## RESOLUTION OF SINGULARITIES

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## Strong resolution theorem

For every $X$ (char. 0 ) there is $f: X^{\prime} \rightarrow X$ such that
(1) $X^{\prime}$ smooth,
(2) $f$ : composite of smooth blow ups,
(3) isomorphism over $X^{n s}$,
(4) $f^{-1}(\operatorname{Sing} X)$ is normal crossings,
(5) functorial on smooth morphisms,
(6) functorial on field extensions.

Hironaka
Giraud
Villamayor, Bravo, Encinas
Bierstone and Milman
Encinas and Hauser
Włodarczyk

## Example

Resolving $S:=\left(x^{2}+y^{3}-z^{6}=0\right)$
(Secret: single elliptic curve $\left(E^{2}\right)=-1$ )
Method: $H:=(x=0)$ and use $S \cap H$.
Step 1. $\operatorname{mult}(S \cap H)=\left(y^{3}-z^{6}=0\right)=3$ but came from multiplicity 2 blow up until the mult. drops below 2 .
2 blow ups to achieve this:

$$
\begin{array}{ll}
\quad S & \text { coordinates } \\
x^{2}+y^{3}-z^{6} & \\
x_{1}^{2}+\left(y_{1}^{3}-z_{1}^{3}\right) z_{1} & x_{1}=\frac{x}{z_{1}}, y_{1}=\frac{y}{z_{1}}, z_{1}=z \\
x_{2}^{2}+\left(y_{2}^{3}-1\right) z_{2}^{2} & x_{2}=\frac{y_{1}}{z_{1}}, y_{2}=\frac{y_{1}}{z_{1}}, z_{2}=z_{1} .
\end{array}
$$

$$
1-2-
$$

4
Step 2. Make $S \cap H$ disjoint from positive coeff. exceptional curves


Step 3. Blow up exceptional curves with multiplicity $\geq 2$.
one such curve:

where the boxed curve is elliptic.

## Problem 1.

Get too many curves.
Higher dimensions: no minimal resolution, we do not know which resolution is simple

No solution.

## Problem 2.

Reduction: from surfaces in $\mathbb{A}^{3}$
to curves in $\mathbb{A}^{2}$,
but exceptional curves and multiplicities treated differently.

Solution: marked ideals ( $I, m$ ).

## Problem 3.

$S$ has multiplicity $<2$ along the birational transform of $H$, but what happens outside $H$ ?
Example: $H^{\prime}:=\left(x-z^{2}=0\right)$

\[

\]

singular point not on $H^{\prime}$

Solution: careful choice of $H$ maximal contact

## Problem 4.

Too many singularities on $H$
Example: $H^{\prime \prime}:=\left(x-z^{3}=0\right)$.
$x^{2}+y^{3}-z^{6}=\left(x-z^{3}\right)\left(x+z^{3}\right)+y^{3}$
so $\left.S\right|_{H^{\prime \prime}}$ : triple line.
Really a problem?
Yes: induction ruined
Solution: coefficient ideal $C(S)$
(i) resolving $S$ is equivalent to
"resolving" $C(S)$, and
(ii) resolving the traces $\left.C(S)\right|_{H}$ does not generate extra blow ups for $S$

## Problem 5.

$H$ not unique
e.g. automorphisms of $S$
$(x, y, z) \mapsto\left(x+y^{3}, y \sqrt[3]{1-2 x-y^{3}}, z\right)$
Even with maximal contact choice of $H$, $S \cap H$ depends on $H$

Solution: ideal $W(S)$ such that
(i) resolving $S$ is equivalent to resolving $W(S)$, and
(ii) $\left.W(S)\right|_{H}$ are analytically isomorphic for all maximal contact $H$.

## Problem 6.

(i) Many choices remain. functorial but not "canonical"
(ii) Computationally hopeless. Exponential increase in degrees and generators at each step.

No solutions

## Principalization

Data: $X$ smooth variety,
$I \subset \mathcal{O}_{X}$ ideal sheaf,

$$
\begin{array}{r}
E=\sum_{i} E_{i} \text { normal crossing divisor with } \\
\text { ordered index set }
\end{array}
$$

Blow ups: smooth centers, normal crossing with $E$

## Strong principalization theorem

For every $(X, I, E)$ (char. 0) there is $f: X^{\prime} \rightarrow X$ such that
(1) $f^{*} I \subset \mathcal{O}_{X^{\prime}}$ locally principal, (2) $f$ : composite of smooth blow ups, (3) isomorphism over $X \backslash$ cosupp $I$, (4) $f^{-1}(E \cup \operatorname{cosupp} I)$ is normal crossing,
(5) functorial on smooth morphisms,
(6) functorial on field extensions,
(7) functorial on closed embeddings.

## Strong principalization $\Rightarrow$ Resolution

Projective case
take $X \hookrightarrow \mathbb{P}^{N}, N \geq \operatorname{dim} X+2$.
$I \subset \mathcal{O}_{\mathbb{P}^{N}}$ ideal sheaf of $X, E=\emptyset$

Principalize $\left(\mathbb{P}^{N}, I, \emptyset\right)$.
$I$ is not principal along $X$,
so at some point, the
birational transform $X^{\prime}$ of $X$ is blown up.

But: we blow up only smooth centers, so $X^{\prime}$ is smooth.

Uniqueness? Local question.
Lemma. Let $X \hookrightarrow \mathbb{A}^{n}, X \hookrightarrow \mathbb{A}^{m}$ be closed embeddings. Then

$$
\begin{aligned}
& X \hookrightarrow \mathbb{A}^{n} \hookrightarrow \mathbb{A}^{n+m}, \text { and } \\
& X \hookrightarrow \mathbb{A}^{m} \hookrightarrow \mathbb{A}^{n+m}
\end{aligned}
$$

differ by an automorphism of $\mathbb{A}^{n+m}$.
$\operatorname{ord}_{x} I:=$ order of vanishing of $I$ at $x$ max-ord $I:=$ maximum $\left\{\operatorname{ord}_{x} I: x \in X\right\}$
blow up $Z$ to get $\pi: B_{Z} X \rightarrow X$ typical chart $Z=\left(x_{1}=\cdots=x_{r}=0\right)$ $g\left(x_{1}, \ldots, x_{n}\right)$ pulls back to $\pi^{*} g:=g\left(x_{1}^{\prime} x_{r}^{\prime}, \ldots, x_{r-1}^{\prime} x_{r}^{\prime}, x_{r}^{\prime}, x_{r+1}, \ldots, x_{n}\right)$.
if $\operatorname{ord}_{Z} I=s$ then

$$
g^{\prime}:=\left(x_{r}^{\prime}\right)^{-s} g\left(x_{1}^{\prime} x_{r}^{\prime}, \ldots, x_{r-1}^{\prime} x_{r}^{\prime}, x_{r}^{\prime}, x_{r+1}, \ldots, x_{n}\right) .
$$

Lemma. max-ord $g^{\prime} \leq 2$ max-ord $g-s$.

Our blow ups for the triple $(X, I, E)$ : $Z$ smooth, normal crossing with $E$, $\operatorname{ord}_{Z} I=$ max-ord $I=m$.

New triple $\left(X_{1}, I_{1}, E_{1}\right)$
$X_{1}=B_{Z} X$ with $F \subset B_{Z} X$ except. div.
$I_{1}=\pi_{*}^{-1} I:=\mathcal{O}_{B_{Z} X}(m F) \cdot \pi^{*} I$
$E_{1}=\pi_{*}^{-1} E+F$ (last divisor)
by lemma: max-ord $I_{1} \leq$ max-ord $I$.

## Solution of Problem 2

marked ideals ( $I, m$ )
Aim: for $Z \subset H \subset X$,
$\left(\pi_{H}\right)_{*}^{-1}\left(\left.I\right|_{H}, m\right):=$ trace of $\pi_{*}^{-1} I$ on $B_{Z} H$.

Our blow ups for the triple $(X, I, m, E)$ : $Z$ smooth, normal crossing with $E$, $\operatorname{ord}_{Z} I \geq m$.

New triple $\left(X_{1}, I_{1}, m, E_{1}\right)$
$X_{1}=B_{Z} X$ with $F \subset B_{Z} X$ except. div.
$\left(I_{1}, m\right)=\pi_{*}^{-1}(I, m):=\mathcal{O}_{B_{Z} X}(m F) \cdot \pi^{*} I$ $E_{1}=\pi_{*}^{-1} E+F$ (last divisor)

Note: for $m=$ max-ord $I$ :
blow up seqs. of order $m$ for $(X, I)$
||
blow up seqs. of order $\geq m$ for $(X, I, m)$

Order reduction for ideals
For $(X, I, E)$ and $m=$ max-ord $I$, there is $\left(X^{\prime}, I^{\prime}, E^{\prime}\right)$ and $\Pi: X^{\prime} \rightarrow X$ s.t.
(1) $\Pi$ is composite of order $m$ blow ups
$\Pi:\left(X^{\prime}, I^{\prime}, E^{\prime}\right)=\left(X_{r}, I_{r}, E_{r}\right) \xrightarrow{\pi_{r-1}} \cdots$
$\left(X_{1}, I_{1}, E_{1}\right) \xrightarrow{\pi_{0}}\left(X_{0}, I_{0}, E_{0}\right)=(X, I, E)$,
(2) max-ord $I^{\prime}<m$, and
(3) functoriality properties.

Order reduction for marked ideals
For $(X, I, m, E)$, there is $\left(X^{\prime}, I^{\prime}, m, E^{\prime}\right)$ and $\Pi: X^{\prime} \rightarrow X$ s.t.
(1) $\Pi$ is composite of order $\geq m$ blow ups
$\Pi:\left(X^{\prime}, I^{\prime}, m, E^{\prime}\right)=\left(X_{r}, I_{r}, m, E_{r}\right) \xrightarrow{\pi_{r-1}} \cdots$
$\cdots \xrightarrow{\pi_{0}}\left(X_{0}, I_{0}, m, E_{0}\right)=(X, I, m, E)$,
(2) max-ord $I^{\prime}<m$, and
(3) functoriality properties.

## Spiraling induction

Order reduction, marked ideals, $\operatorname{dim}=n-1$
$\Downarrow$
Order reduction, ideals, $\operatorname{dim}=n$
$\Downarrow$
Order reduction, marked ideals, $\operatorname{dim}=n$

Hard: first arrow
Easy: second arrow

> Order reduction
> $\Downarrow$
> Principalization

Proof: In $m$ steps, reduce order to 0 :

$$
\begin{aligned}
& \Pi_{*}^{-1} I=\mathcal{O}_{X^{\prime}} . \text { Thus } \\
& \Pi^{*} I=\mathcal{O}_{X^{\prime}}\left(-\sum c_{i} E_{i}\right) \text { for some } c_{i} .
\end{aligned}
$$

## Structure of the proof

Step 1. Solve Problem 2 using
marked ideals
Step 2. Solve Problem 3 using
maximal contact
Step 3. Solve Problem 4 for
$D$-balanced ideals
Step 4. Solve Problem 5 for
MC-invariant ideals
Step 5. Given $I$, find $W(I)$ such that
(i) order reduction for $(X, I, E)$ is equivalent to order reduction for $(X, W(I), m!, E)$,
(ii) $W(I)$ is $D$-balanced and MC-invariant

Step 6. Complete the spiraling induction.

## Derivative ideals

$$
\begin{aligned}
& D(I):=\left(\frac{\partial g}{\partial x}: g \in I, x: \text { loc. coord. }\right) \\
& D^{r+1}(I):=D\left(D^{r}(I)\right) \\
& D \text { lowers order by } 1, \text { so } \\
& D^{r}(I, m):=\left(D^{r}(I), m-r\right)
\end{aligned}
$$

Key computation
Blow up $Z=\left(x_{1}=\cdots=x_{r}=0\right)$ :
$y_{1}=\frac{x_{1}}{x_{r}}, \ldots, y_{r-1}=\frac{x_{r-1}}{x_{r}}, y_{r}=x_{r}, \ldots, y_{n}=x_{n}$

$$
\begin{aligned}
\pi_{*}^{-1}\left(\frac{\partial}{\partial x_{j}} f, m-1\right)= & \frac{\partial}{\partial y_{j}} \pi_{*}^{-1}(f, m) \text { for } j<r, \\
\pi_{*}^{-1}\left(\frac{\partial}{\partial x_{j}} f, m-1\right)= & y_{r} \frac{\partial}{\partial y_{j}} \pi_{*}^{-1}(f, m) \text { for } j>r, \\
\pi_{*}^{-1}\left(\frac{\partial}{\partial x_{r}} f, m-1\right)= & y_{r} \frac{\partial}{\partial y_{r}} \pi_{*}^{-1}(f, m) \\
& -y_{r} \sum_{i<r} \frac{\partial}{\partial y_{*}} \pi_{*}^{-1}(f, m)+ \\
& +m \cdot \pi_{*}^{-1}(f, m)(-1)
\end{aligned}
$$

Corollary: $\Pi_{*}^{-1}\left(D^{j}(I, m)\right) \subset D^{j}\left(\Pi_{*}^{-1}(I, m)\right)$

## Solution of Problem 3

Corollary: Any order $\geq m$ blow up seq.

$$
\begin{aligned}
\Pi: & \left(X^{\prime}, I^{\prime}, m, E^{\prime}\right)=\left(X_{r}, I_{r}, m, E_{r}\right) \xrightarrow{\pi_{r-1}} \cdots \\
& \cdots \xrightarrow{\pi_{0}}\left(X_{0}, I_{0}, m, E_{0}\right)=(X, I, m, E),
\end{aligned}
$$

gives order $\geq j$ blow up seq.

$$
\Pi:\left(X^{\prime}, J^{\prime}, j, E^{\prime}\right)=\left(X_{r}, J_{r}, j, E_{r}\right) \xrightarrow{\pi_{r-1}} \cdots \cdot
$$

Maximal contact: $j=1$ case:
$M C(I)=D^{m-1}(I)$ maximal contact ideal
max-ord $M C(I)=1$, so for general $h \in I_{x}$ $H:=(h=0)$ is smooth at $x$ and if $H$ is smooth (ok on open subset) then

## Going down theorem

Blow up seqs. of order $m$ for $(X, I)$

$$
\cap
$$

Blow up seqs. of order $\geq m$ for $\left(H,\left.I\right|_{H}, m\right)$

## Tuning ideals

Corollary: Any order $\geq m$ blow up seq.
starting with $(X, I, m, E)$
gives order $\geq \sum_{i} j_{i}$ blow up seq.
starting with

$$
\left(X, \prod_{i} D^{m-j_{i}}(I), \sum_{i} j_{i}, E\right) .
$$

Definition:

$$
W(I):=\left\langle\prod_{j}\left(D^{m-j}(I)\right)^{c_{j}}: \sum j \cdot c_{j} \geq m!\right\rangle
$$

Since $W(I) \supset I^{(m-1)!}$, we get

## Theorem

## Order reduction for $(X, I, m, E)$. <br> $$
\mathbb{\imath}
$$

Order reduction for $(X, W(I), m!, E)$.

## Derivatives and restriction

Problem. Multiplicity jumps in restriction e.g. $\left.\left(x y-z^{n}\right)\right|_{(y=0)}$

Defn. $\operatorname{cosupp}(I, m)=\left\{x: \operatorname{ord}_{x} I \geq m\right\}$.
Problem again:
$S \cap \operatorname{cosupp}(I, m) \subset \operatorname{cosupp}\left(\left.I\right|_{S}, m\right)$ and $=$ holds only for $m=1$.

Theorem. $S \subset X$ smooth, then

$$
\begin{aligned}
& S_{r} \cap \operatorname{cosupp}\left(\Pi_{*}^{-1}(I, m)\right)= \\
& \quad=\bigcap_{j=0}^{m} \operatorname{cosupp}\left(\left.\Pi\right|_{S_{r}}\right)_{*}^{-1}\left(\left.\left(D^{j} I\right)\right|_{S}, m-j\right)
\end{aligned}
$$

Solution attempt:

$$
\operatorname{cosupp}(I, m)=\operatorname{cosupp}\left(D^{m-1}(I), 1\right)
$$

Other problem: Set $S:=\left(x_{1}=0\right)$, then $\left.D\left(\left.I\right|_{S}\right) \subsetneq D(I)\right|_{S}$ since $\partial / \partial x_{1}$ is lost.

Solution:
(i) Set $D_{\log S}:=\left\langle x_{1} \frac{\partial}{\partial x_{1}}, \frac{\partial}{\partial x_{2}}, \ldots,\right\rangle$
then: $D\left(\left.I\right|_{S}\right)=\left.D_{\log S}(I)\right|_{S}$.
(ii) $D^{s}(I)=$ (well defined as filtration)
$=D_{\log S}^{s}(I)+D_{\log S}^{s-1}\left(\frac{\partial I}{\partial x_{1}}\right)+\cdots+\left(\frac{\partial^{s} I}{\partial x_{1}^{s}}\right)$
Restrict to $S$ :
$\left.\left(D^{s} I\right)\right|_{S}=D^{s}\left(\left.I\right|_{S}\right)+D^{s-1}\left(\left.\frac{\partial I}{\partial x_{1}}\right|_{S}\right)+\cdots+\left(\left.\frac{\partial^{s} I}{\partial x_{1}^{s}}\right|_{S}\right)$
Apply this to $\pi_{*}^{-1}(I, m)$ with chart $y_{1}=\frac{x_{1}}{x_{r}}, \ldots, y_{r-1}=\frac{x_{r-1}}{x_{r}}, y_{r}=x_{r}, \ldots, y_{n}=x_{n}:$
$D^{s} \pi_{*}^{-1}(I, m)=\sum_{j=0}^{s} D_{\log S_{1}}^{s-j}\left(\frac{\partial^{j} \pi_{*}^{-1}(I, m)}{\partial y_{1}^{j}}\right)$

Usually diff. does not commute with birational transforms, but it does so for $\partial / \partial x_{1}$ and $\partial / \partial y_{1}$, so

$$
D^{s} \pi_{*}^{-1}(I, m)=\sum_{j=0}^{s} D_{\log S_{1}}^{s-j} \pi_{*}^{-1}\left(\frac{\partial^{j}(I, m)}{\partial x_{1}^{j}}\right)
$$

For a sequence of blow ups $\Pi$ :

$$
D^{s} \Pi_{*}^{-1}(I, m)=\sum_{j=0}^{s} D_{\log S_{r}}^{s-j} \Pi_{*}^{-1}\left(\frac{\partial^{j}(I, m)}{\partial x_{1}^{j}}\right)
$$

increasing the summands on the right:

$$
D^{s} \Pi_{*}^{-1}(I, m)=\sum_{j=0}^{s} D_{\log S_{r}}^{s-j} \Pi_{*}^{-1}\left(D^{j} I, m-j\right)
$$

restricting to $S_{r}$ :

$$
\begin{aligned}
& \left.\left(D^{s} \Pi_{*}^{-1}(I, m)\right)\right|_{S_{r}}= \\
& \quad=\sum_{j=0}^{s} D^{s-j}\left(\left.\Pi\right|_{S_{r}}\right)_{*}^{-1}\left(\left.\left(D^{j} I\right)\right|_{S}, m-j\right)
\end{aligned}
$$

For $s=m-1$, take cosupport to get the theorem.

## Solution of Problem 4.

$D$-balanced: $\left(D^{j}(I)\right)^{m} \subset I^{m-j} \quad \forall j<m$

## Going up theorem

I: $D$-balanced, $S \subset X$ smooth such that
(i) $S \not \subset \operatorname{cosupp}(I, m), m=$ max-ord $I$,
(ii) $\left.E\right|_{S}$ is normal crossing,

## then:

blow up seqs. of order $m$ for $(X, I, E)$.

## $\cup$

blow up seqs. of order $\geq m$ for $\left(S,\left.I\right|_{S}, m,\left.E\right|_{S}\right)$.
Proof:

$$
\begin{aligned}
& \operatorname{cosupp}\left(\left.\Pi\right|_{S_{r} r}\right)_{*}^{-1}\left(\left.\left(D^{j} I\right)\right|_{S}, m-j\right) \\
& \quad=\operatorname{cosupp}\left(\left.\Pi\right|_{S_{r}}\right)_{*}^{-1}\left(\left.\left(D^{j} I\right)^{m}\right|_{S}, m(m-j)\right) \\
& \quad\left(\text { since }\left(\left.D^{j}(I)\right|_{S}\right)^{m} \subset\left(\left.I\right|_{S}\right)^{m-j}\right) \\
& \quad \supset \operatorname{cosupp}\left(\left.\Pi\right|_{S_{r}}\right)_{*}^{-1}\left(\left.I^{m-j}\right|_{S,}, m(m-j)\right) \\
& \quad=\operatorname{cosupp}\left(\left.\Pi\right|_{S_{r} r} ^{-1}\right)_{*}^{-1}\left(\left.I\right|_{S}, m\right)
\end{aligned}
$$

Thus
$S_{r} \cap \operatorname{cosupp}\left(\Pi_{*}^{-1}(I, m)\right)=\operatorname{cosupp}\left(\left.\Pi\right|_{S_{r}}\right)_{*}^{-1}\left(\left.I\right|_{S}, m\right)$

## Going up and down theorem

I: $D$-balanced, $H \subset X$ smooth such that
(i) $H$ is maximal contact,
(ii) $H \not \subset \operatorname{cosupp}(I, m), m=\max$-ord $I$
(iii) $\left.E\right|_{H}$ is normal crossing,
then:
blow up seqs. of order $m$ for $(X, I, E)$
||
blow up seqs. of order $\geq m$ for $\left(H,\left.I\right|_{H}, m,\left.E\right|_{H}\right)$

## Are we done?

Problem: No global $H$, so we have open cover $X=\cup X^{i}$, on each: $H^{i} \subset X^{i}$, smooth max. contact

How to patch?
Solution:
Make sure blow ups do not depend on $H$.

$$
R=K\left[\left[x_{1}, \ldots, x_{n}\right]\right], B \subset R \text { ideal } .
$$

For any $b_{i} \in B$ and general $\lambda_{i} \in K$
$\left(x_{1}, \ldots, x_{n}\right) \mapsto\left(x_{1}+\lambda_{1} b_{1}, \ldots, x_{n}+\lambda_{n} b_{n}\right)$ is an automorphism.

Lemma. For $I \subset R$, equivalent:
(i) $I$ invariant under above automs.
(ii) $B \cdot D(I) \subset I$,
(iii) $B^{j} \cdot D^{j}(I) \subset I \forall j$.

Proof of (iii) $\Rightarrow$ (i): Taylor expansion

$$
\begin{aligned}
& f\left(x_{1}+b_{1}, \ldots, x_{n}+b_{n}\right)= \\
& \quad=f\left(x_{1}, \ldots, x_{n}\right)+\sum_{i} b_{i} \frac{\partial f}{\partial x_{i}}+ \\
& \quad+\frac{1}{2} \sum_{i, j} b_{i} b_{j} \frac{\partial^{2} f}{\partial x_{i} \partial x_{j}}+\ldots
\end{aligned}
$$

Definition. $I$ is $M C$-invariant if

$$
M C(I) \cdot D(I) \subset I
$$

## Solution of Problem 5.

Theorem Assume:
$I$ is MC-invariant, $H, H^{\prime} \subset X$ max. contact, smooth at $x$, $H+E$ and $H^{\prime}+E$ both normal crossing
Then there is $\phi \in \operatorname{Aut}(\hat{X})$
(where $\hat{X}$ denotes completion) such that
(1) $\phi(\hat{H})=\hat{H}^{\prime}$ and $\phi(\hat{E})=\hat{E}$,
(2) $\phi^{*} \hat{I}=\hat{I}$ and $\phi^{*}\left(\left.\hat{I}\right|_{\hat{H}^{\prime}}\right)=\left.\hat{I}\right|_{\hat{H}}$,
(3) for any blow up sequence of order $m$

$$
\left(X_{r}, I_{r}, E_{r}\right) \rightarrow \cdots \rightarrow\left(X_{0}, I_{0}, E\right)
$$

$$
\phi \text { lifts to } \phi_{i} \in \operatorname{Aut}\left(X_{i} \times{ }_{X} \hat{X}\right)
$$

which is identity on the center of the next blow up $Z_{i} \times{ }_{X} \hat{X}$.

Proof: Pick $x_{2}, \ldots, x_{n}$ and $x_{1}, x_{1}^{\prime} \in M C(I)$
such that $H=\left(x_{1}=0\right), H^{\prime}=\left(x_{1}^{\prime}=0\right)$,
and $E \subset\left(x_{2} \cdots x_{n}=0\right)$
Apply lemma to:

$$
\begin{aligned}
\left(x_{1}^{\prime}, x_{2}, \ldots, x_{n}\right) \mapsto\left(x_{1}^{\prime}+\left(x_{1}\right.\right. & \left.\left.-x_{1}^{\prime}\right), x_{2}, \ldots, x_{n}\right) \\
& =\left(x_{1}, x_{2}, \ldots, x_{n}\right)
\end{aligned}
$$

Theorem. $W(I)$ is
$D$-balanced and MC-invariant.
Proof. Remember that $W(I)=$
$=\left(\prod_{j}\left(D^{m-j}(I)\right)^{c_{j}}: \sum j \cdot c_{j} \geq m!\right)$.
By product rule $D^{s}(W(I)) \subset$
$\subset\left(\prod_{j}\left(D^{m-j}(I)\right)^{c_{j}}: \sum j \cdot c_{j} \geq m!-s\right)$.
Since $M C(W(I))=M C(I)=D^{m-1}(I)$, $M C(W(I))^{s} \cdot D^{s}(W(I)) \subset W(I)$.
$D$-balanced: $\left(D^{s}(W(I))\right)^{m!} \subset W(I)^{m!-s}$ Fix $\sum j \cdot c_{j} \geq m!-s$, then

$$
\begin{aligned}
\left(\prod_{j}\left(D^{m-j}(I)\right)^{c_{j}}\right)^{m!} & =\prod_{j}\left(D^{m-j}(I)^{m!}\right)^{c_{j}} \\
& \subset \prod_{j}\left(D^{m-j}(I)^{m!/ j}\right)^{j c_{j}} \\
& \subset \prod_{j}(W(I))^{j c_{j}} \\
& =W(I)^{\sum j \cdot c_{j}} \subset W(I)^{m!-s} .
\end{aligned}
$$

but this is weakly $D$-balanced:
$\left(D^{s}(W(I))\right)^{m!}$ is integral over $W(I)^{m!-s}$
2 solutions
(i) weakly $D$-balanced enough (Slide 33)
(ii) more work: $W(I)$ is $D$-balanced

Order reduction, marked ideals, $\operatorname{dim}=n-1$
$\Downarrow$
Order reduction, ideals, $\operatorname{dim}=n$

Start with $(X, I, E)$
Step 1. Replace $I$ by $W(I)$, so assume:
$I$ is $D$-balanced and MC-invariant

Step 2. (Local case): there is a smooth maximal contact $H$.

Substep 2.1 (achieve $H+E$ normal crossing)
work with $\left(E_{i},\left.I\right|_{E_{i}}, m,\left.\left(E \backslash E_{i}\right)\right|_{E_{i}}\right)$
use Going up to get:
$\operatorname{Supp} E_{i}$ disjoint from $\operatorname{cosupp}(I, m)$.
Note: get new divisors $E_{j}$ but they are automatically normal crossing with any $H$

Substep $2.2(H+E$ nc along $\operatorname{cosupp}(I, m))$
restrict to $H:\left(H,\left.I\right|_{H}, m,\left.E\right|_{H}\right)$
use induction and Going up and down.

Patching problem: If $X=X^{1} \cup X^{2}$, we do the same over $X^{1} \cap X^{2}$,
but for blow ups whith centers over
$X^{1} \backslash X^{2}$ or $X^{2} \backslash X^{1}$
we dont know in which order

Step 3. (Quasi projective case)
$C_{j} \subset X: j \in J$ all possible images of blow up centers for local order reductions.

Claim. $L$ sufficiently ample, $h \in L \otimes M C(I)$ general, then ( $h=0$ ) has smooth point on every $C_{j}$.
$\Rightarrow X=\cup_{s} X^{s}$ such that
(i) smooth max. contact $H^{s} \subset X^{s} \forall s$,
(ii) each $X^{s}$ intersects each $C_{j}$.

Thus: order reduction for each $\left(X^{s},\left.I\right|_{X^{s}},\left.E\right|_{X^{s}}\right)$
(i) involves every blow up,
(ii) with same total ordering.

Hence: automatically globalizes.

Step 4. (Algebraic space)
Write $u: U \rightarrow X$ étale, $U$ quasi projective order reduction for $\left(U, u^{*} I, u^{*} E\right.$ ) plus étale invariance: descends to $X$.
(Note: we see that Step 3 was not needed)

# Order reduction, ideals, $\operatorname{dim}=n$ <br> $\Downarrow$ 

Order reduction, marked ideals, $\operatorname{dim}=n$
Difference between $\Pi_{*}^{-1} I$ and $\Pi_{*}^{-1}(I, m)$ : ideal of exceptional divisor.
monomial part: $M(I):=$ largest $\mathcal{O}_{X}\left(-\sum e_{i} E_{i}\right) \subset I$ nonmonomial part: $N(I):=M(I)^{-1} \cdot I$.
Step 1. (Achieve max-ord $N(I)<m$ )
This is just order reduction for $N(I)$.
Why not go down to max-ord $N(I)=0$ ?
Answer: Only mult $\geq m$ blow ups allowed.

So if max-ord $N(I)=s<m$, we can blow up only points where ord $I \geq m$.
Reduction trick:

$$
\operatorname{ord}_{x} J_{1} \geq s, \operatorname{ord}_{x}\left(J_{1}^{m}+J_{2}^{s}\right) \geq m s
$$

Step 2. (Achieve max-ord $N(I)=0$ ) Apply order reduction to $N(I)^{m}+I^{s}$.

Step 3. (Take care of $I=M(I)$ )
Substep 3.1 Blow up $E_{i}$ with $\operatorname{ord}_{E_{i}} M(I) \geq m$.
Use index set order to make it functorial.
Substep 3.2 Blow up $E_{i} \cap E_{j}$ with $\operatorname{ord}_{E_{i} \cap E_{j}} M(I) \geq m$.
Check: new exceptional divisors have order $<m$.

Substep 3.3 Blow up $E_{i} \cap E_{j} \cap E_{k}$ with $\operatorname{ord}_{E_{i} \cap E_{j} \cap E_{k}} M(I) \geq m$.
Check: new pairwise intersections have order $<m$.
etc.

## Appendix: Integral dependence

$R$ : ring, $I \subset R$ ideal. $r \in R$ is integral over $I$ if
$r^{d}+a_{1} r^{d-1}+\cdots+a_{d}=0 \quad$ where $a_{j} \in I^{j}$.
All elements integral over $I$ : integral closure: $\bar{I}$.

Lemma. If $J$ is integral over $I$ then $\operatorname{cosupp}(J, m) \supset \operatorname{cosupp}(I, m)$.
Proof. Assume, $r \in \bar{I}$ but ord ${ }_{x} r<\operatorname{ord}_{x} I$.
$\operatorname{ord}_{x}\left(a_{1} r^{d-1}+\cdots+a_{d}\right) \geq \min _{j}\left\{\operatorname{ord}_{x}\left(a_{i} r^{i}\right)\right\}$
$\geq(d-1) \operatorname{ord}_{x} r+\operatorname{ord}_{x} I>d \cdot \operatorname{ord}_{x} r$,
which contradicts the equation.
Lemma. If $J$ integral over $I$ then
$f_{*}^{-1}(J, m)$ is integral over $f_{*}^{-1}(I, m)$.
Proof: Lift the equation.
Cor. If $D^{j}(I)^{m}$ integral over $I^{m-j}$, then $\operatorname{cosupp}\left(\left.\Pi\right|_{S_{r}}\right)_{*}^{-1}\left(\left.D^{j}(I)^{m}\right|_{S}, m(m-j)\right)$ $\supset \operatorname{cosupp}\left(\left.\Pi\right|_{S_{r}}\right)_{*}^{-1}\left(\left.I^{m-j}\right|_{S}, m(m-j)\right)$.

This is what we needed on Slide 22.

