Sigma$ , we found  $\Delta_0 \simeq \mathrm{Spf} \mathbb{Z}_p / \mathbb{G}_m^\sharp$ . In this setting, it turns out that  $\Delta'_0 \simeq (\mathbb{A}^1 \hat{\otimes} \mathbb{Z}_p)^{\mathrm{dR}} / \mathbb{G}_m$ .

For any  $p$ -nilpotent scheme  $S$ , we can describe  $\Delta'_0(S)$  explicitly: it is the category of line bundles  $\mathcal{L}$  on  $S$  together with an extension of  $W_S^{(1)}$  by  $\mathcal{L} \otimes W_S^{(F)}$ . Analogous to the diagram of last time, we have a commutative diagram

$$\begin{array}{ccc} \Delta'_0 & \longrightarrow & \Sigma' \\ \downarrow & & \downarrow F' \\ \mathrm{Spf} \mathbb{Z}_p & \xrightarrow{p} & \Sigma \end{array}$$

Indeed, if we restrict the upper right corner to  $\Sigma_+$ , we obtain the analogous diagram with  $\Delta_0$ . As a consequence, we obtain that the restrictions of  $\mathcal{O}_{\Sigma'}\{1\}$  and  $\mathcal{L}_{\Sigma'}$  to  $\Delta'_0$  agree: the pullback of  $\mathcal{O}_\Sigma\{1\}$  along  $p$  is canonically trivial, so  $\mathcal{O}_{\Sigma'}\{1\} = \mathcal{L}_{\Sigma'} \otimes F'^*\mathcal{O}_\Sigma\{1\}$  restricted to  $\Delta'_0$  is just the restriction of  $\mathcal{L}_{\Sigma'}$ .

REFERENCES

- [1] Vladimir Drinfeld. Prismaticization. *arXiv preprint arXiv:2005.04746*, 2020.