

**A GENERALISATION OF THE  
HORI-VAFA CONJECTURE**

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References:

*“Two proofs of a conjecture of Hori and Vafa”*,

math.AG/0304403 (Duke Math. J, 2005)

*“Gromov-Witten invariants for abelian and*

*nonabelian quotients”*, math.AG/0407254

## OUTLINE

- 1) GW-invariants, twisted GW-invariants.
- 2)  $J$ -functions, Quantum Lefschetz.
- 3) Abelian and non-abelian GIT quotients
- 4) The Grassmannian and the Hori-Vafa Conjecture.
- 5) General conjectures.
- 6) The  $J$ -function of a generalized flag manifold.

## 1. GW-INVARIANTS, TWISTED GW-INVARIANTS

$Y$  smooth, projective variety over  $\mathbb{C}$ ,  $d \in H_2(Y, \mathbb{Z})$

$\overline{M}_{g,n}(Y, d)$  = moduli space (stack) of stable maps

A point  $[C, \{p_i\}, f] \in \overline{M}_{g,n}(Y, d)$  is given by

- a (conn., proj.) nodal curve  $C$  of (arithmetic) genus  $g$ , with  $n$  marked points  $p_1, \dots, p_n \in C^{\text{nonsing}}$
- a map  $f : C \rightarrow Y$  with  $f_*[C] = d$ .

stable = finite automorphism group

Have evaluation maps

$$ev_j : \overline{M}_{g,n}(Y, d) \rightarrow Y, \quad ev_j([C, \{p_i\}, f]) = f(p_j),$$

and "tautological" line bundles  $\mathcal{L}_j$  on  $\overline{M}_{g,n}(Y, d)$ :

fiber of  $\mathcal{L}_j$  over  $[C, \{p_i\}, f]$  is  $T_{p_j}^* C$ .

$$\psi_j := c_1(\mathcal{L}_j).$$

**Definition.** *The GW-invariants of  $Y$  are*

$$\langle \tau_{a_1} \gamma_1, \dots, \tau_{a_n} \gamma_n \rangle_{g,d} := \int_{[\overline{M}_{g,n}(Y,d)]^{\text{vir}}} \prod_{i=1}^n (\psi_i^{a_i} \cdot ev_i^* \gamma_i)$$

where  $\gamma_i \in H^{2^*}(Y)$  are (homogeneous) cohomology classes,  $a_i$  are nonnegative integers and  $[\overline{M}_{g,n}(Y,d)]^{\text{vir}}$  is the virtual fundamental class.

If all  $a_i = 0$ , the invariants  $\langle \gamma_1, \dots, \gamma_n \rangle_{g,d}$  are called *primary*, and intuitively should “count” curves in  $Y$  subject to incidence conditions. Otherwise, they are called *gravitational descendants*.

Today:  $g = 0$ , will drop from notation.

## Twisted GW-invariants (cf. Coates-Givental).

Let  $V$  be a vector bundle on  $Y$ . The diagram

$$\begin{array}{ccc} \overline{M}_{0,n+1}(Y, d) & \xrightarrow{e=ev_{n+1}} & Y \\ \pi \downarrow & & \\ \overline{M}_{0,n}(Y, d) & & \end{array}$$

determines

$$V_{n,d} = [R^0 \pi_* e^* V] - [R^1 \pi_* e^* V]$$

in the  $K$ -group of vector bundles of  $\overline{M}_{0,n}(Y, d)$ . It has virtual rank (given by Riemann-Roch formula):

$$\text{vrk}(V_{n,d}) := \text{rk}(V) + \int_d c_1(V).$$

and “top Chern class”

$$c_{\text{top}}(V_{n,d}) = c_{\text{vrk}}(V_{n,d})$$

Define *GW-invariants of  $Y$  twisted by the Euler class of  $V$*  by

$$\langle \tau_{a_1}(\gamma_1), \dots, \tau_{a_n}(\gamma_n) \rangle_{d,V} := \int_{[\overline{M}_{0,n}(Y,d)]^{\text{virt}}} \prod_{i=1}^n (\psi_i^{a_i} ev_i^*(\gamma_i)) c_{\text{top}}(V_{n,d}).$$

**Example:** If  $V$  is generated by global sections and  $Z = Z(s) \subset Y$  is the zero locus of a “transversal” section  $s$  of  $V$ , then  $\langle \dots \rangle_{d,V}$  are (untwisted) GW-invariants of  $Z$ : in this case  $V_{n,d}$  is an honest vector bundle and

$$i_* [\overline{M}_{0,n}(Z, d)]^{\text{virt}} = [\overline{M}_{0,n}(Y, d)]^{\text{virt}} \cap c_{\text{top}}(V_{n,d}),$$

with  $i : Z \hookrightarrow Y$  the inclusion.

## 2. $J$ -FUNCTIONS, QUANTUM LEFSCHETZ.

Let  $J_{Y,d} \in H^*(Y)[\hbar^{-1}]$  defined by

$$\int_Y \gamma \cdot J_{Y,d} = \sum_{a=0}^{\infty} \hbar^{-a-2} \langle \tau_a(\gamma) \rangle_d$$

for all  $\gamma \in H^{2*}(Y)$ .

Denote  $(t_0, \mathbf{t})$  a general element of

$$H^0(Y, \mathbb{C}) \oplus H^2(Y, \mathbb{C})$$

Define Givental's  $J$ -function of  $Y$  by

$$J_Y(t_0, \mathbf{t}) = e^{(t_0 + \mathbf{t})/\hbar} \sum_d e^{\int_d \mathbf{t}} J_{Y,d}$$

(generating function for the 1-pt. invariants)

Also have  $V$ -twisted  $J$ -fcn.:

$$J_{Y,d,V} \in H^*(Y)[\hbar^{-1}]$$

$$\int_Y \gamma \cdot J_{Y,d,V} \cdot c_{\text{top}}(V) = \sum_{a=0}^{\infty} \hbar^{-a-2} \langle \tau_a(\gamma) \rangle_{d,V}$$

$$J_{Y,V}(t_0, \mathbf{t}) = e^{(t_0 + \mathbf{t})/\hbar} \sum_d e^{\int_d \mathbf{t}} J_{Y,d,V}$$

**Quantum Lefschetz.**  $Z \subset Y$  complete intersection, i.e.  $Z$  is the zero locus of a section of a *decomposable* vector bundle  $V = \bigoplus_{i=1}^m M_i$ , with  $M_i$  nef *line* bundles. There is a *universal* formula expressing  $J_{Y,V}$  - hence (most of)  $J_Z$  - in terms of  $J_Y$ . Precisely:

For a curve class  $d \in H_2(Y)$  put  $f_i = \int_d c_1(M_i)$  and

$$\chi_d(V) := \prod_{i=1}^m \prod_{l=1}^{f_i} (c_1(M_i) + l\hbar).$$



**Theorem (... Y.P. Lee, Coates-Givental).**  $J_{Y,V}$  is obtained from

$$I_{Y,V} := e^{(t_0+\mathbf{t})/\hbar} \sum_d e^{\int_d \mathbf{t}} \chi_d(V) J_{Y,d}$$

by explicit change of variables (“mirror transformation”). If  $c_1(Z)$  is positive enough, then  $J_{Y,V} = I_{Y,V}$ .

**Example.**  $Y = \mathbb{P}^{n-1}$ ,  $H$  the hyperplane class.

$$J_{\mathbb{P}^{n-1}} = e^{(t_0+tH)/\hbar} \sum_{d \geq 0} e^{dt} \frac{1}{\prod_{l=1}^d (H + l\hbar)^n} \quad (\text{Givental})$$

Take  $n = 5$ ,  $V = \mathcal{O}(5)$ .

$$I_{\mathbb{P}^4, \mathcal{O}(5)} = e^{(t_0+tH)/\hbar} \sum_{d \geq 0} e^{dt} \frac{\prod_{k=1}^{5d} (5H + l\hbar)}{\prod_{l=1}^d (H + l\hbar)^5}$$

In this case

Q. Lefschetz  $\leftrightarrow$  mirror formula of Candelas et.al.

### Some remarks:

- If  $V$  is *indecomposable*, no “universal” correcting class  $\chi_d(V)$  is known.
- The only class of  $Y$ 's for which  $J$  was known in general is the class of smooth toric varieties (due to Givental).
- Q. Lefschetz should be thought of as some kind of highly nontrivial functorial property of  $J$ -functions. Another, much easier, functoriality is  $J_{Y_1 \times Y_2} = J_{Y_1} J_{Y_2}$ , e.g.

$$J_{(\mathbb{P}^{n-1})^r} = e^{(t_0 + t_1 H_1 + \cdots + t_r H_r)/\hbar} \times$$

$$\times \sum_{d_i \geq 0} e^{d_1 t_1 + \cdots + d_r t_r} \frac{1}{\prod_{i=1}^r \prod_{l=1}^{d_i} (H_i + l\hbar)^n}$$

### 3. GIT QUOTIENTS

$X$  – smooth, projective variety over  $\mathbb{C}$  (main case to keep in mind:  $X = \mathbb{P}^N$ ).

$G$  – reductive algebraic group acting on  $X$ .

$T$  – fixed max. torus in  $G$ .

Will assume that (for a linearized ample line bundle)  
 $X^{ss}(T) = X^s(T)$ ,  $X^{ss}(G) = X^s(G)$ , and that  $T$  and  $G$  act freely on the stable points, so that the GIT quotients  $X//G = X^s(G)/G$  and  $X//T = X^s(T)/T$  are smooth projective varieties.

Ellingsrud–Strømme, Martin, Kirwan: Cohomology of  $X//G$  and that of  $X//T$  are closely related.

$R$  – root system for  $G, T$

$W = N(T)/T$  the Weyl group; acts on  $X//T$ .

A root  $\alpha \in R$  gives a  $T$ -rep  $\mathbb{C}_\alpha$ , hence a line bundle

$L_\alpha = \mathbb{C}_\alpha \times_T X^s(T)$  on  $X//T$ . Put

$$E := \bigoplus_{\alpha \in R} L_\alpha$$

**Theorem (Martin’s Integration Formula).**

$$\int_{X//G} \gamma = \frac{1}{|W|} \int_{X//T} \tilde{\gamma} c_{\text{top}}(E).$$

Here  $\gamma \in H^*(X//G)$ ,  $\tilde{\gamma}$  is a *lift* to  $H^*(X//T)^W$  (i.e.,  $\gamma$  and  $\tilde{\gamma}$  come from the same  $G$ -equivariant cohomology class on  $X$  via the Kirwan maps.)

**Example:**  $X := \mathbb{P}(\text{Hom}(\mathbb{C}^r, \mathbb{C}^n)) = \mathbb{P}(\text{Mat}_{r \times n}(\mathbb{C}))$ .

$G = GL_r(\mathbb{C})$  acts by left multiplication.

$X//G = G(r, n)$ , Grassmannian of  $r$ -planes in  $\mathbb{C}^n$ . It

has universal sequence

$$0 \rightarrow \mathcal{S} \rightarrow \mathcal{O}^n \rightarrow \mathcal{Q} \rightarrow 0$$

of vector bundles, with  $\text{rank}(\mathcal{S}) = r$ .

Denote  $H_1, \dots, H_r$  the Chern roots of  $\mathcal{S}^*$ . Then

$$H^*(G(r, n)) \cong \mathbb{C}[H_1, \dots, H_r]^{S_r} / (h_{n-r+1}, \dots, h_n)$$

$h_j$  – the  $j$ th complete symmetric function of  $H_1, \dots, H_r$ .

Let  $T \subset G$  denote the subgroup of diagonal invertible  $r \times r$  matrices; then  $X//T = (\mathbb{P}^{n-1})^r$ .

Put  $H_i := pr_i^*(H) \in H^*((\mathbb{P}^{n-1})^r)$ , with  $H \in H^*(\mathbb{P}^{n-1})$  the hyperplane class.

$$H^*((\mathbb{P}^{n-1})^r) \cong \mathbb{C}[H_1, \dots, H_r]/(H_1^n, \dots, H_r^n)$$

The lift of a cohomology class in  $G(r, n)$  represented by a symmetric polynomial in the  $H_i$ 's is the class represented by the same polynomial in the cohomology of  $(\mathbb{P}^{n-1})^r$ .

The other objects we introduced are explicitly

$$R = \{(i, j) \mid 1 \leq i, j \leq r, i \neq j\},$$

$$L_{(i,j)} = pr_i^*(\mathcal{O}_{\mathbb{P}^{n-1}}(1)) \otimes pr_j^*(\mathcal{O}_{\mathbb{P}^{n-1}}(-1)),$$

$$c_{\text{top}}(E) = \prod_{i \neq j} (H_i - H_j)$$

#### 4. THE GRASSMANNIAN AND HV CONJECTURE.

##### Theorem (B,-,K).

$$J_{G(r,n)} = e^{(t_0 + t(H_1 + \dots + H_r))/\hbar} \sum_{d \geq 0} e^{dt} J_d,$$

where

$$J_d = \sum_{d_1 + \dots + d_r = d} \frac{(-1)^{(r-1)d} \prod_{i < j} (H_i - H_j + (d_i - d_j)\hbar)}{\prod_{i < j} (H_i - H_j) \prod_{i=1}^r \prod_{l=1}^{d_i} (H_i + l\hbar)^n}$$

It is very easy to see that  $J_{G(r,n)}$  is obtained (up to an overall invertible factor) from  $J_{(\mathbb{P}^{n-1})^r}$  by applying to it the “Vandermonde operator”

$$\mathcal{D}_\Delta = \prod_{i < j} \left( \hbar \frac{\partial}{\partial t_i} - \hbar \frac{\partial}{\partial t_j} \right),$$

then “symmetrizing” by setting  $t_i = t + \pi(r-1)\sqrt{-1}$  and dividing by the class  $\prod_{i < j} (H_i - H_j)$ . This is the original conjecture of Hori and Vafa.

Another way of seeing the formula as relating  $J_{G(r,n)}$  and  $J_{(\mathbb{P}^{n-1})^r}$  is as an analogue of quantum Lefschetz: Recall that on  $(\mathbb{P}^{n-1})^r$  we have the bundle

$$E = \bigoplus_{i \neq j} L_{(i,j)}.$$

Now  $L_{(i,j)} = pr_i^*(\mathcal{O}_{\mathbb{P}^{n-1}}(1)) \otimes pr_j^*(\mathcal{O}_{\mathbb{P}^{n-1}}(-1))$  is not nef: for a curve class  $(d_1, \dots, d_r)$  we have

$$f_{ij} = \int_{(d_1, \dots, d_r)} c_1(L_{(i,j)}) = d_i - d_j.$$

Nevertheless, we can still define

$$\chi_{(d_1, \dots, d_r)}(E) := \prod_{i,j} \frac{\prod_{l=-\infty}^{f_{ij}} (c_1(L_{(i,j)}) + l\hbar)}{\prod_{l=-\infty}^0 (c_1(L_{(i,j)}) + l\hbar)},$$

and our Theorem says in this notation

$$J_{G(r,n),d} = \sum_{d_1 + \dots + d_r = d} \chi_{(d_i)}(E) J_{(\mathbb{P}^{n-1})^r, (d_i)}.$$



## 5. GENERAL CONJECTURES

Let  $X//G$  and  $X//T$  be GIT quotients as before, with the bundle  $E = \bigoplus_{\alpha} L_{\alpha}$  on  $X//T$  etc.

For curve classes  $d \in H_2(X//G)$  and  $\tilde{d} \in H_2(X//T)$  write  $\tilde{d} \mapsto d$  if

$$\int_d H = \int_{\tilde{d}} \tilde{H}$$

for every divisor class  $H \in H^2(X//G)$  with lift  $\tilde{H} \in H^2(X//T)^W$ .

**Conjecture 1.**

$$\langle \tau_{a_1}(\gamma_1), \tau_{a_2}(\gamma_2), \dots, \tau_{a_n}(\gamma_n) \rangle_d^{X//G} = \frac{1}{|W|} \sum_{\tilde{d} \rightarrow d} \langle \tau_{a_1}(\tilde{\gamma}_1), \tau_{a_2}(\tilde{\gamma}_2), \dots, \tau_{a_n}(\tilde{\gamma}_n) \rangle_{\tilde{d}, E}^{X//T}$$

By work of Coates-Givental, Conj.1 implies

**Conjecture 2.**  $(t_0, \mathbf{t}) \in H^0(X//G, \mathbb{C}) \oplus H^2(X//G, \mathbb{C})$ .

*Define*

$$I_{X//G} = e^{(t_0 + \mathbf{t})/\hbar} \sum_d e^{\int_d \mathbf{t}} \sum_{\tilde{d} \rightarrow d} \chi_{\tilde{d}}(E) J_{X//T, \tilde{d}}$$

*Then there is an explicit change of variables*

$$(t_0, \mathbf{t}) \rightarrow f(t_0, \mathbf{t})$$

*s.t.*

$$J_{X//G}(t_0, \mathbf{t}) = I_{X//G}(f(t_0, \mathbf{t})).$$

*If  $c_1(X//T)$  is positive enough, then no change of variables is needed for the equality of  $J$  and  $I$ .*

There are useful extensions of these conjectures involving an additional twisting:

Let  $V$  be a finite dim'l vector space with linear  $G$  action, i.e., a  $G$ -representation. It can be viewed also as a  $T$ -rep. It induces vector bundles  $V_G$  on  $X//G$  and  $V_T$  on  $X//T$ . Note that  $V_T$  is decomposable, since any  $T$ -rep. is completely reducible. Write  $V_T = \bigoplus_i M_i$  and assume each  $M_i$  is a nef line bundle.

**Conjecture 1'.**

$$\langle \tau_{a_1}(\gamma_1), \tau_{a_2}(\gamma_2), \dots, \tau_{a_n}(\gamma_n) \rangle_{d, V_G}^{X//G} = \frac{1}{|W|} \sum_{\tilde{d} \rightarrow d} \langle \tau_{a_1}(\tilde{\gamma}_1), \tau_{a_2}(\tilde{\gamma}_2), \dots, \tau_{a_n}(\tilde{\gamma}_n) \rangle_{\tilde{d}, E \oplus V_T}^{X//T}$$

**Conjecture 2'.** *Put*

$$I_{X//G,V} = e^{(t_0+\mathbf{t})/\hbar} \sum_d e^{\int_d \mathbf{t}} \sum_{\tilde{d} \rightarrow d} \chi_{\tilde{d}}(E) \chi_{\tilde{d}}(V_T) J_{X//T, \tilde{d}}$$

*Then there is an explicit change of variables*

$$(t_0, \mathbf{t}) \rightarrow f(t_0, \mathbf{t})$$

*s.t.*

$$J_{X//G,V_G}(t_0, \mathbf{t}) = I_{X//G,V}(f(t_0, \mathbf{t})).$$

*If  $c_1(X//T) - \sum_i c_1(M_i)$  is positive enough, then no change of variables is needed.*

**Theorem (B,-,K).** *Conjecture 1' holds for 1-point invariants and  $X//G = G(s_1, n) \times \cdots \times G(s_l, n)$ ,  $X//T = \prod_{i=1}^l (\mathbb{P}^{n-1})^{s_i}$ . Conjecture 2' also holds for these  $X//G$  and  $X//T$ .*

The proof is done by localization on moduli spaces of 1-pointed stable maps for the actions of the torus  $T' := ((\mathbb{C}^*)^n)^l$ .

## 6. $J$ -FCNS OF ISOTROPIC FLAG MFLDS

The above theorem gives closed formulas for  $J$ -functions of zero loci of sections of homogeneous vector bundles on  $\prod_{i=1}^l G(s_i, n)$ . Important examples are

- isotropic (partial) flag mfls. of types A, B, C, D.

- the moduli space of rk. 2 vector bundles with fixed determinant of odd degree on a (hyperelliptic) curve of genus  $g \geq 2$ .

Two examples will show how straightforward is to obtain these formulas.

**Example 1:** The Lagrangian Grassmannian.

Consider the standard symplectic form  $\omega$  on  $\mathbb{C}^{2n}$ .

The Lagrangian Grassmannian  $LG_n$  parametrizes maximal (i.e.,  $n$ -dimensional) subspaces in  $\mathbb{C}^{2n}$  which are isotropic for  $\omega$ . Let  $S$  be the universal subbundle on the regular Grassmannian  $G(n, 2n)$ . The form  $\omega$  induces a section of the bundle  $\Lambda^2 S^*$  and  $LG_n$  is the zero locus of this section.

So in this case  $V_G = \Lambda^2 S^*$  and it is immediate that the corresponding bundle  $V_T$  on  $(\mathbb{P}^{2n-1})^n$  is

$$\bigoplus_{1 \leq i < j \leq n} \mathcal{O}(H_i + H_j).$$

Hence we get

**Theorem.**

$$J_{d, LG_n} = \sum_{d_1 + \dots + d_n = d} \left( \prod_{n \geq i > j \geq 1} \frac{\prod_{k=0}^{d_i + d_j} (H_i + H_j + k\hbar)}{(H_i + H_j)} \right)$$

$$\left( \frac{(-1)^{(n-1)d} \prod_{n \geq i > j \geq 1} (H_i - H_j + (d_i - d_j)\hbar)}{\prod_{n \geq i > j \geq 1} (H_i - H_j) \prod_{i=1}^n \prod_{k=1}^{d_i} (H_i + k\hbar)^{2n}} \right)$$

**Example 2:** Type A partial flag varieties.

$F := Fl(s_1, \dots, s_l, n = s_{l+1})$  parametrizes flags of subspaces

$$\mathbb{C}^{s_1} \subset \dots \subset \mathbb{C}^{s_l} \subset \mathbb{C}^n$$

On each Grassmannian  $G(s_i, n)$  consider the universal sequence

$$0 \rightarrow \mathcal{S}_i \rightarrow \mathcal{O}^n \rightarrow \mathcal{Q}_i \rightarrow 0$$

On the product  $\prod_{i=1}^l G(s_i, n)$  take the vector bundle

$$\bigoplus_{i=1}^{l-1} Hom(\mathcal{S}_i, \mathcal{Q}_{i+1}) = \bigoplus_{i=1}^{l-1} (\mathcal{S}_i^* \otimes \mathcal{Q}_{i+1})$$

It has a natural section  $\sigma$  coming from composing

$0 \rightarrow \mathcal{S}_i \rightarrow \mathcal{O}^n$  with  $\mathcal{O}^n \rightarrow \mathcal{Q}_{i+1} \rightarrow 0$  and  $F$  is the

zero locus of  $\sigma$ .



When restricted to  $F$ , the bundles  $S_i$  give the universal sequence of subbundles on  $F$  and the Chern classes of their duals generate the cohomology ring of  $F$ .

For each  $1 \leq i \leq l + 1$  let

$$H_{i,j}, \quad j = 1, \dots, s_i$$

be the Chern roots of  $S_i^*$ . (in particular, all  $H_{l+1,j} = 0$ .)

Also use  $H_{i,j}$  to denote the hyperplane classes on the corresponding abelian quotient

$$\mathbb{P} := (\mathbb{P}^{n-1})^{s_1} \times \dots \times (\mathbb{P}^{n-1})^{s_l}$$

A curve class on  $F$  is given by  $(d_1, \dots, d_l)$ ,  $d_i \geq 0$ , while a curve class on  $\mathbb{P}$  is

$$(d_{1,1}, \dots, d_{1,s_1}, \dots, d_{l,1}, \dots, d_{l,s_l})$$

**Theorem.** For curve classes  $\vec{d} = (d_1, \dots, d_l)$  on  $F$ ,  $J_{\vec{d}}^F$  is given by

$$\sum_{\sum d_{i,j}=d_i} \prod_{i=1}^l \left( \prod_{1 \leq j \neq j' \leq s_i} \frac{\prod_{k=-\infty}^{d_{i,j}-d_{i,j'}} (H_{i,j} - H_{i,j'} + k\hbar)}{\prod_{k=-\infty}^0 (H_{i,j} - H_{i,j'} + k\hbar)} \right. \\ \left. \prod_{1 \leq j \leq s_i, 1 \leq j' \leq s_{i+1}} \frac{\prod_{k=-\infty}^0 (H_{i,j} - H_{i+1,j'} + k\hbar)}{\prod_{k=-\infty}^{d_{i,j}-d_{i+1,j'}} (H_{i,j} - H_{i+1,j'} + k\hbar)} \right)$$

The general case is a combination of the previous two examples: an isotropic flag variety is the zero section on an appropriate product of Grassmannians of a bundle which is the direct sum of  $Hom$  bundles and either the second symmetric power, or the second exterior power of appropriate universal bundles.